

Hybrid Ab Initio Methods

Robert Roth



TECHNISCHE
UNIVERSITÄT
DARMSTADT

HIC | **FAIR**
for
Helmholtz International Center

Ab Initio Methods

No-Core Shell Model

- solution of matrix eigenvalue problem in truncated many-body model space
- **flexibility:** all nuclei and all bound-state observables on the same footing
- **but:** limited by model-space convergence

In-Medium Similarity Renormalization Group

- decoupling ground-state from excitations through unitary transformation via flow equation
- **efficiency:** favorable scaling gives access to medium-mass nuclei
- **but:** limited to ground-state observables

Many-Body Perturbation Theory

- power-series expansion of energies and states
- **simplicity:** low-order contributions can be evaluated very easily and efficiently
- **but:** order-by-order convergence problematic

CC, SCGF, QMC, ...

Hybrid Ab Initio Methods

No-Core Shell Model

In-Medium Similarity Renormalization Group

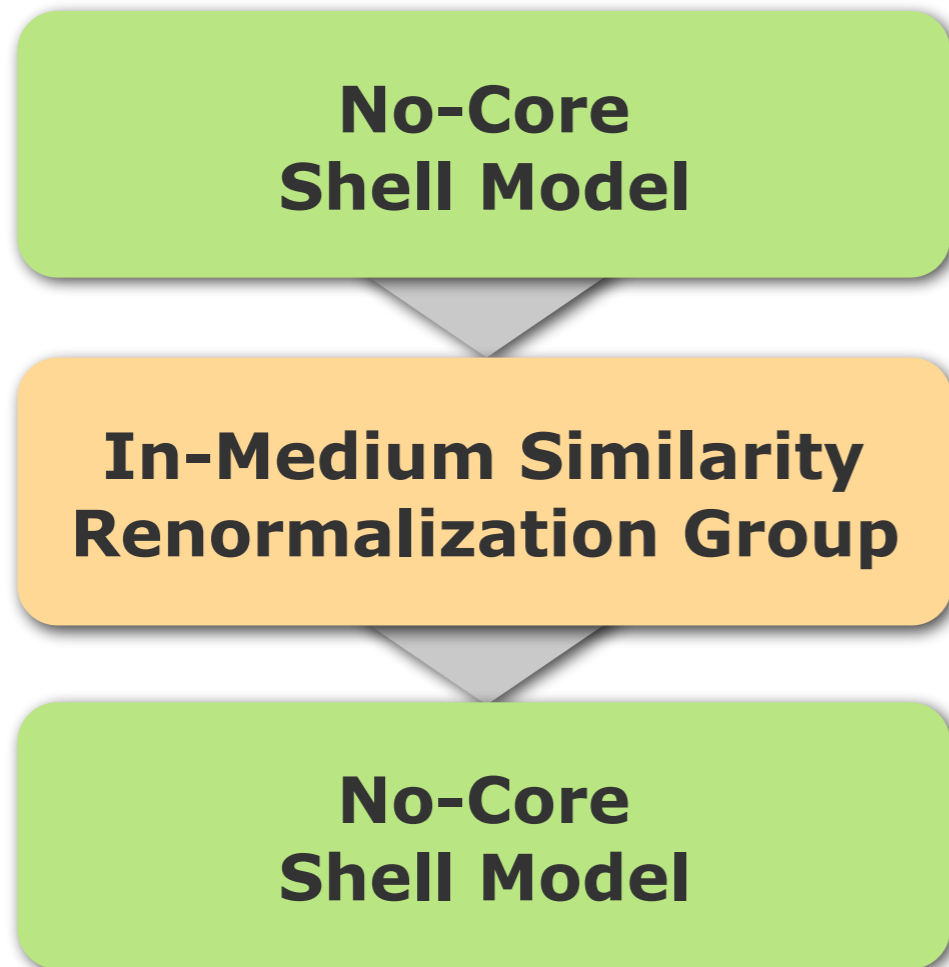
Many-Body Perturbation Theory

CC, SCGF, QMC, ...

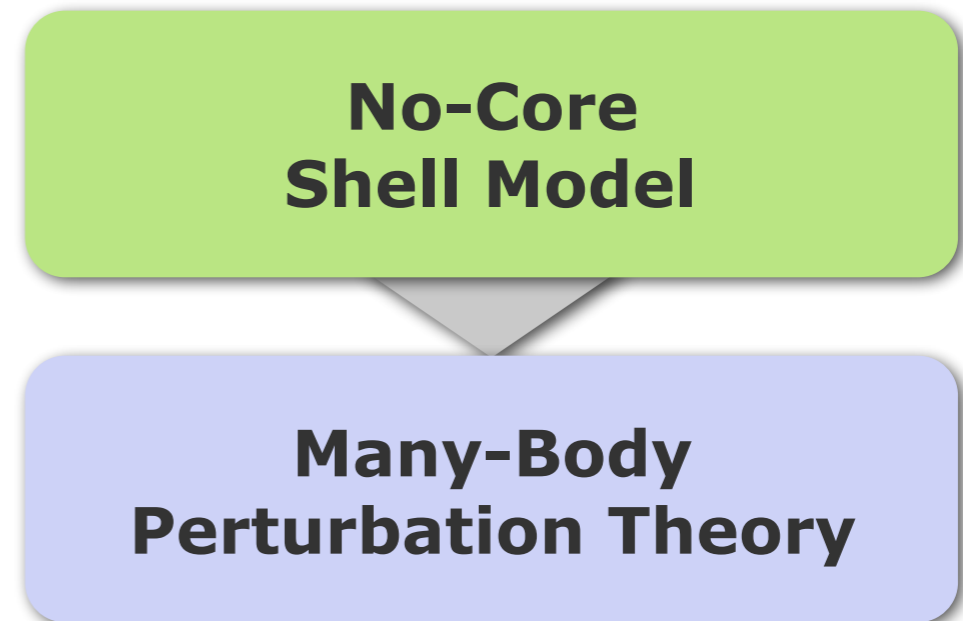
- complementarity of advantages and limitations of the different methods
- combine methods to overcome limitations
- expand reach in terms of observables, particle number or model-space size
- established example: CC-EOM
- target: spectroscopy of fully open-shell medium-mass nuclei

Hybrid Ab Initio Methods

IM-NCSM



NCSM-PT



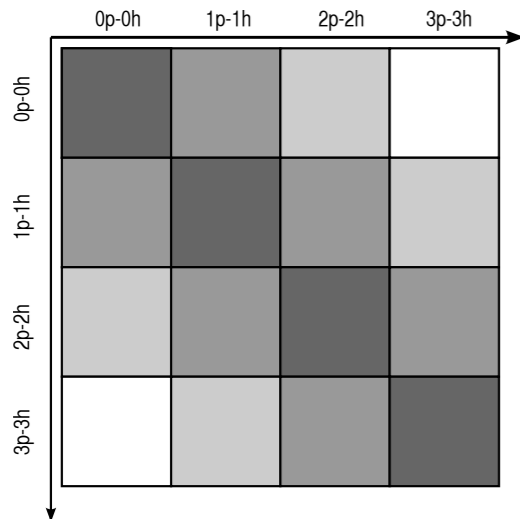
IM-NCSM: Merging NCSM and IM-SRG

with E. Gebrerufael, K. Vobig, H. Hergert

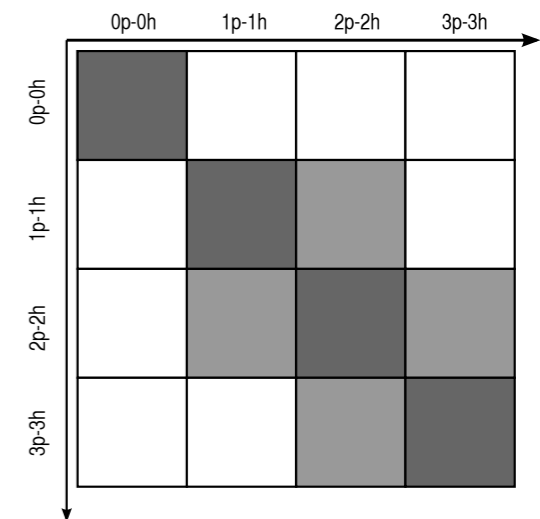
[see poster by K. Vobig](#)

In-Medium SRG

Tsukiyama, Bogner, Schwenk, Hergert,...



use SRG flow equations for normal-ordered Hamiltonian to decouple many-body reference state from excitations



$$\frac{d}{ds}H(s) = [\eta(s), H(s)]$$

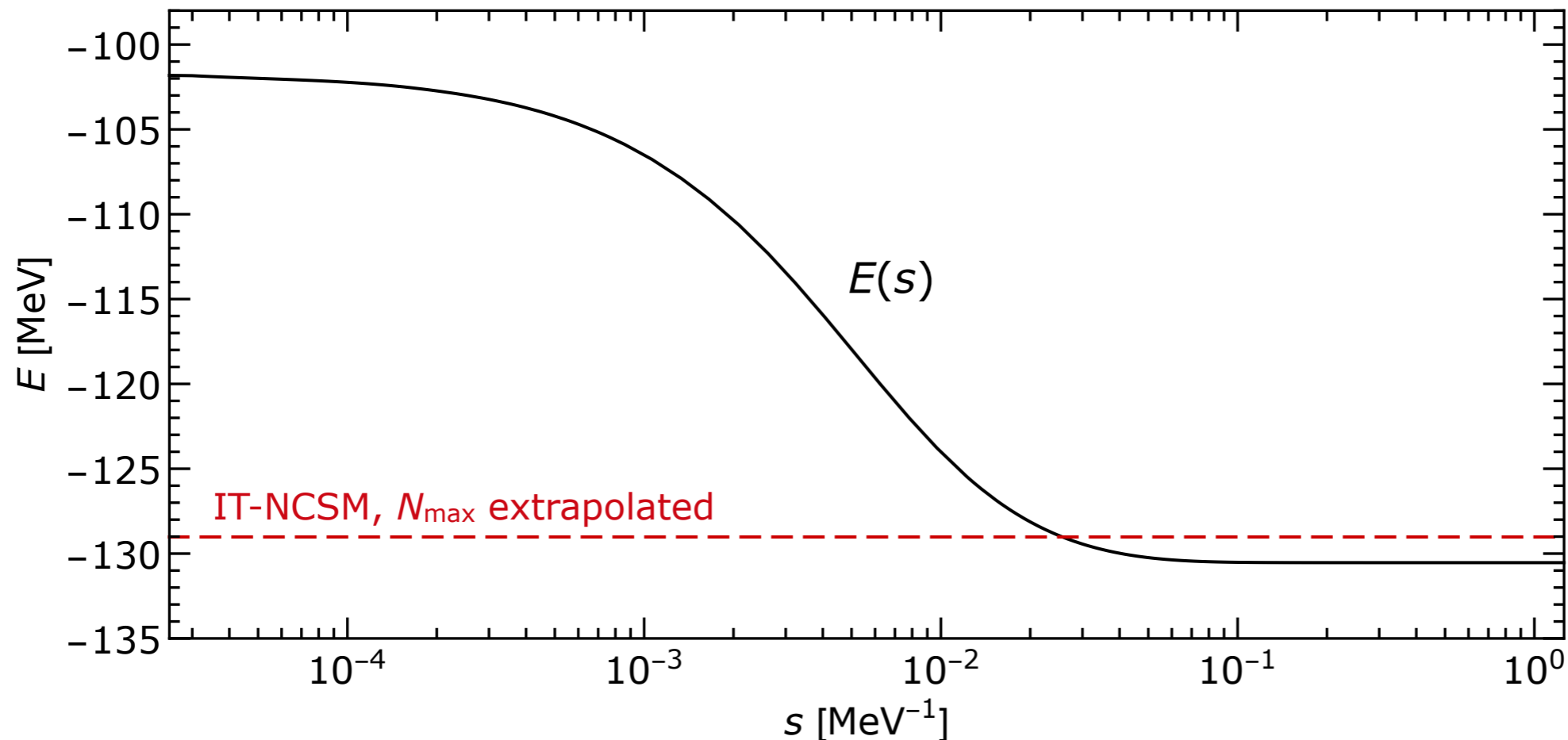
- Hamiltonian and generator in normal order with respect to single or multi-determinant reference state, omit residual three-body piece

$$H(s) = E(s) + \sum_{ij} f_j^i(s) \tilde{A}_j^i + \frac{1}{4} \sum_{ijkl} \Gamma_{kl}^{ij}(s) \tilde{A}_{kl}^{ij} + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk}(s) \tilde{A}_{lmn}^{ijk}$$

- define generator to suppress off-diagonal contributions that couple reference state to ph excitations

$$\eta(s) = [H(s), H^d(s)] = [H^{od}(s), H^d(s)]$$

In-Medium SRG: Single Reference



^{16}O

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\max}=12$

$N_{\max}=0$ reference

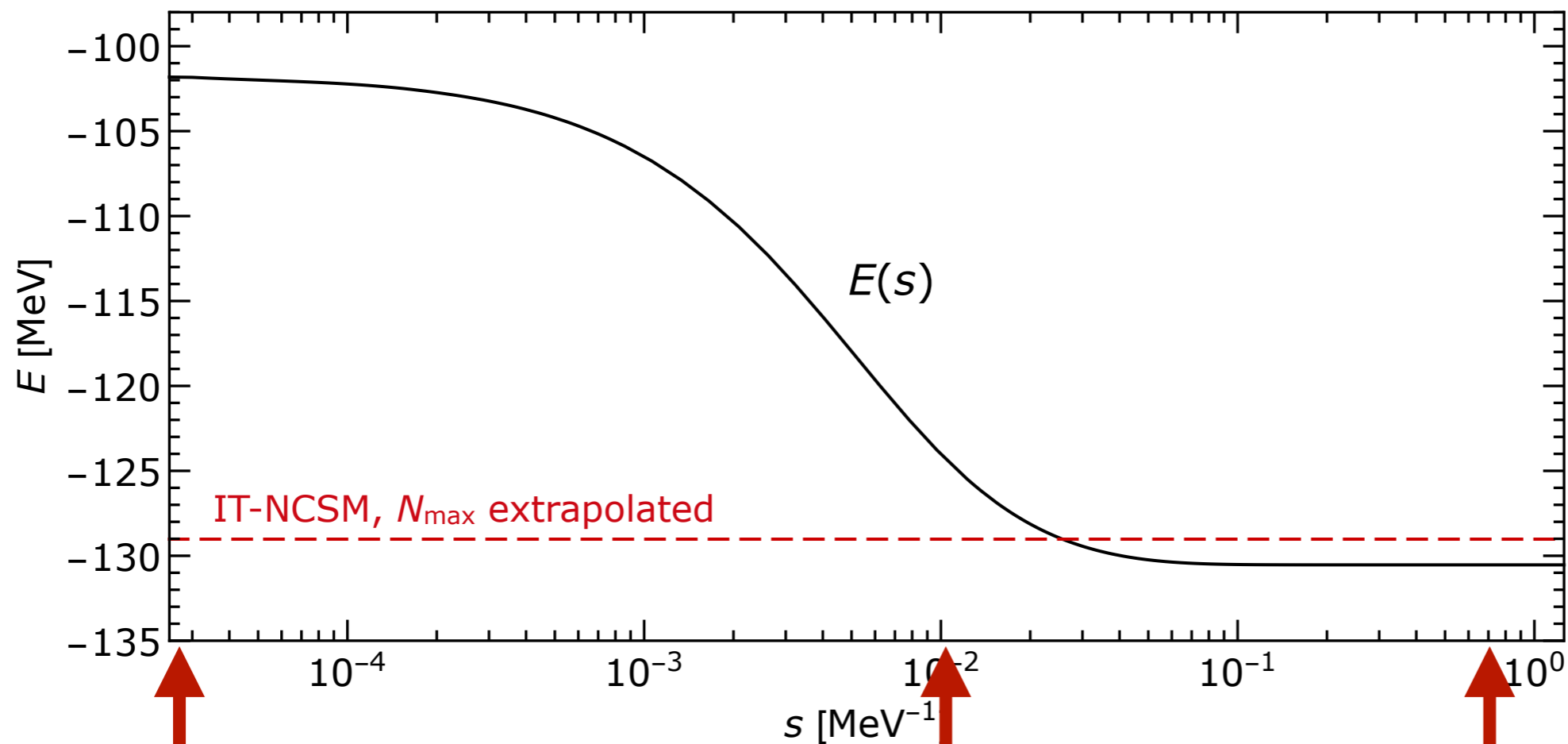
HF basis

- zero-body piece of the flowing Hamiltonian gives **ground-state energy** when full decoupling is reached

$$E(s) = \langle \Phi_{\text{ref}} | H(s) | \Phi_{\text{ref}} \rangle$$

- truncation of flow equations destroys unitarity, induced many-body terms

In-Medium SRG: Single Reference



^{16}O

chiral NN+3N

$\Lambda_{3N}=400$ MeV

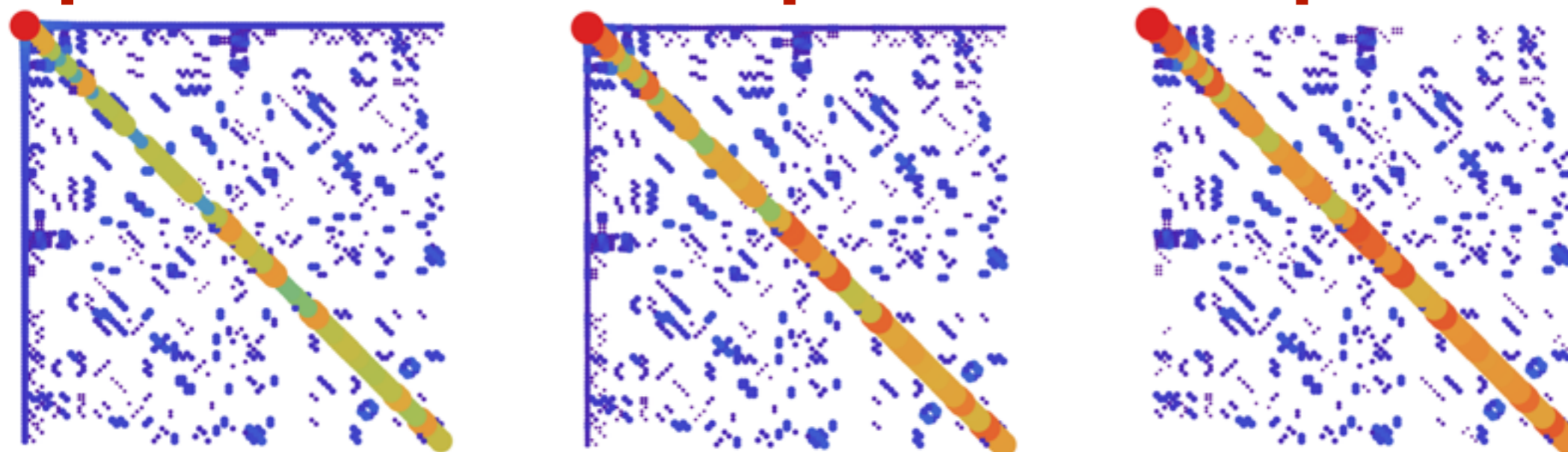
$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

$N_{\text{max}}=0$ reference

HF basis



Hamilton
matrix in
 $N_{\text{max}}=2$
space

Merging NCSM and IM-SRG

NCSM: Reference State

- ground-state from NCSM at small N_{\max} as reference state for multi-reference IM-SRG
- access to all open-shell nuclei and systematically improvable

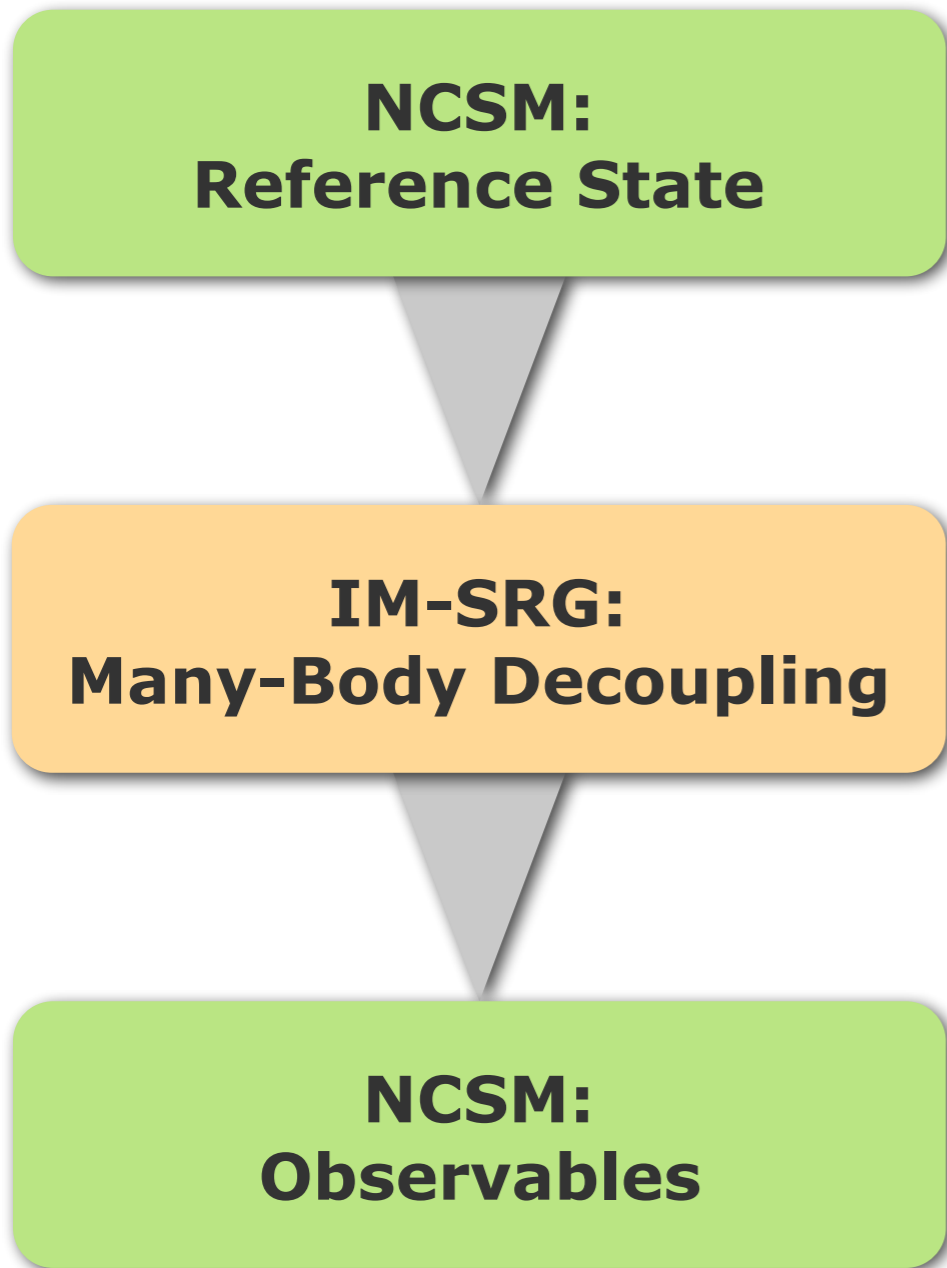
IM-SRG: Many-Body Decoupling

- IM-SRG evolution of multi-reference normal-ordered Hamiltonian (and other operators)
- decoupling of particle-hole excitations, i.e., pre-diagonalization in A -body space

NCSM: Observables

- use in-medium evolved Hamiltonian for a subsequent NCSM calculation
- access to ground and excited states and full suite of observables

Merging NCSM and IM-SRG

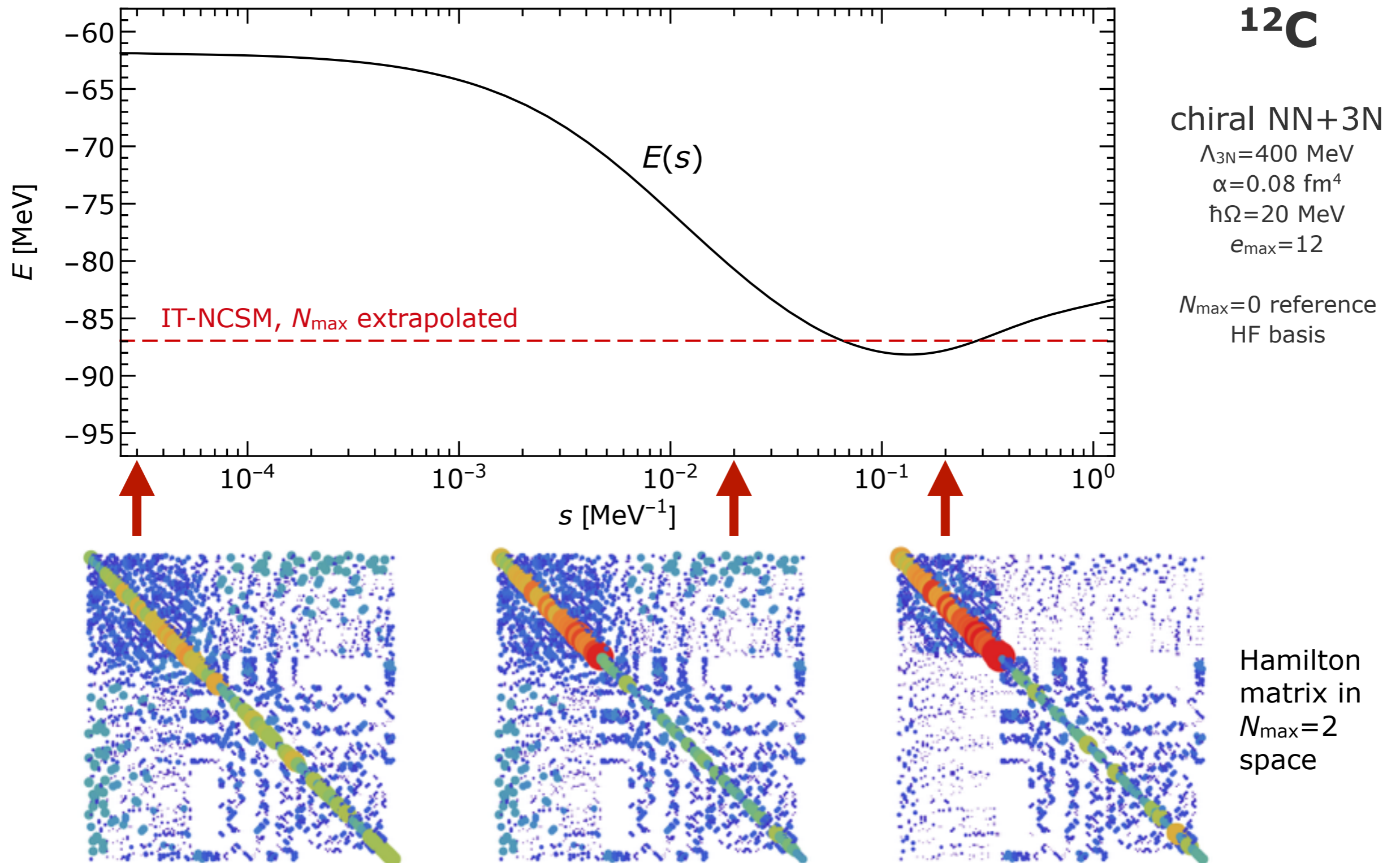


**IM-NCSM is different from
IM-SRG for valence-space interactions:**

- build on explicit multi-reference formulation for nucleus of choice
- full no-core approach, all nucleons active
- all model-space truncations are converged

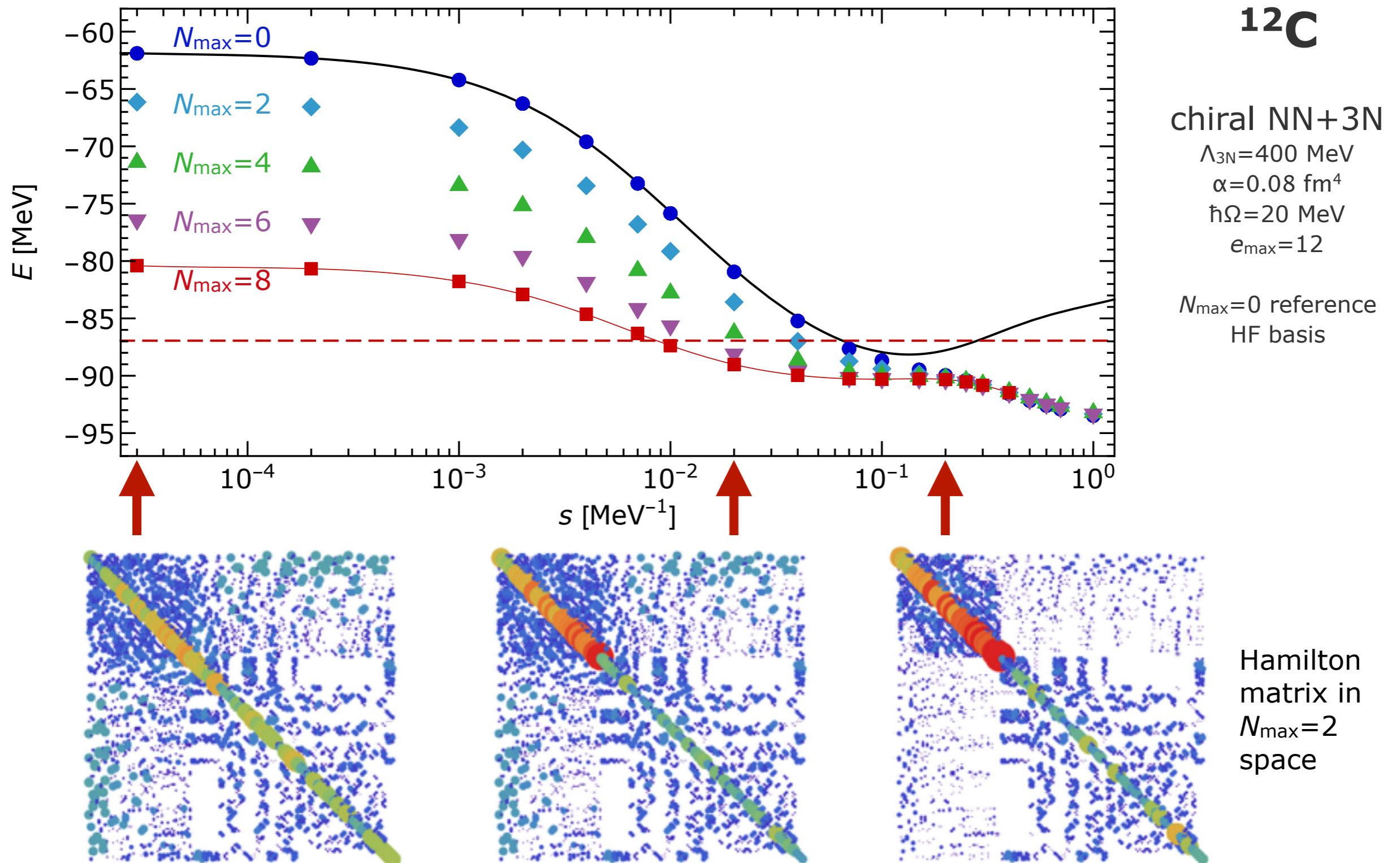
In-Medium SRG: Multi Reference

Gebreerufael et al., arXiv:1610.05254



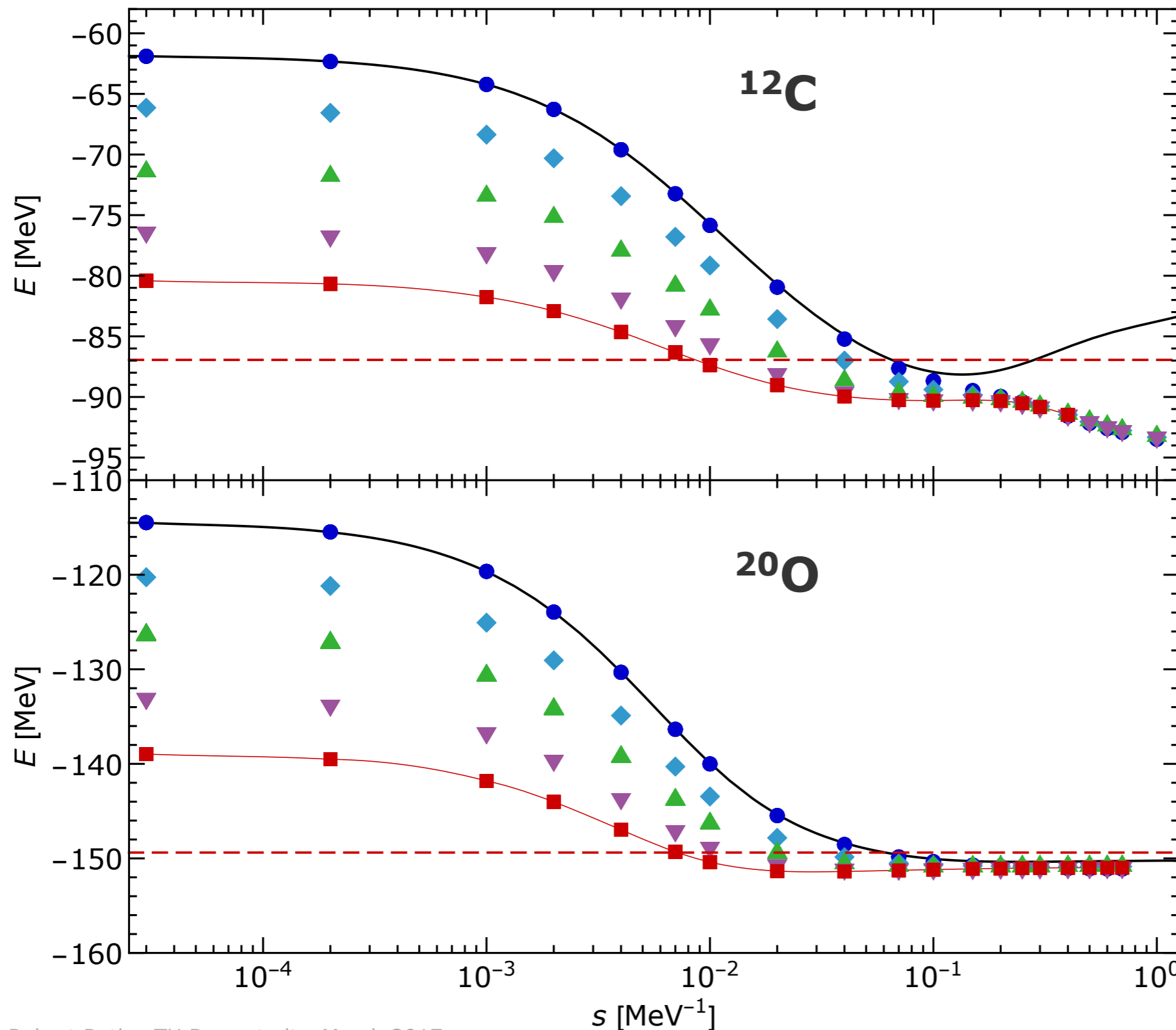
In-Medium SRG: Multi Reference

Gebrerufael et al., arXiv:1610.05254



Flow: Ground-State Energy

Gebrerufael et al., arXiv:1610.05254



- NCSM convergence is drastically improved
- $N_{\text{max}}=0$ eigenvalues deviated from $E(s)$
- identify plateau in s before induced terms blow up

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

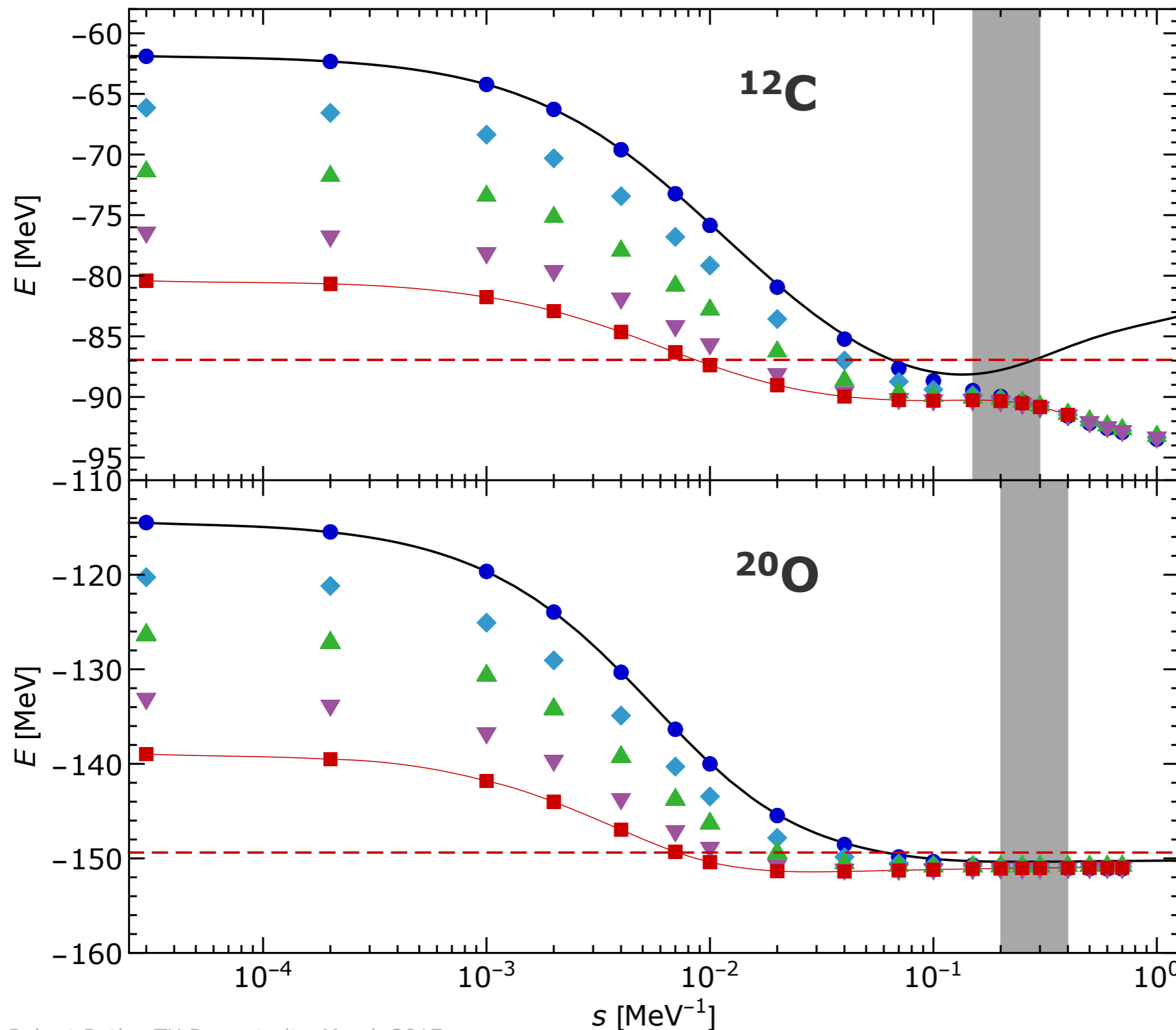
HF basis

$N_{\text{max}}=0$ reference state

$N_{\text{max}}=0, 2, 4, 6, 8$

Flow: Ground-State Energy

Gebrerufael et al., arXiv:1610.05254



- NCSM convergence is drastically improved
- $N_{\text{max}}=0$ eigenvalues deviated from $E(s)$
- identify plateau in s before induced terms blow up

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

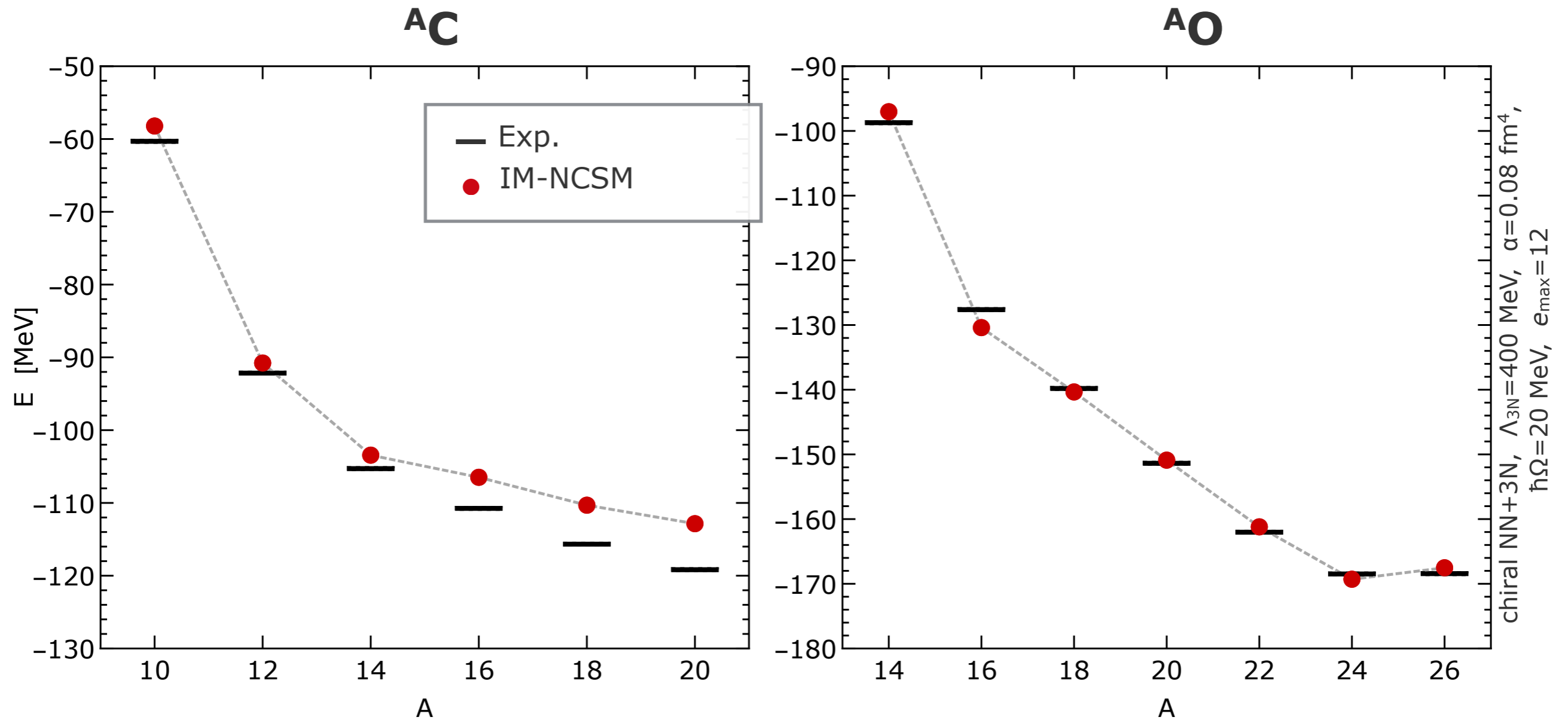
HF basis

$N_{\text{max}}=0$ reference state

$N_{\text{max}}=0, 2, 4, 6, 8$

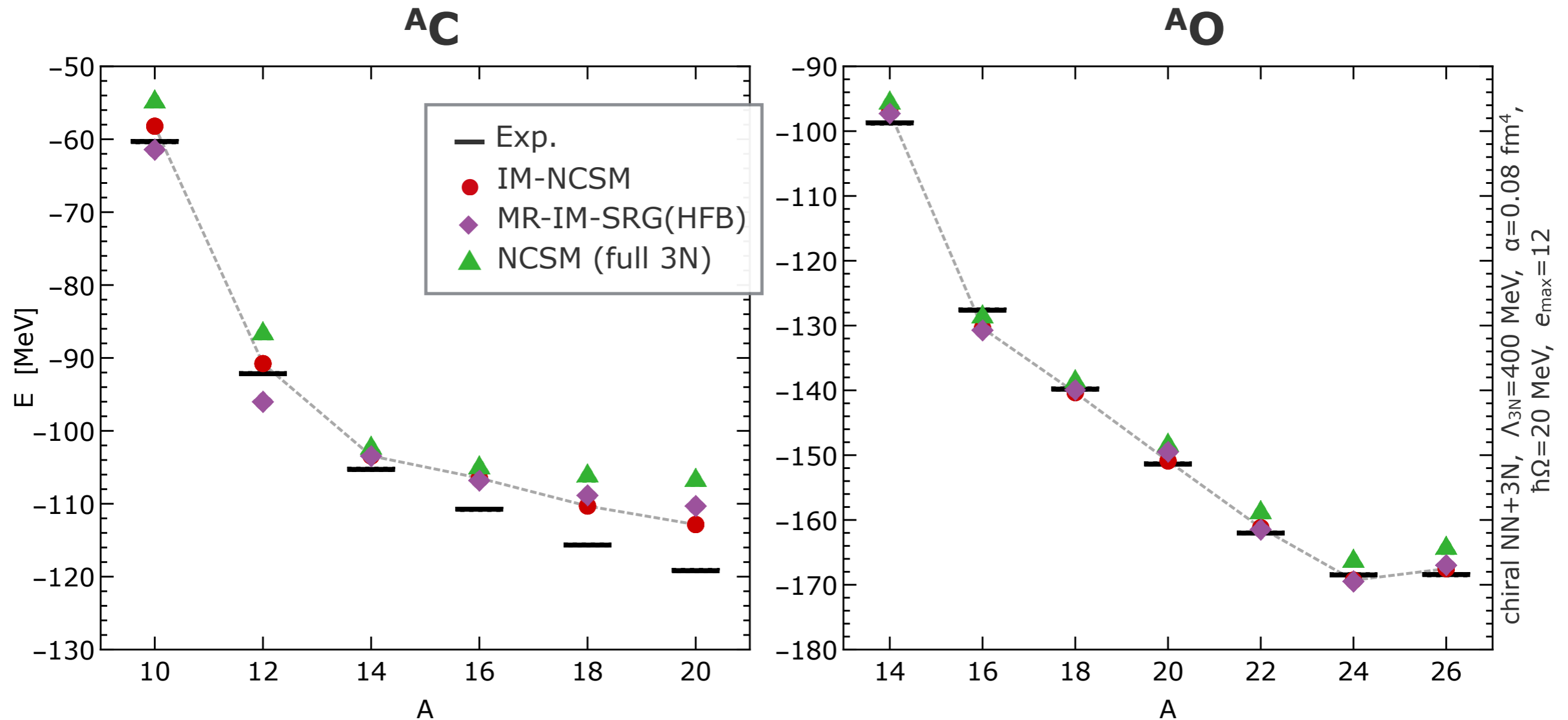
IM-NCSM: Ground-State Energies

Gebrerufael et al., arXiv:1610.05254



IM-NCSM: Ground-State Energies

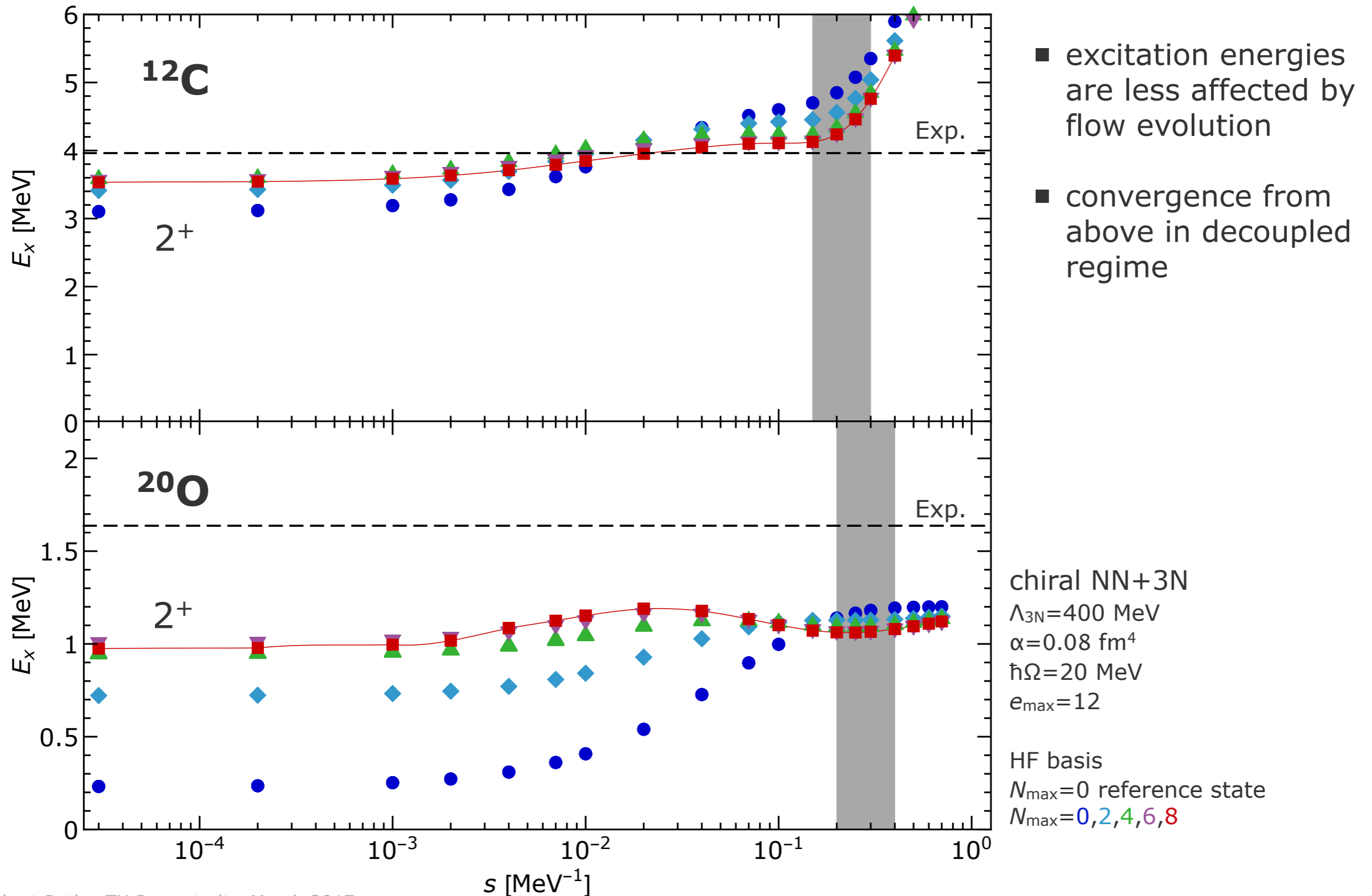
Gebrerufael et al., arXiv:1610.05254



- good agreement with NCSM within uncertainties expected from omission of normal-ordered many-body terms
- ¹²C shows surprisingly large spread among methods

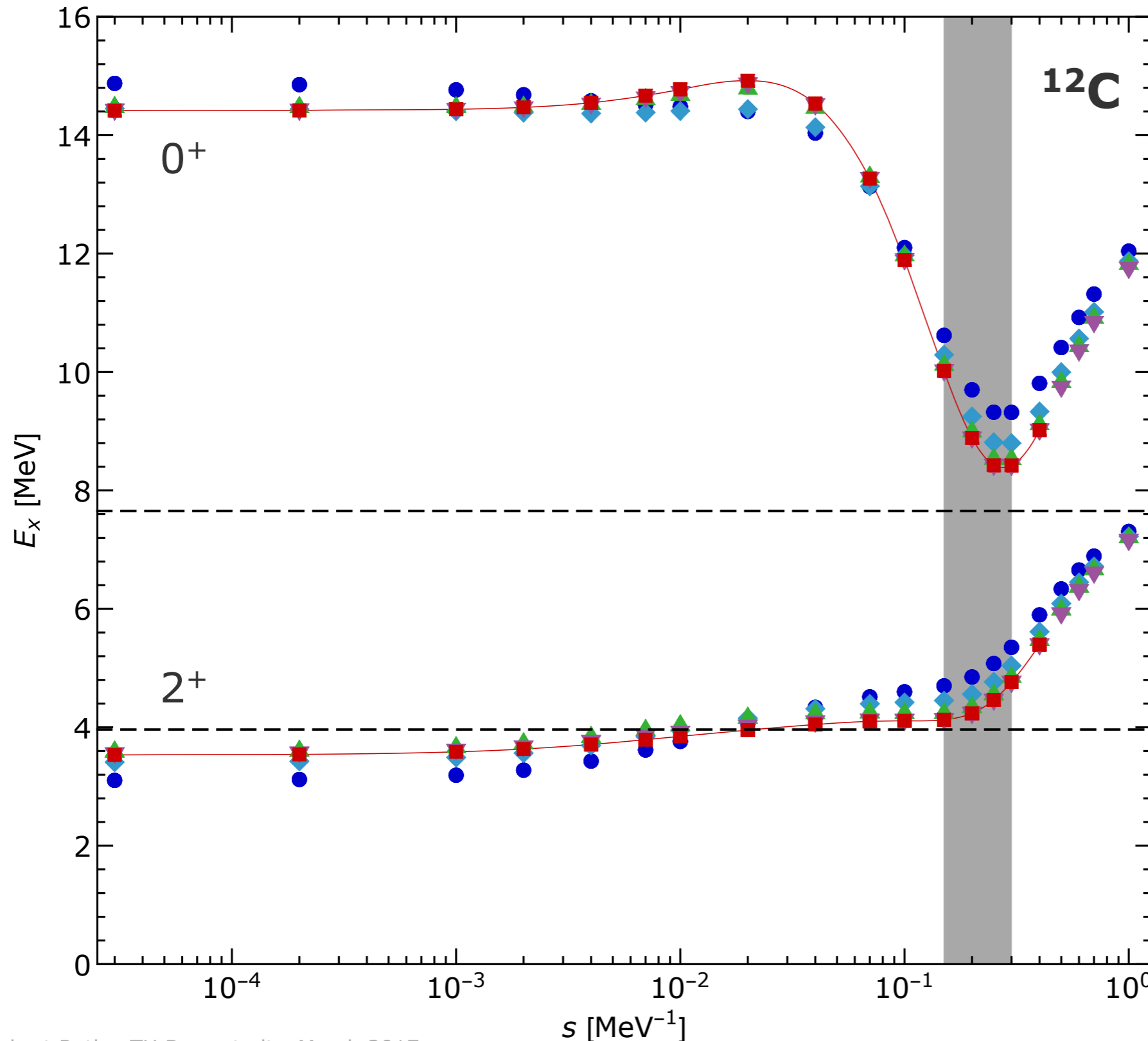
Flow: 2^+ Excitation Energy

Gebrerufael et al., arXiv:1610.05254



Flow: 0^+ Excitation Energy

Gebrerufael et al., arXiv:1610.05254



- excited 0^+ state behaves differently
- excitation energy drops by ~ 5 MeV in decoupling regime
- no stable result before induced many-body terms blow up

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

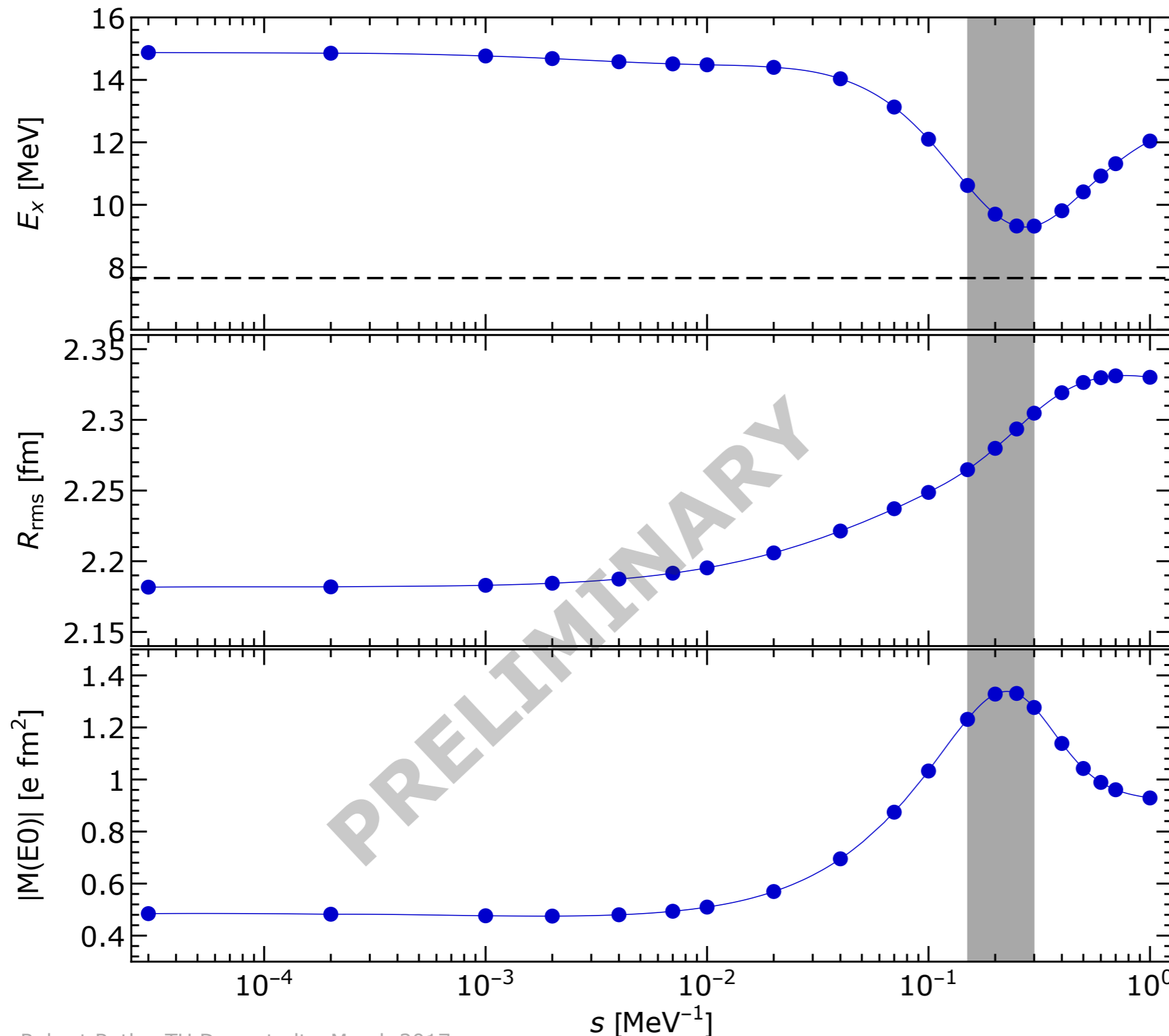
HF basis

$N_{\text{max}}=0$ reference state

$N_{\text{max}}=0, 2, 4, 6, 8$

Flow: Signatures of Hoyle State

Gebrerufael et al., arXiv:1610.05254



- trends are compatible with Hoyle-state interpretation
- need better control of induced many-body terms for quantitative statements

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\max}=12$

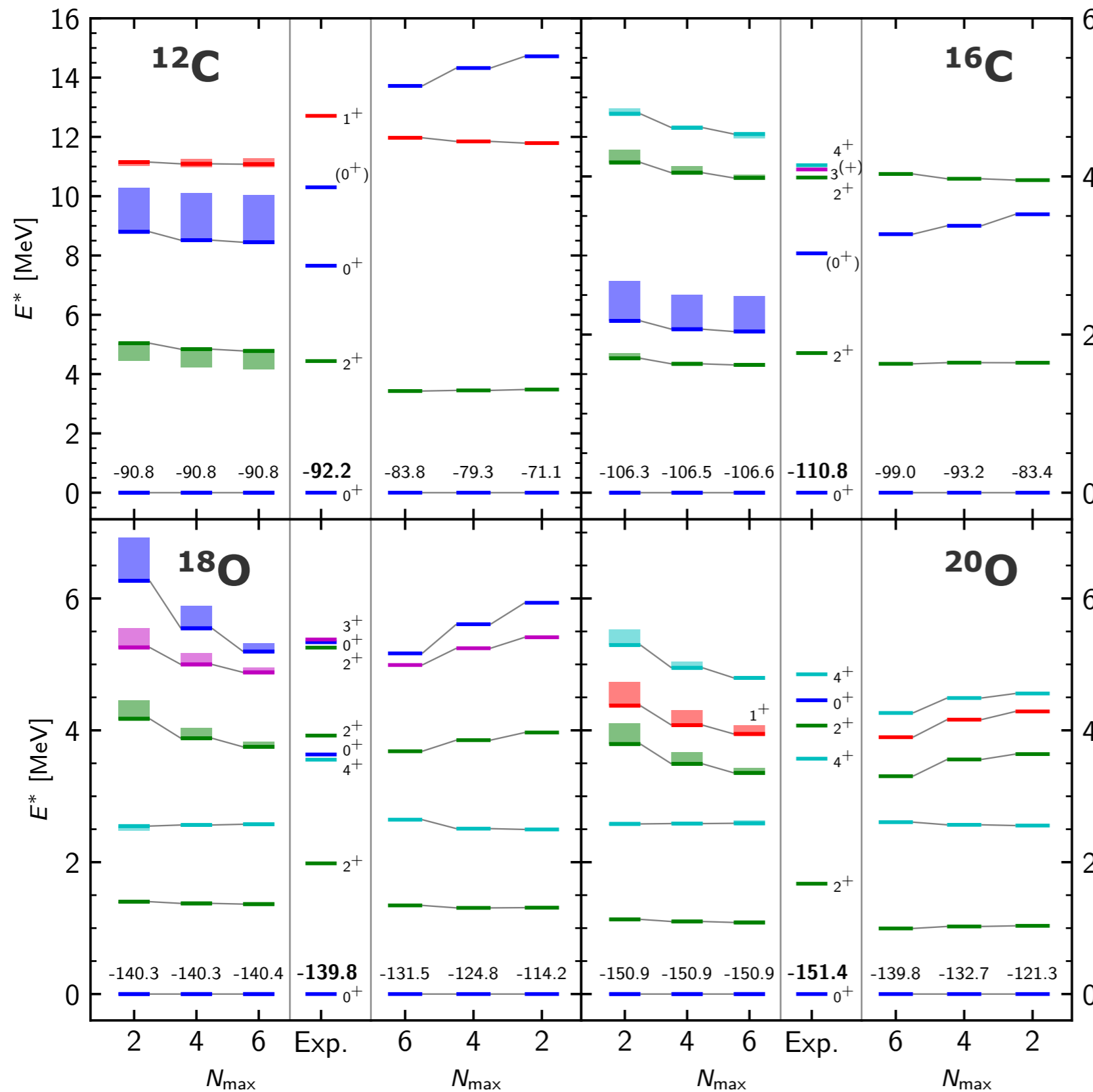
HF basis

$N_{\max}=0$ reference state

$N_{\max}=0$

IM-NCSM: Excitation Spectra

Gebrerufael et al., arXiv:1610.05254



■ IM-NCSM and direct NCSM in excellent agreement for converged states

■ first excited 0^+ states in ^{12}C and ^{16}C differ

chiral NN+3N
 $\Lambda_{3N}=400$ MeV
 $\alpha=0.08$ fm⁴
 $\hbar\Omega=16$ MeV
 $e_{\text{max}}=12$
 HF basis

NCSM-PT: Merging NCSM with MBPT

with A. Tichai

Merging NCSM and MBPT

**NCSM:
'Unperturbed' States**

- eigenstates from NCSM at moderate N_{\max} as unperturbed states
- access to all open-shell nuclei and systematically improvable

**MBPT:
Convergence Booster**

- multi-configurational MBPT at low orders for individual unperturbed states
- capture couplings in huge model-space through perturbative corrections

Multi-Configurational Perturbation Theory

Tichai et al., in prep.

- prior NCSM calculation: **reference or unperturbed state** is superposition of Slater determinants from reference space

$$|\Psi_{\text{ref}}\rangle = \sum_{\nu \in \mathcal{M}_{\text{ref}}} C_{\nu} |\Phi_{\nu}\rangle$$

- define partitioning and **unperturbed Hamiltonian**

$$H_0 = \epsilon_{\text{ref}} |\Psi_{\text{ref}}\rangle\langle\Psi_{\text{ref}}| + \sum_{\nu \notin \mathcal{M}_{\text{ref}}} \epsilon_{\nu} |\Phi_{\nu}\rangle\langle\Phi_{\nu}|$$

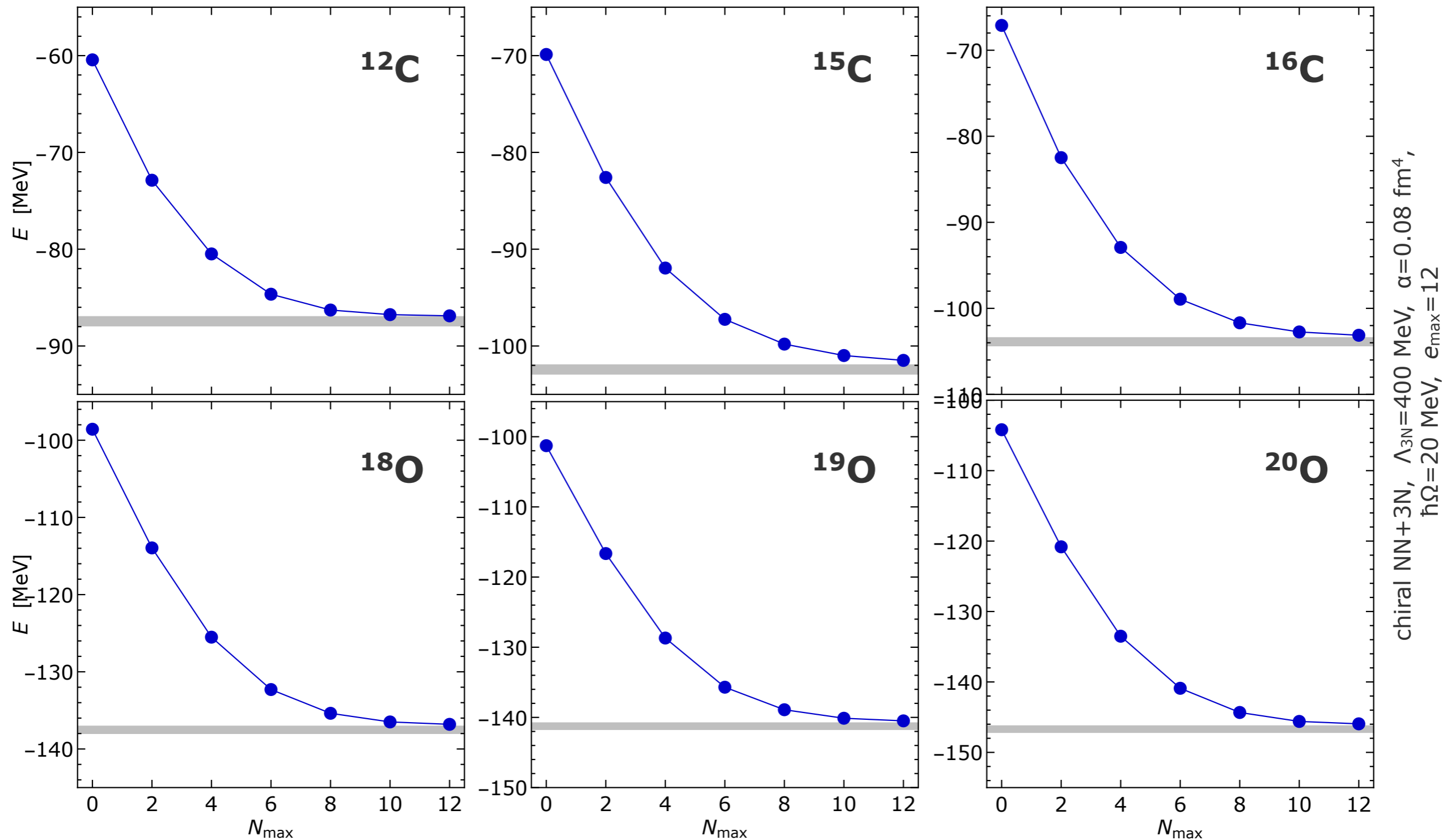
- evaluate **second-order correction** to the energy at many-body level

$$E^{(2)} = - \sum_{\nu \notin \mathcal{M}_{\text{ref}}} \frac{|\langle\Phi_{\nu}|H|\Psi_{\text{ref}}\rangle|^2}{\epsilon_{\nu} - \epsilon_{\text{ref}}}$$

- use **m-scheme NCSM technology** and multi-reference normal-ordering to evaluate matrix elements for $E^{(2)}$

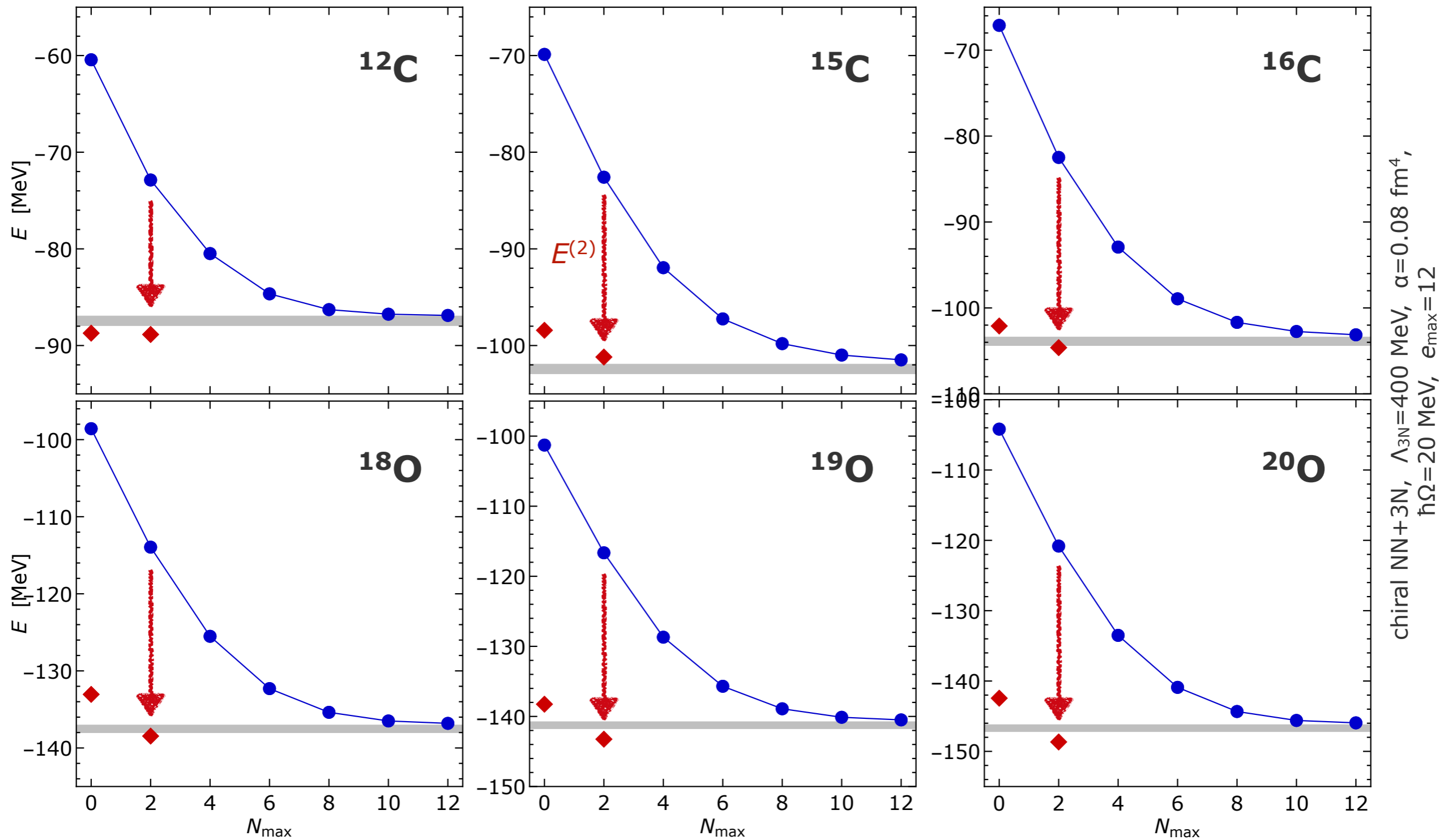
Ground-State Energies

Tichai et al., in prep.



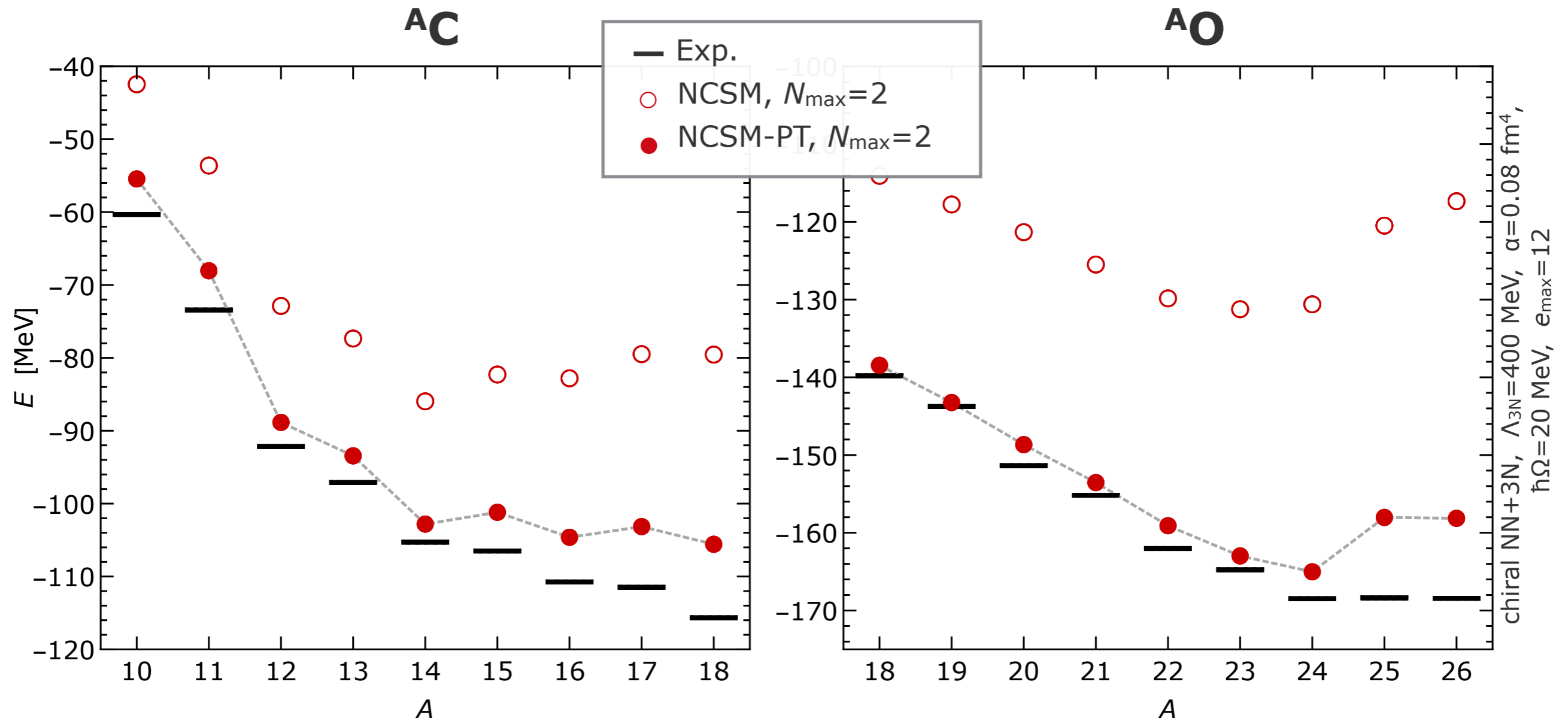
Ground-State Energies

Tichai et al., in prep.



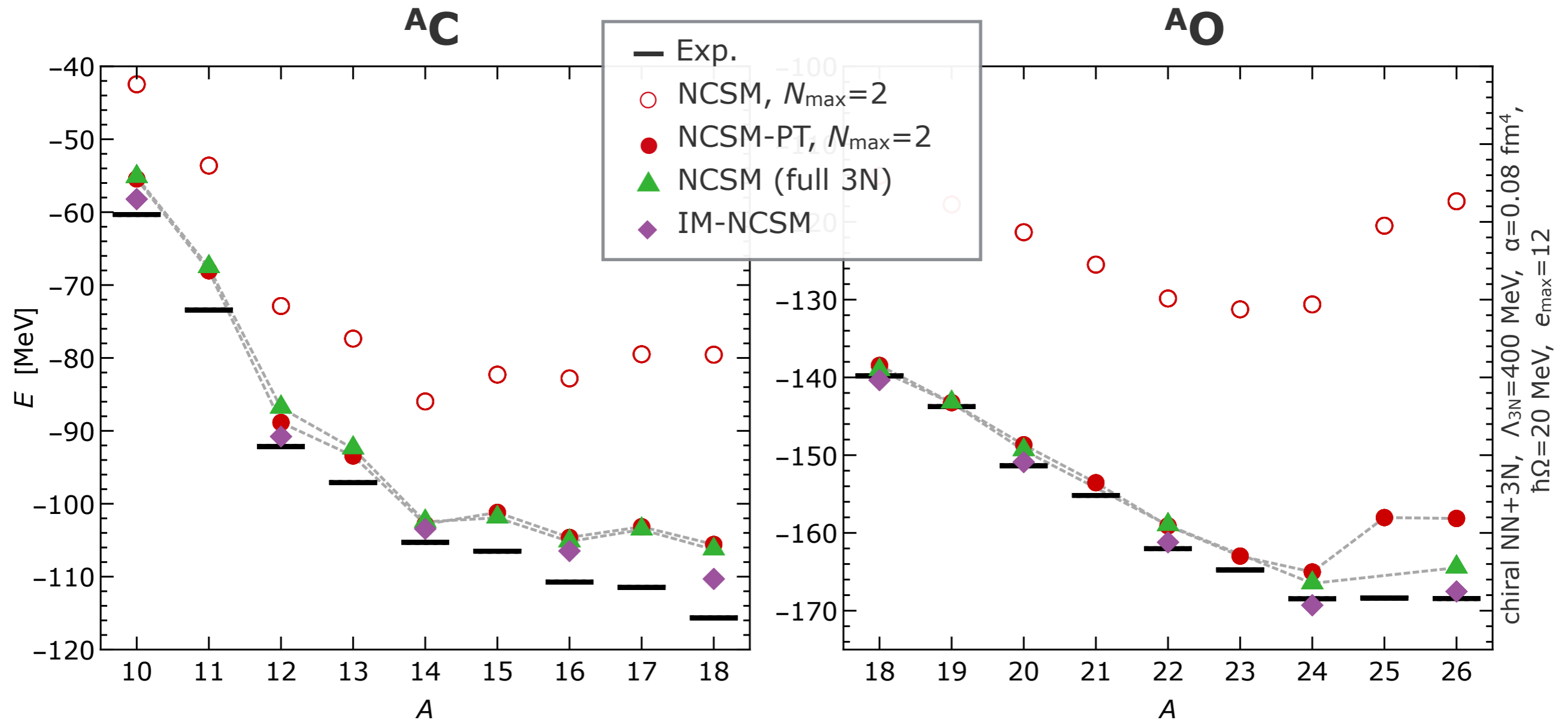
NCSM-PT: Ground-State Energies

Tichai et al., in prep.



NCSM-PT: Ground-State Energies

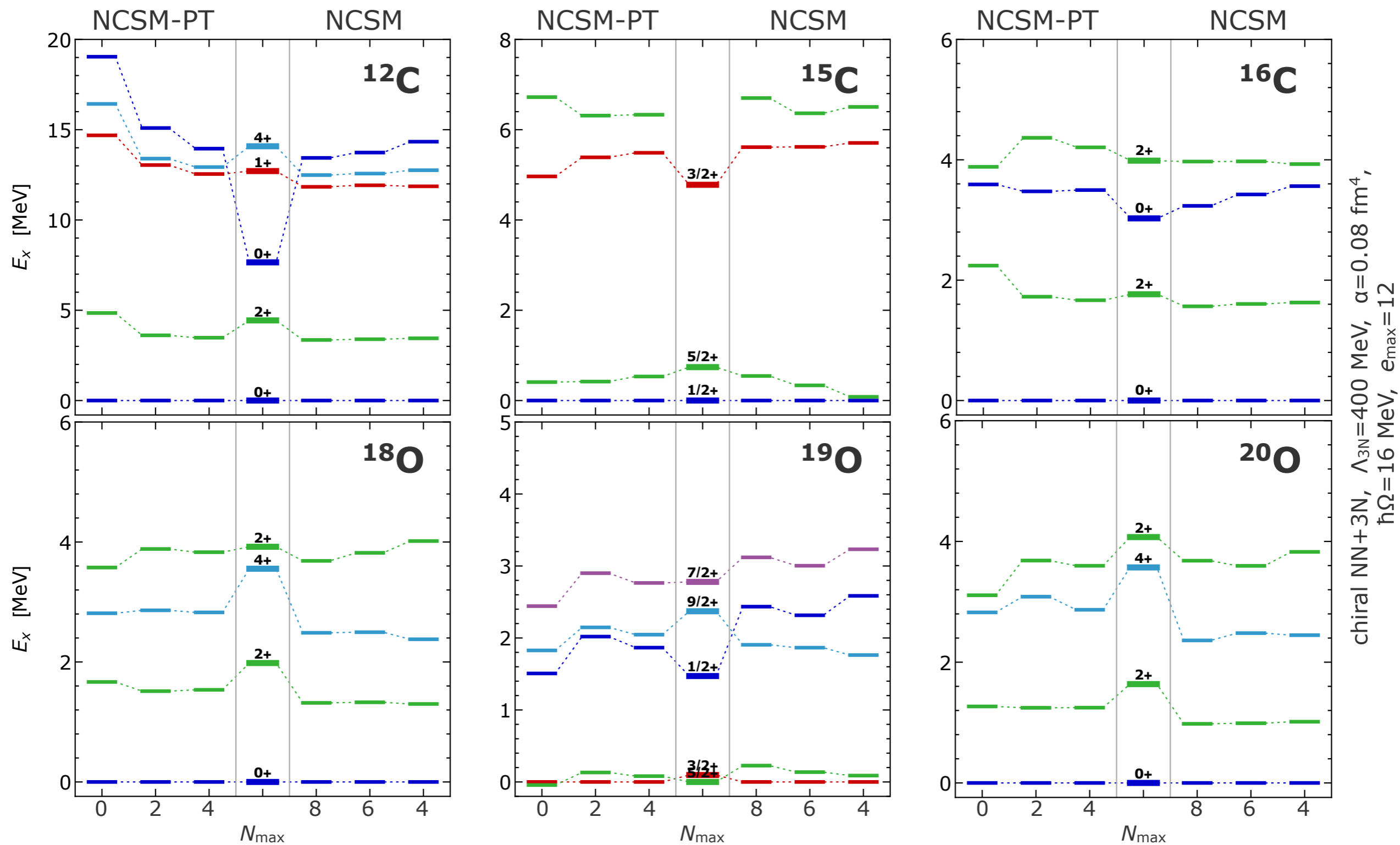
Tichai et al., in prep.



- excellent agreement with full NCSM except for nuclei beyond the drip line
- factor 1000 less CPU time for NCSM-PT compared to large-scale IM-NCSM

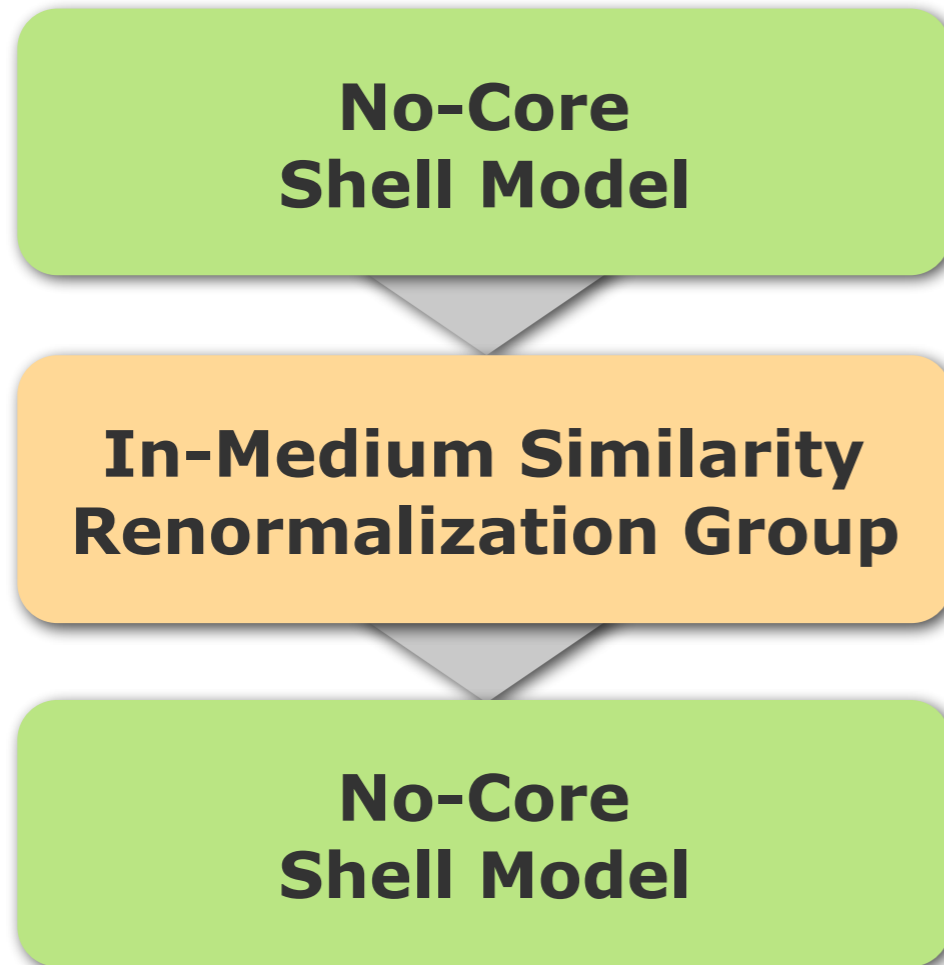
NCSM-PT: Excitation Spectra

Tichai et al., in prep.

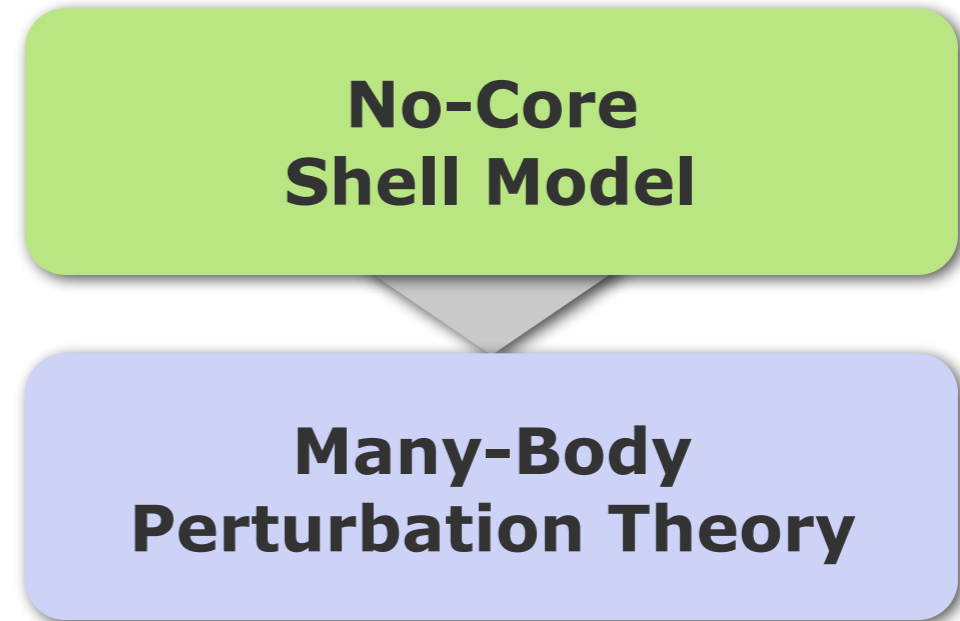


Conclusions: Hybrid Ab Initio Methods

IM-NCSM



NCSM-PT



- ab initio access to ground and excited states of fully open-shell medium-mass nuclei
- 2-3 orders of magnitude less CPU time than IT-NCSM and very different computational characteristics

Epilogue

■ thanks to my group and my collaborators

- S. Alexa, E. Gebrerufael, T. Hüther, L. Mertes, S. Schulz, H. Spielvogel, C. Stumpf, A. Tichai, K. Vobig, R. Wirth
Technische Universität Darmstadt
- P. Navrátil, A. Calci
TRIUMF, Vancouver
- S. Binder
Oak Ridge National Laboratory
- H. Hergert
NSCL / Michigan State University
- J. Vary, P. Maris
Iowa State University
- E. Epelbaum, H. Krebs & the LENPIC Collaboration
Universität Bochum, ...



Deutsche
Forschungsgemeinschaft

DFG

HIC | **FAIR**
for
Helmholtz International Center



Exzellente Forschung für
Hessens Zukunft

 **HELMHOLTZ**
| **GEMEINSCHAFT**

 Bundesministerium
für Bildung
und Forschung