

Gorkov-Green's functions in mid-mass nuclei with chiral interactions



Vittorio Somà (CEA Saclay)

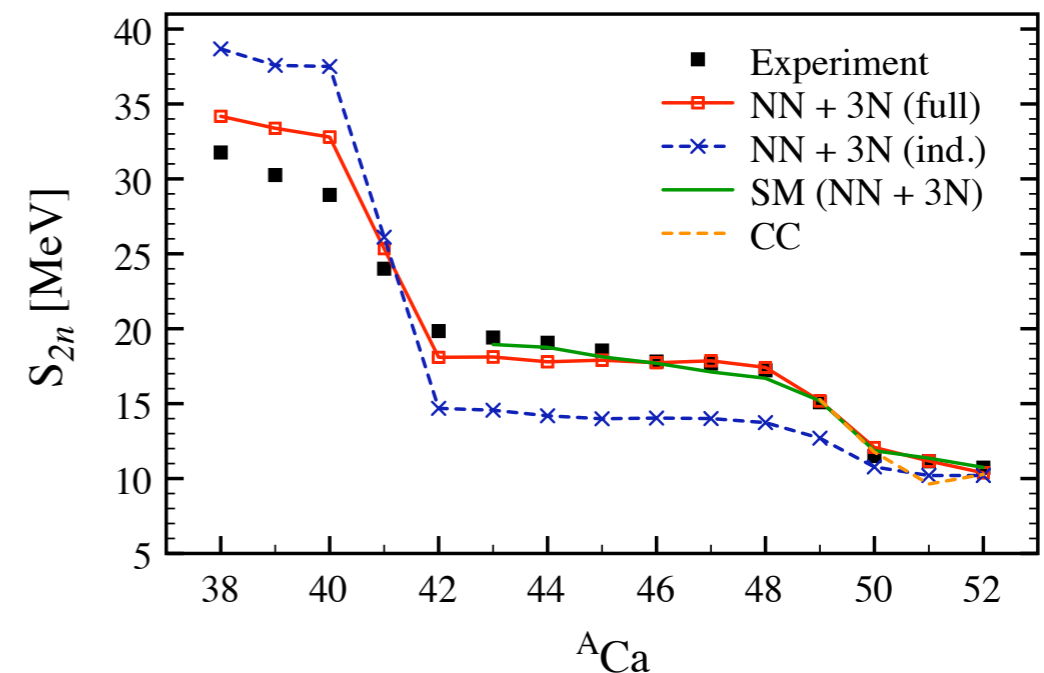
with

Carlo Barbieri (Uni. Surrey)

Andrea Cipollone (Uni. Surrey)

Thomas Duguet (CEA Saclay)

Petr Navrátil (TRIUMF)



Nuclear structure & reactions: experimental and ab initio theoretical perspectives

TRIUMF, 21 February 2014

Going *open-shell*: Gorkov-Green's functions

★ Self-consistent Green's functions

- ⇒ Many-body truncation in the self-energy expansion (cf. CC, IM-SRG, ...)
- ⇒ Access to $A\pm 1$ systems via spectral function
- ⇒ Natural connection to scattering (e.g. optical potentials)

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★ Gorkov scheme

- ⇒ **Goes beyond standard expansion schemes** limited to doubly closed-shell
 - Formulate the expansion scheme around a Bogoliubov vacuum
 - **Single-reference** method (cf. MR in quantum chemistry or IM-SRG)
 - Exploit breaking (and restoration) of U(1) symmetry
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- *Formalism* VS, Duguet & Barbieri, PRC 84 064317 (2011)
- *Proof of principle* VS, Barbieri & Duguet, PRC 87 011303 (2013)
- *Technical aspects* VS, Barbieri & Duguet, arXiv:1311.1989 (2013)
- *NN+3N* VS, Cipollone, Barbieri, Navrátil & Duguet, arXiv:1312.2068 (2013)

Gorkov framework

★ Auxiliary many-body state

⇒ **Mixes various particle numbers** $|\Psi_0\rangle \equiv \sum_A^{\text{even}} c_A |\psi_0^A\rangle$

⇒ Introduce a “grand-canonical” potential $\Omega = H - \mu A$

⇒ $|\Psi_0\rangle$ minimizes $\Omega_0 = \langle \Psi_0 | \Omega | \Psi_0 \rangle$ under the constraint $A = \langle \Psi_0 | A | \Psi_0 \rangle$

⇒ **Observables of the A-body system** $\Omega_0 = \sum_{A'} |c_{A'}|^2 \Omega_0^{A'} \approx E_0^A - \mu A$

★ Set of 4 propagators

[Gorkov 1958]

$$i G_{ab}^{11}(t, t') \equiv \langle \Psi_0 | T \{ a_a(t) a_b^\dagger(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} a \\ \uparrow \uparrow \\ \downarrow \downarrow \\ b \end{array}$$

$$i G_{ab}^{21}(t, t') \equiv \langle \Psi_0 | T \{ \bar{a}_a^\dagger(t) a_b^\dagger(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} \bar{a} \\ \downarrow \downarrow \\ \uparrow \uparrow \\ b \end{array}$$

$$i G_{ab}^{12}(t, t') \equiv \langle \Psi_0 | T \{ a_a(t) \bar{a}_b(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} a \\ \uparrow \uparrow \\ \downarrow \downarrow \\ \bar{b} \end{array}$$

$$i G_{ab}^{22}(t, t') \equiv \langle \Psi_0 | T \{ \bar{a}_a^\dagger(t) \bar{a}_b(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} \bar{a} \\ \downarrow \downarrow \\ \uparrow \uparrow \\ \bar{b} \end{array}$$

Inside the Green's function

★ Separation energy spectrum

$$G_{ab}^{11}(\omega) = \sum_k \left\{ \frac{\mathcal{U}_a^k \mathcal{U}_b^{k*}}{\omega - \omega_k + i\eta} + \frac{\bar{\mathcal{V}}_a^{k*} \bar{\mathcal{V}}_b^k}{\omega + \omega_k - i\eta} \right\}$$

Lehmann representation

where

$$\begin{cases} \mathcal{U}_a^{k*} \equiv \langle \Psi_k | a_a^\dagger | \Psi_0 \rangle \\ \mathcal{V}_a^{k*} \equiv \langle \Psi_k | \bar{a}_a | \Psi_0 \rangle \end{cases}$$

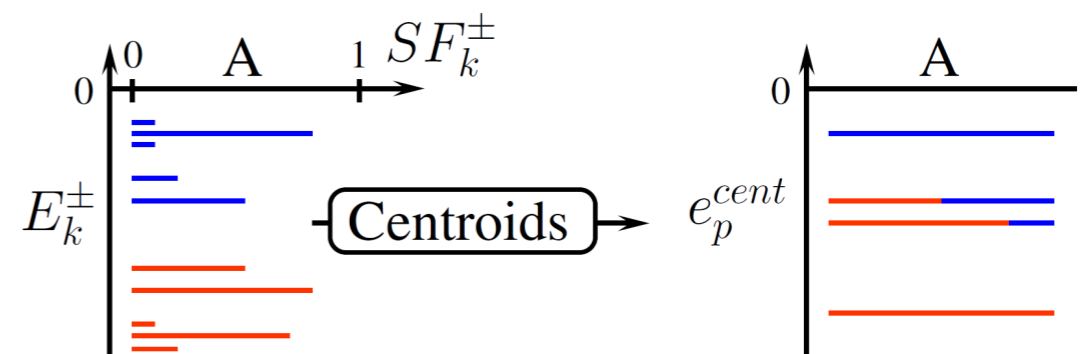
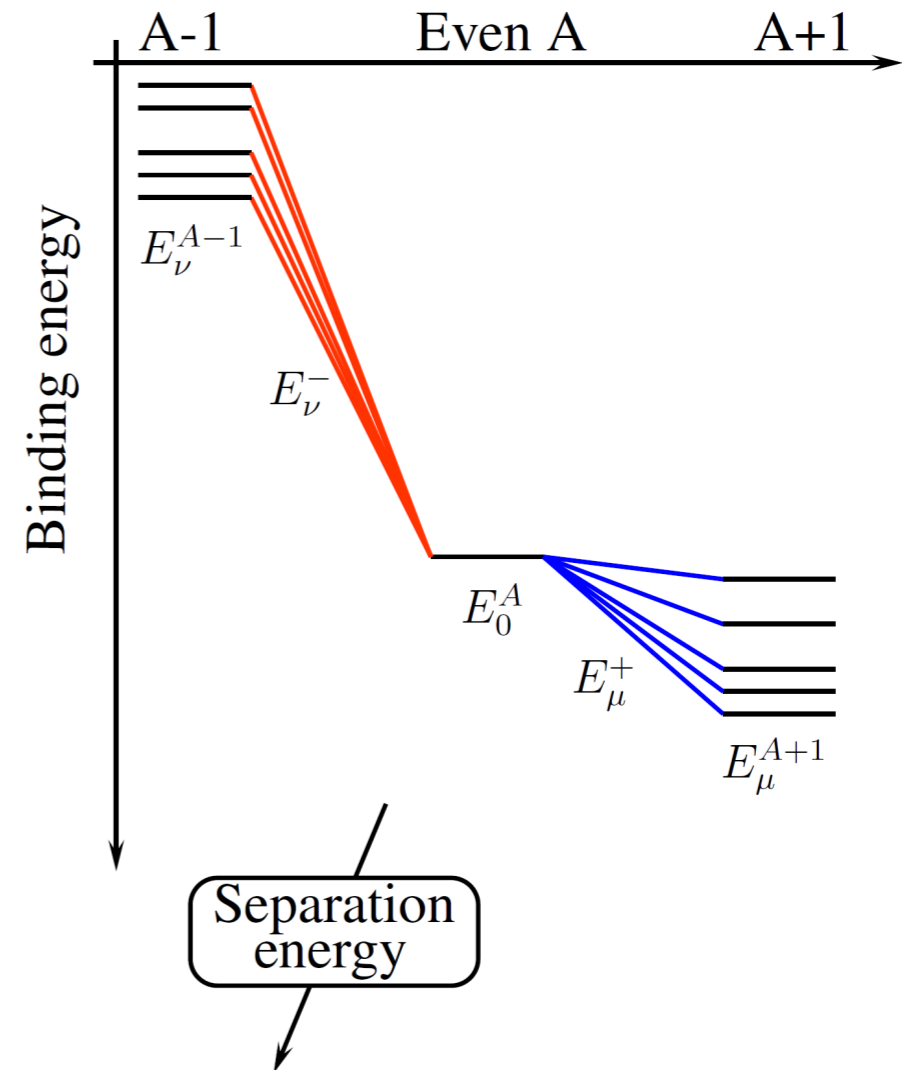
and

$$\begin{cases} E_k^+(A) \equiv E_k^{A+1} - E_0^A \equiv \mu + \omega_k \\ E_k^-(A) \equiv E_0^A - E_k^{A-1} \equiv \mu - \omega_k \end{cases}$$

★ Spectroscopic factors

$$SF_k^+ \equiv \sum_{a \in \mathcal{H}_1} |\langle \psi_k | a_a^\dagger | \psi_0 \rangle|^2 = \sum_{a \in \mathcal{H}_1} |\mathcal{U}_a^k|^2$$

$$SF_k^- \equiv \sum_{a \in \mathcal{H}_1} |\langle \psi_k | a_a | \psi_0 \rangle|^2 = \sum_{a \in \mathcal{H}_1} |\mathcal{V}_a^k|^2$$



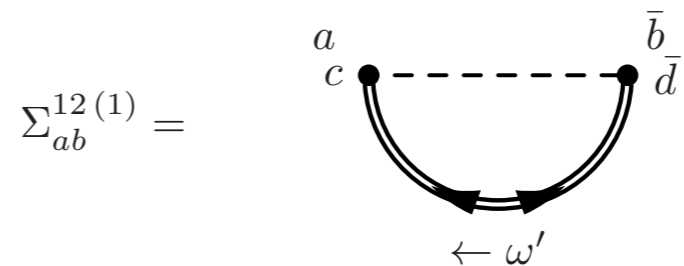
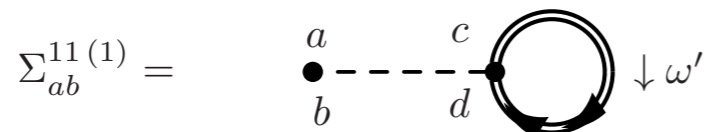
[figure from J. Sadoudi]

Gorkov equation

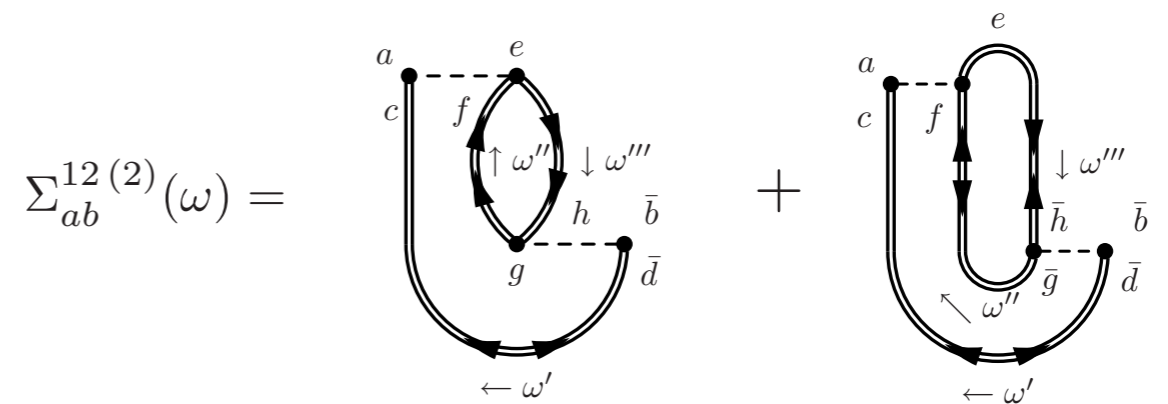
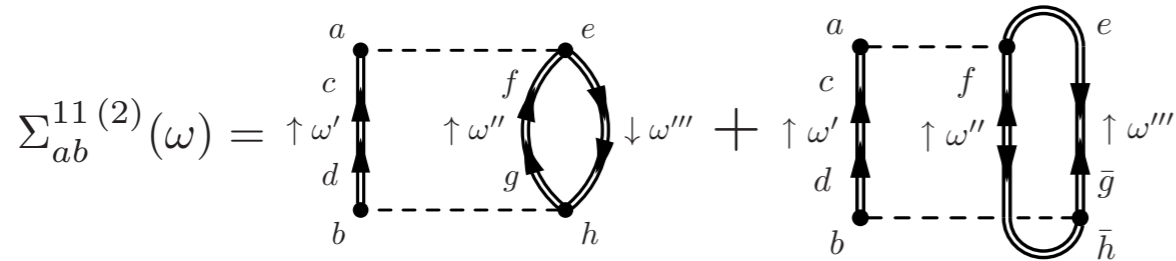
★ Gorkov equation \longrightarrow energy *dependent* eigenvalue problem

$$\sum_b \begin{pmatrix} t_{ab} - \mu_{ab} + \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & -t_{ab} + \mu_{ab} + \Sigma_{ab}^{22}(\omega) \end{pmatrix} \Big|_{\omega_k} \begin{pmatrix} \mathcal{U}_b^k \\ \mathcal{V}_b^k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}_a^k \\ \mathcal{V}_a^k \end{pmatrix}$$

★ 1st order \rightsquigarrow energy-*independent* self-energy



★ 2nd order \rightsquigarrow energy-*dependent* self-energy



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[Schirmer & Angonoa 1989]

energy *independent* eigenvalue problem

$\propto N_b^3$
typically $\sim 10^6$ - 10^7

$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & \mathcal{C} & -\mathcal{D}^\dagger \\ \tilde{h}^\dagger & -T + \mu - \Lambda & -\mathcal{D}^\dagger & \mathcal{C} \\ \mathcal{C}^\dagger & -\mathcal{D} & E & 0 \\ -\mathcal{D} & \mathcal{C}^\dagger & 0 & -E \end{pmatrix} \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \tilde{\mathcal{Z}}_k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \tilde{\mathcal{Z}}_k \end{pmatrix}$$

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Krylov space eigenvalue problem

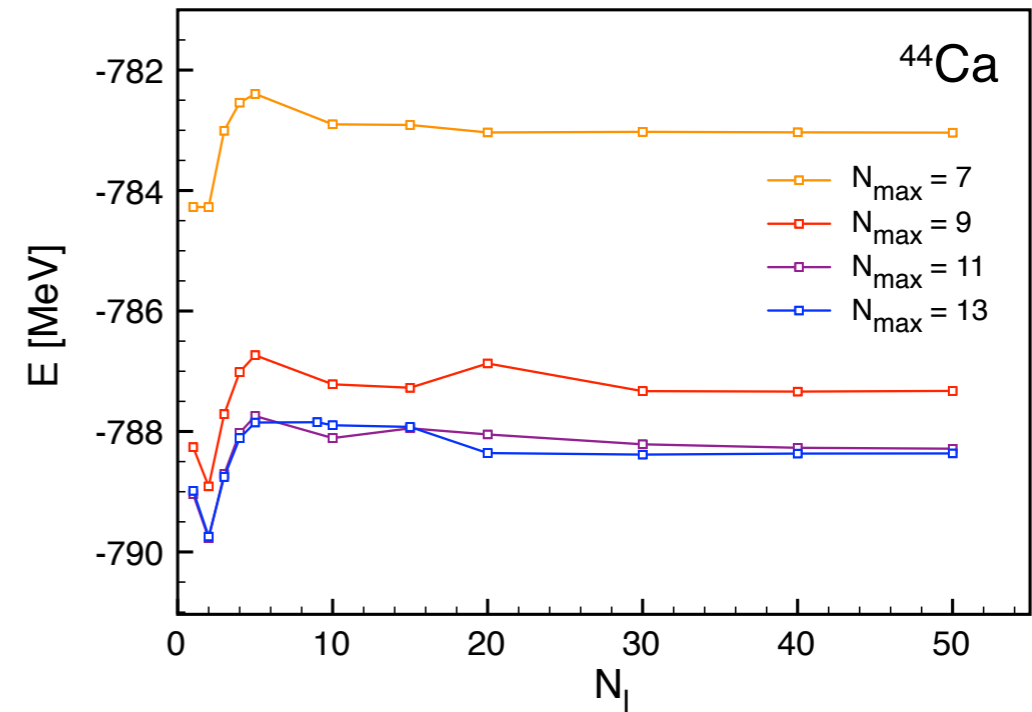
$\propto N_{\text{Lanczos}}$
typically $\sim 10^2-10^3$

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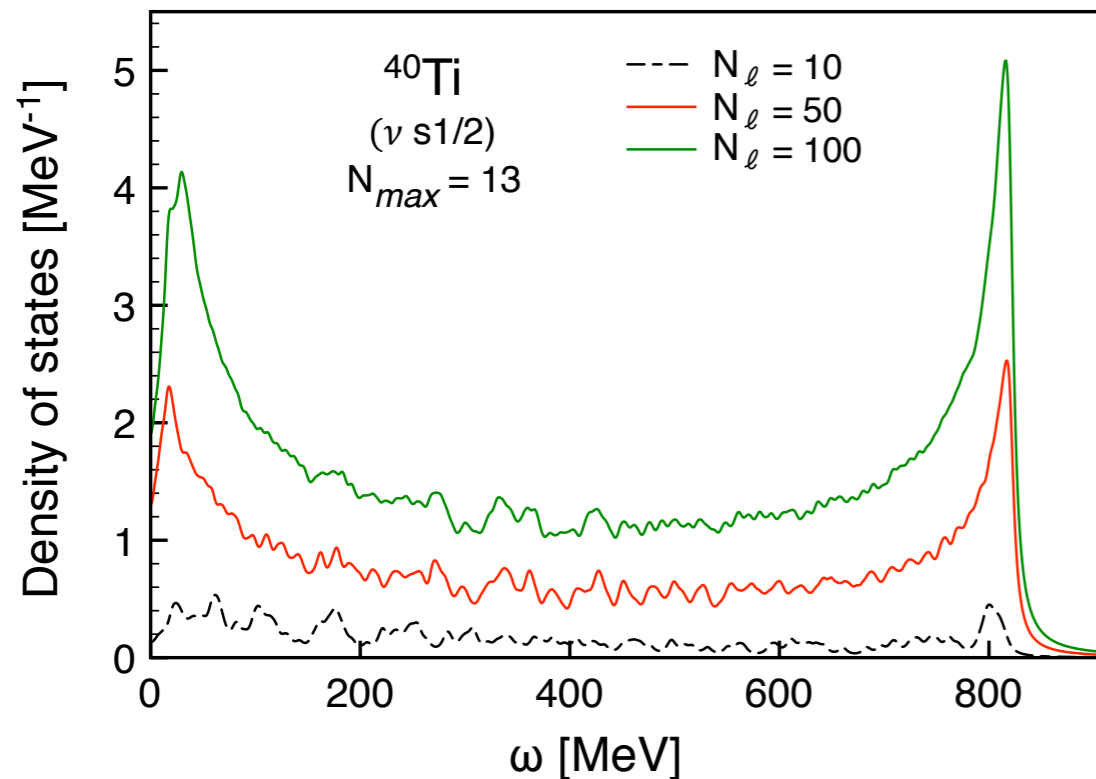
Testing Krylov projection

- ★ Energy & spectral distribution independent of the projection
- ★ Same behavior for all model spaces

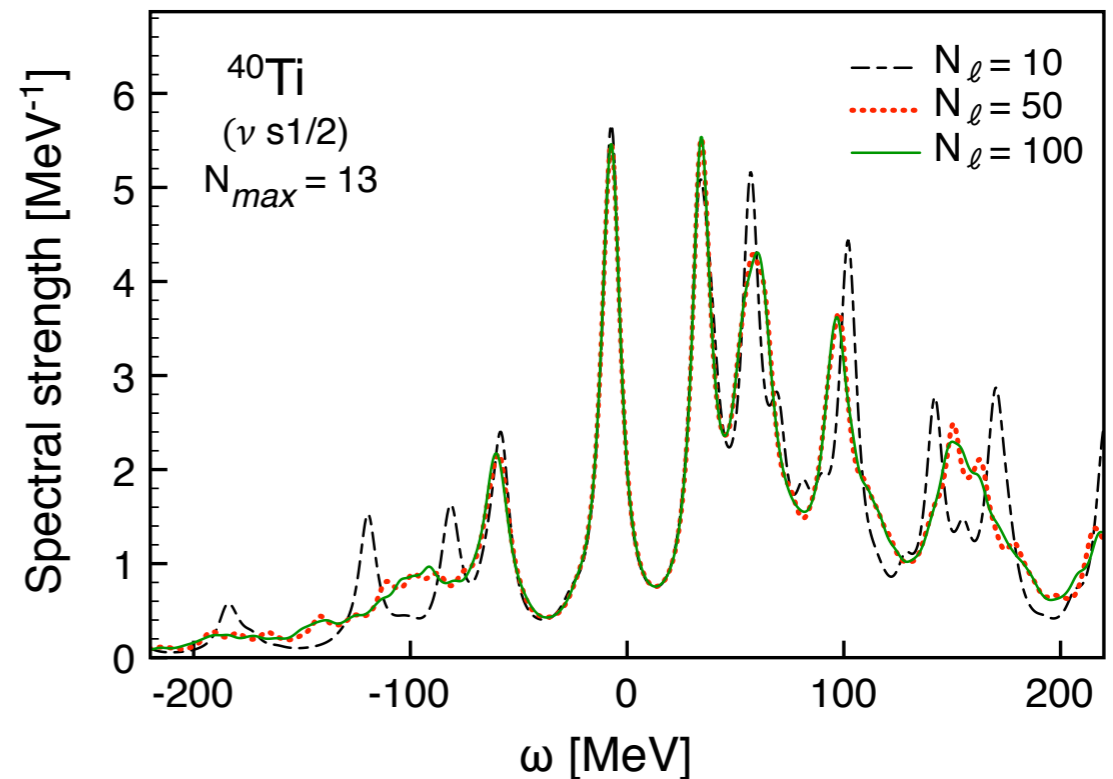
[VS, Barbieri & Duguet 2013]



Density of states



Spectral strength



Odd-even systems

★ Current implementation targets $J^\pi = 0^+$ states

⇒ Equations simplify: j-coupled scheme, block-diagonal structure, ...

Odd-even systems

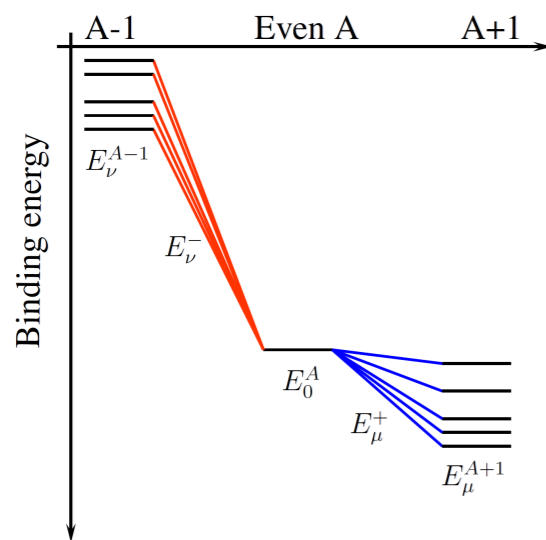
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★ Different possibilities to compute odd-even g.s. energies:

① From separation energies

⇒ Either from $A-1$ or $A+1$



Odd-even systems

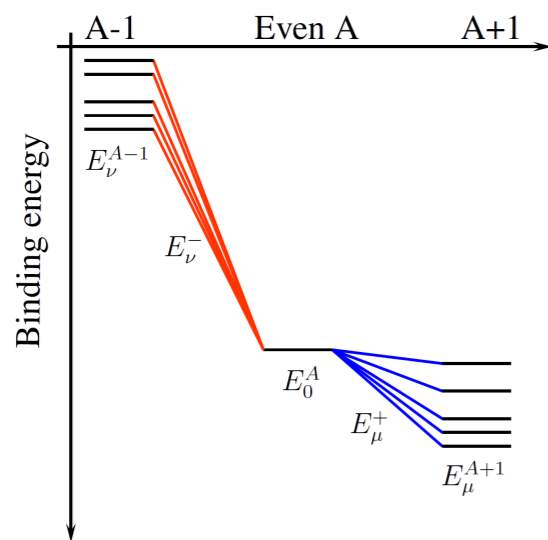
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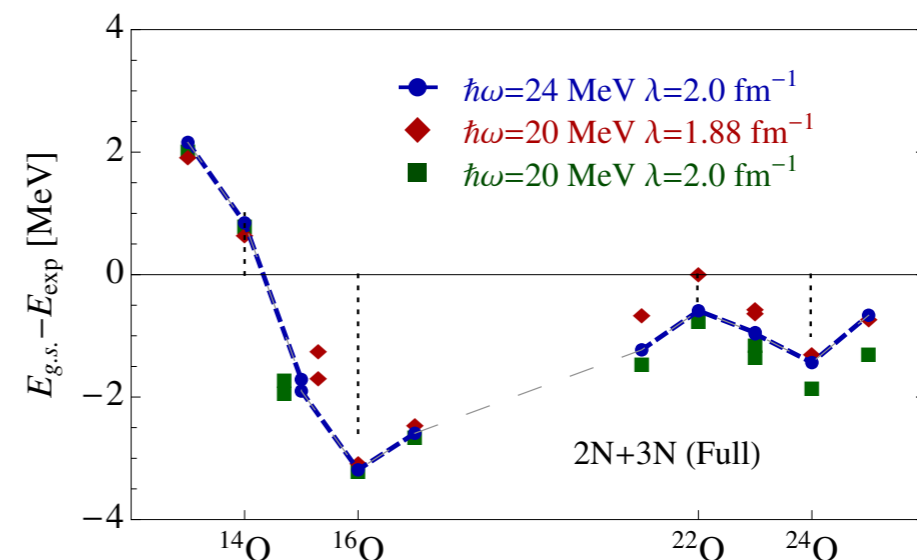
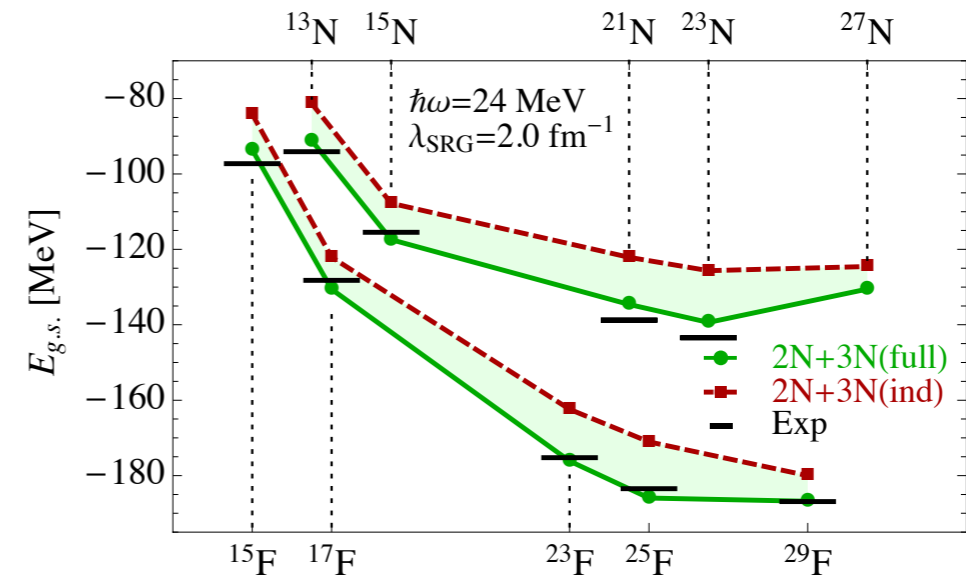
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[Cipollone, Barbieri & Navrátil 2013]



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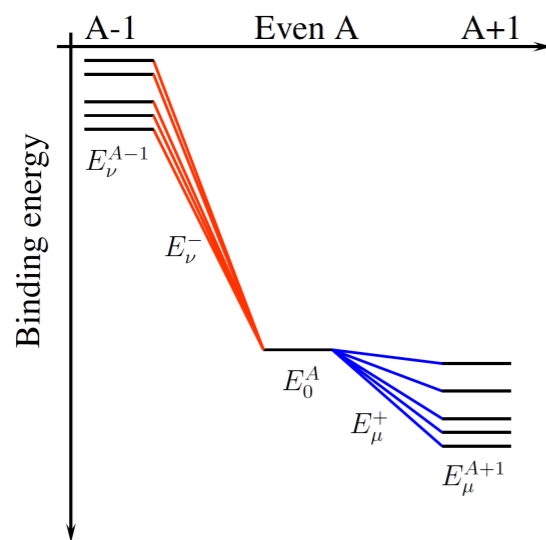
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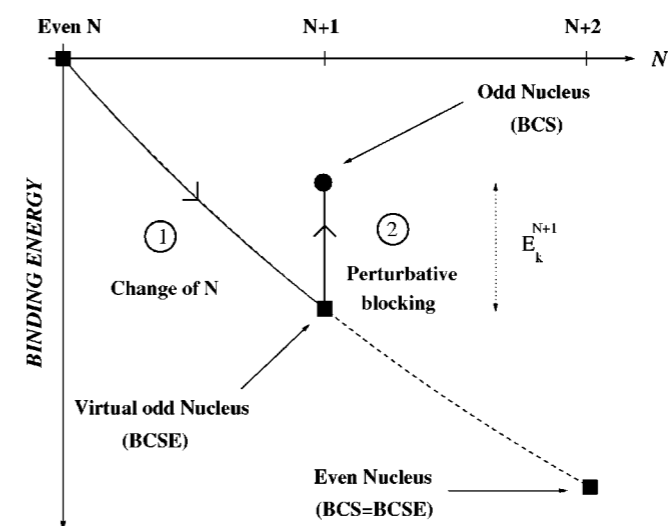
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⇒ “Fake” odd-A plus correction



[Duguet *et al.* 2001]

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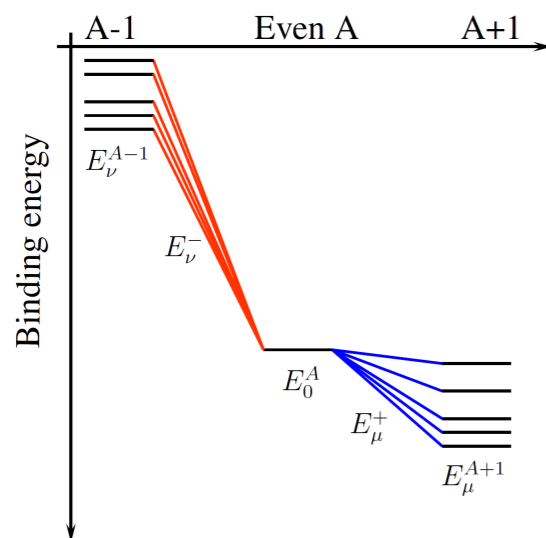
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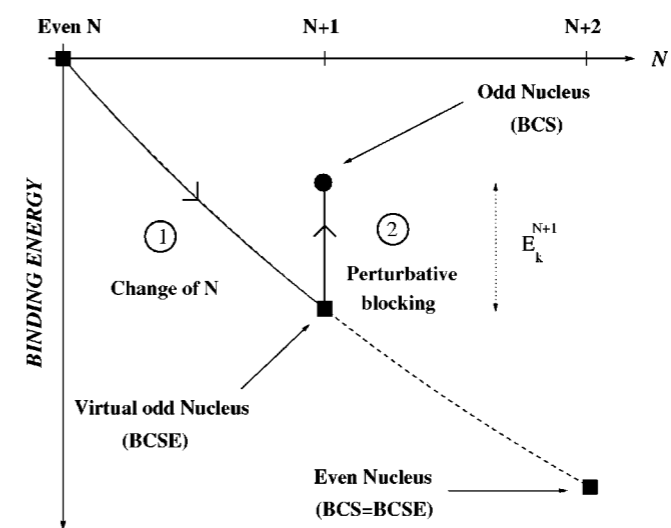
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[Duguet *et al.* 2001]

Two methods agree within 2-300 keV

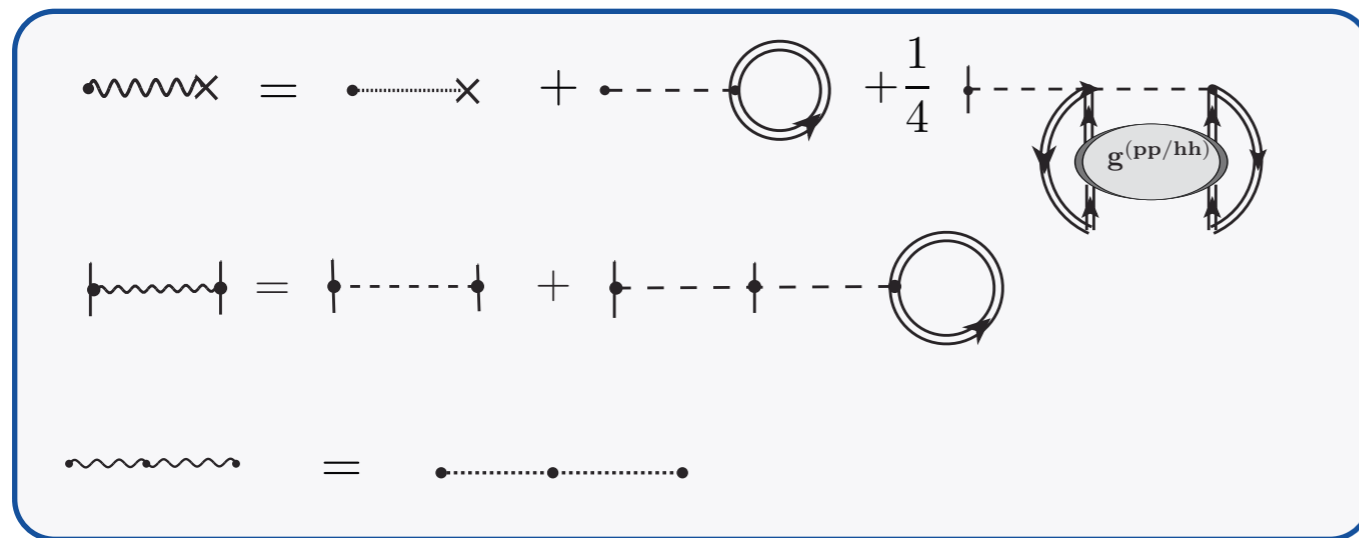
Three-body forces

★ One- and two-body forces derived from the 3N part of the Hamiltonian

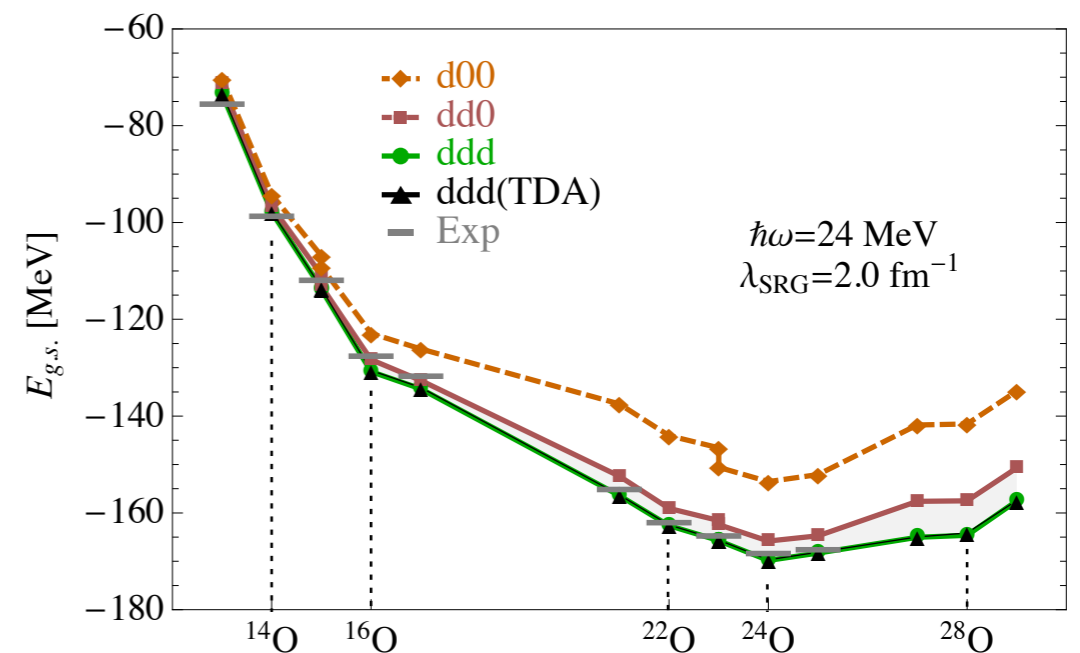
⇒ Contractions with **fully correlated density matrix**

⇒ Generalization of normal ordering

★ Galitskii-Koltun sum rule modified to account for 3N piece



[Cipollone *et al.* 2013]

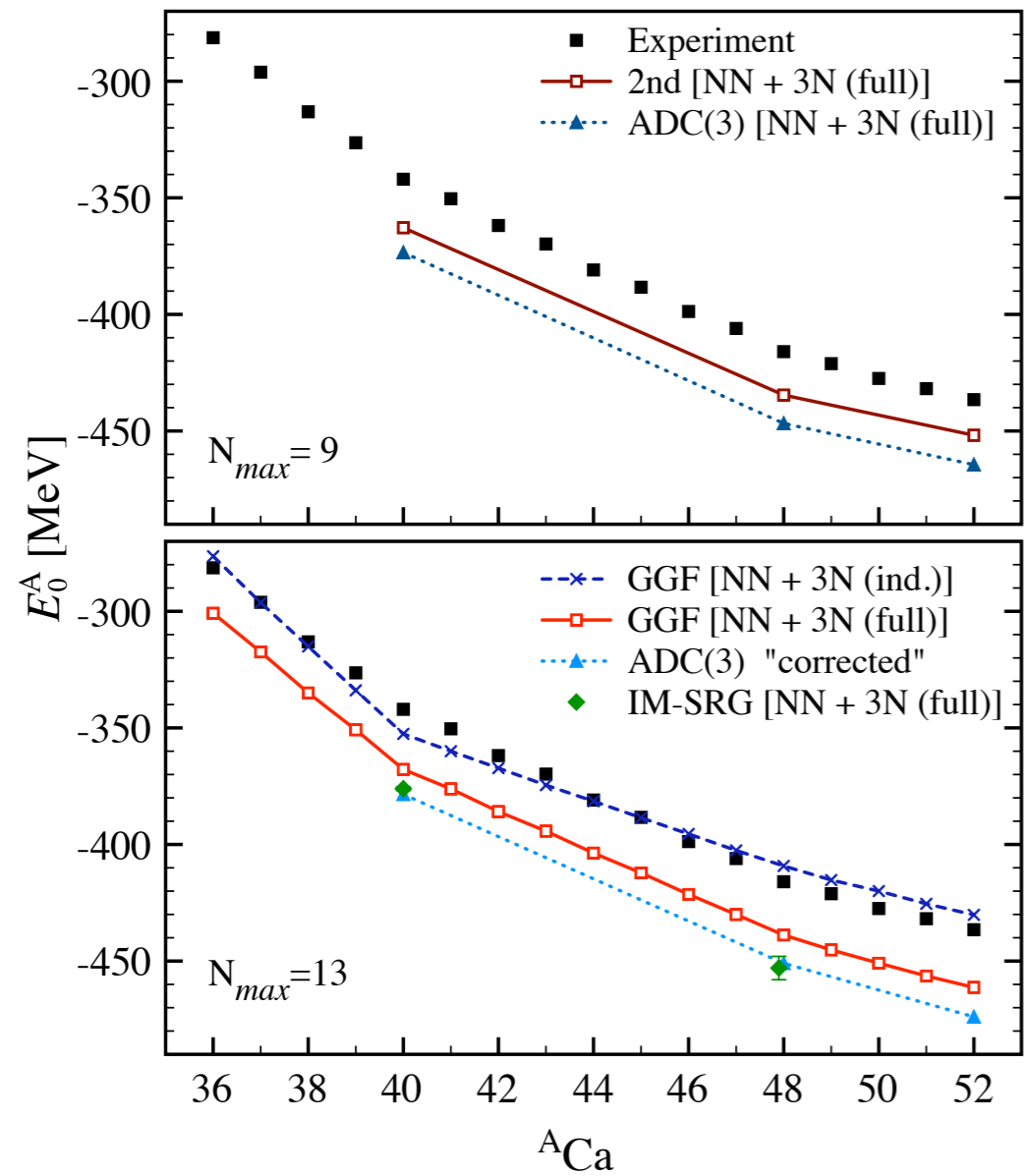


⇒ Use of **dressed propagators** provides significant extra correlations

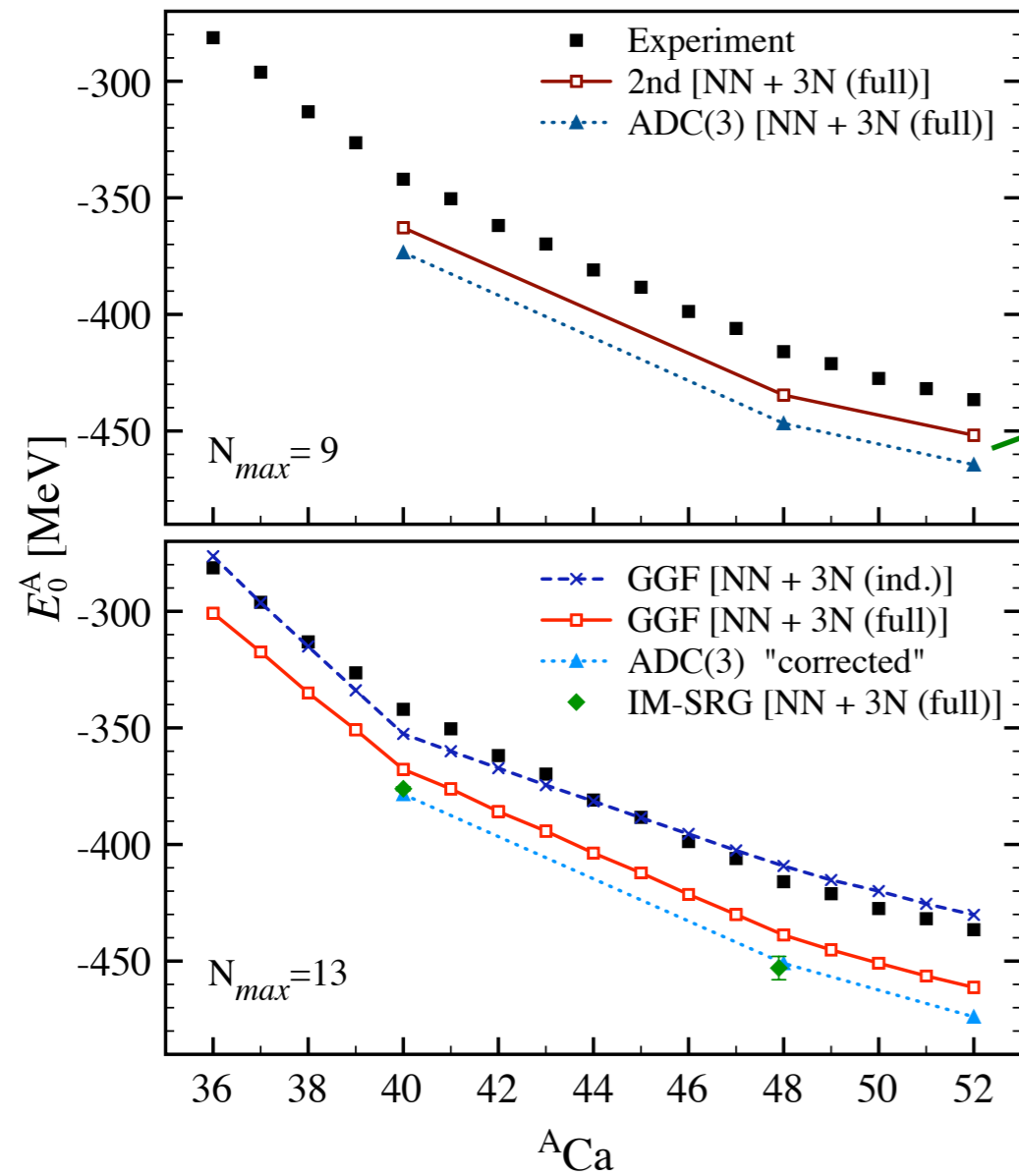
The GGF input: NN & 3N interactions

- ★ NN potential: chiral N^3LO (500 MeV) SRG-evolved to 2.0 fm^{-1}
[Entem and Machleidt 2003]
- ★ 3N potential: chiral N^2LO (400 MeV) SRG-evolved to 2.0 fm^{-1} [Navrátil 2007]
 - ⇒ Fit to **three-** and **four-body** systems only
 - ⇒ Modified cutoff to reduce induced 4N contributions [Roth *et al.* 2012]
- ★ In the future:
 - ⇒ Chiral 3NF at N^3LO
 - ⇒ Δ -full chiral interactions
 - ⇒ NN & 3N consistently SRG-evolved in momentum space
 - ⇒ ...
 - ⇒ Chiral interactions with improved / correct power counting
 - ⇒ Inputs from **lattice QCD**: couplings & YN interactions

Binding energies around Ca

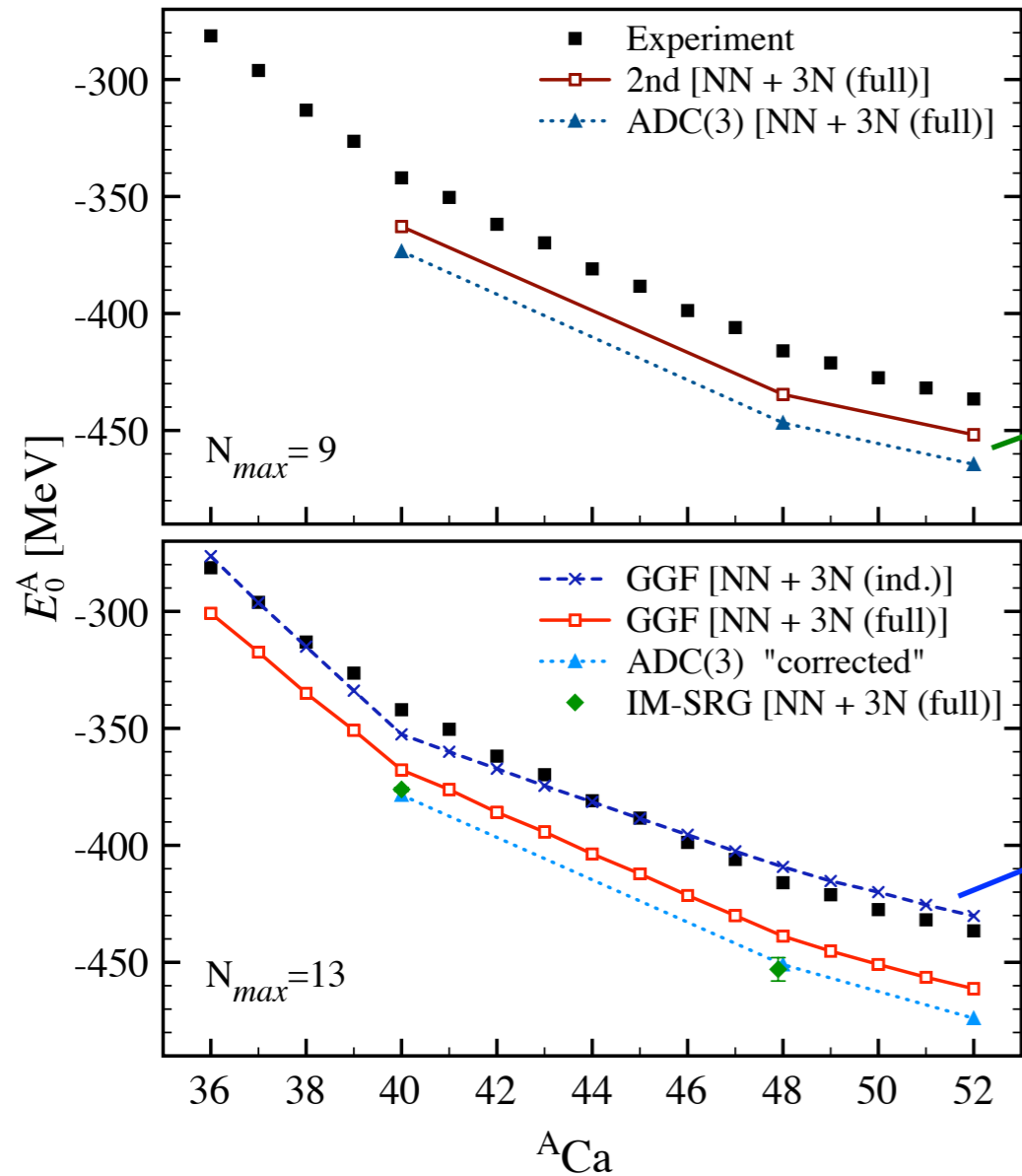


Binding energies around Ca



Estimate of the many-body truncation error

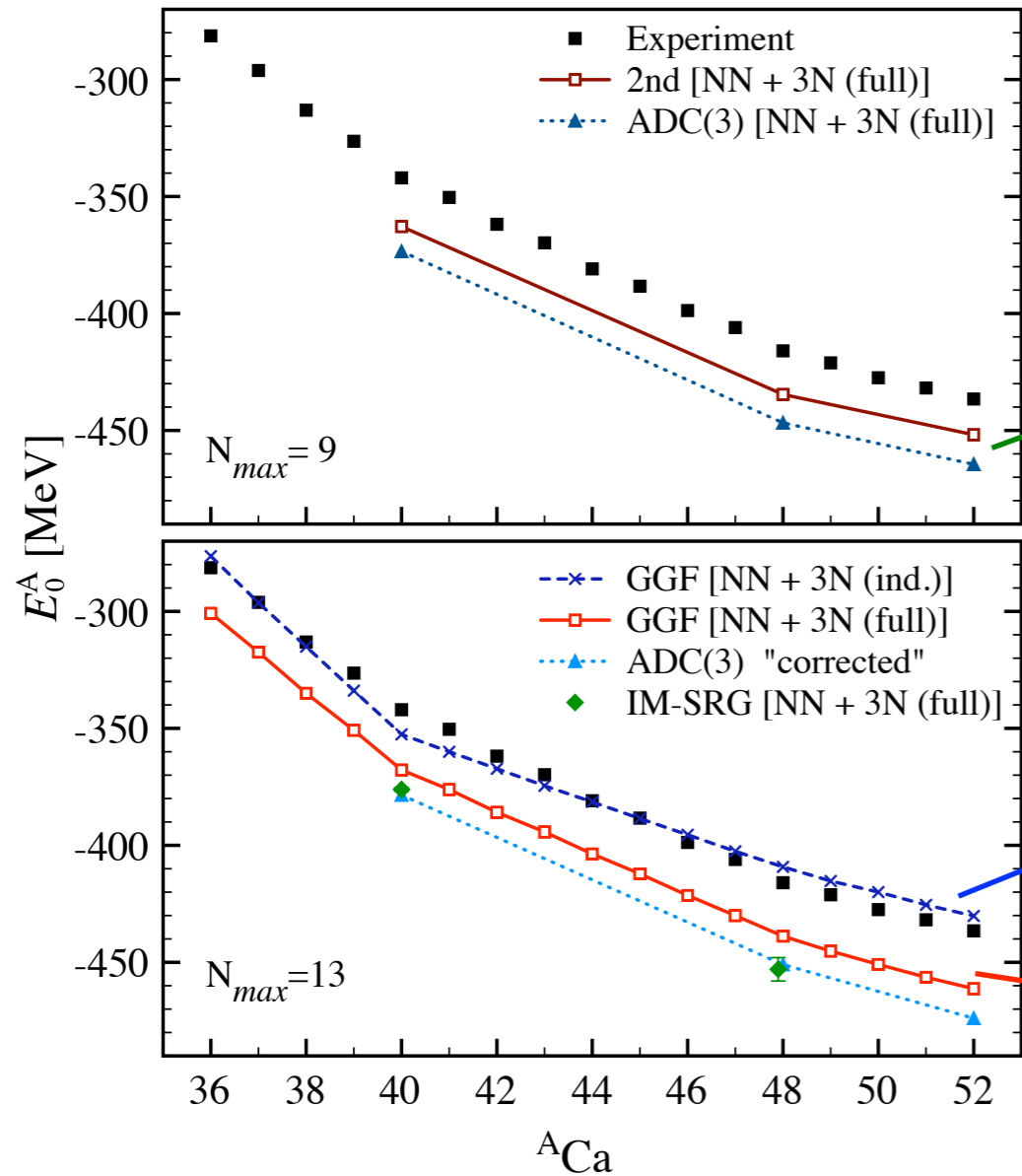
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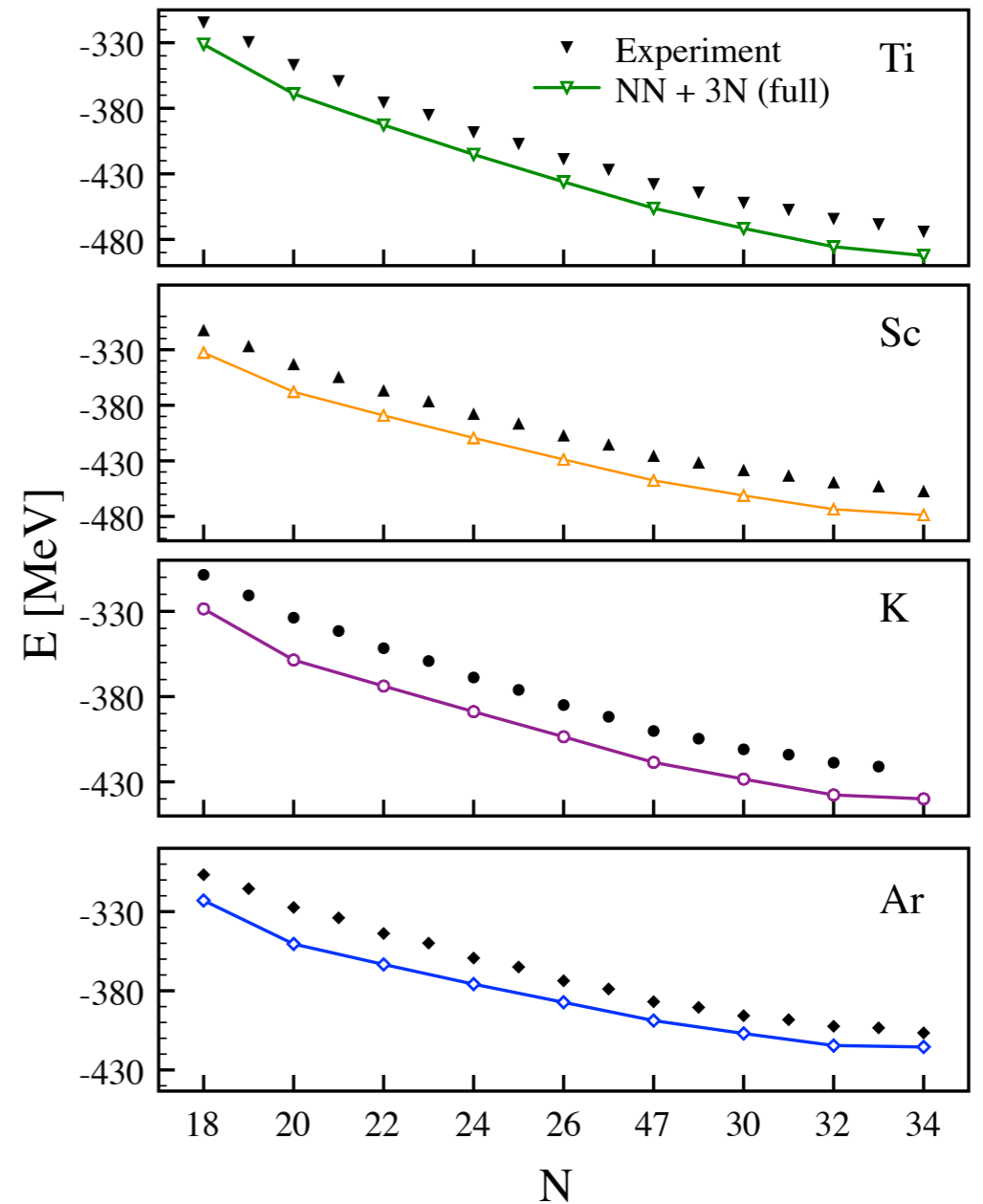
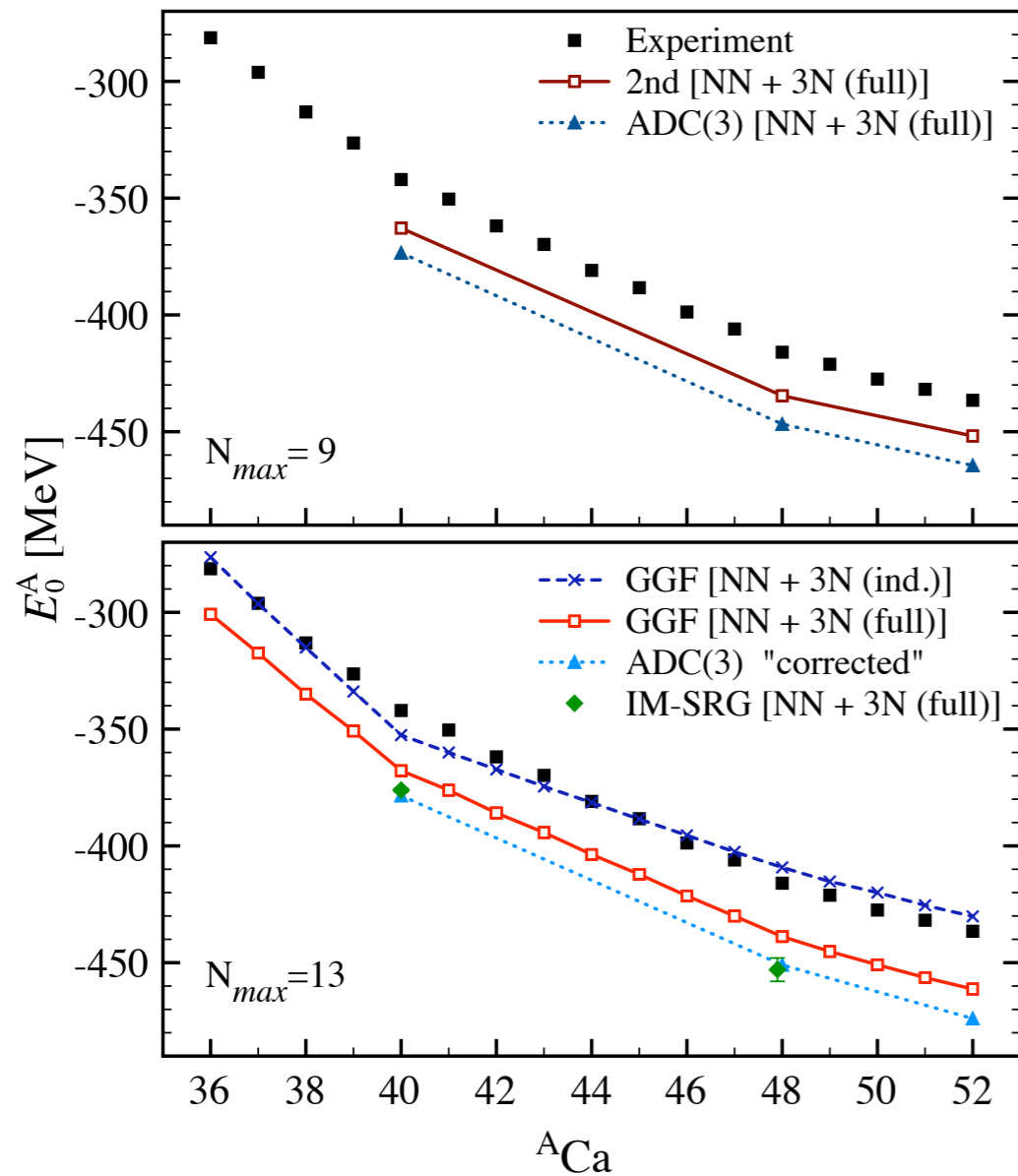


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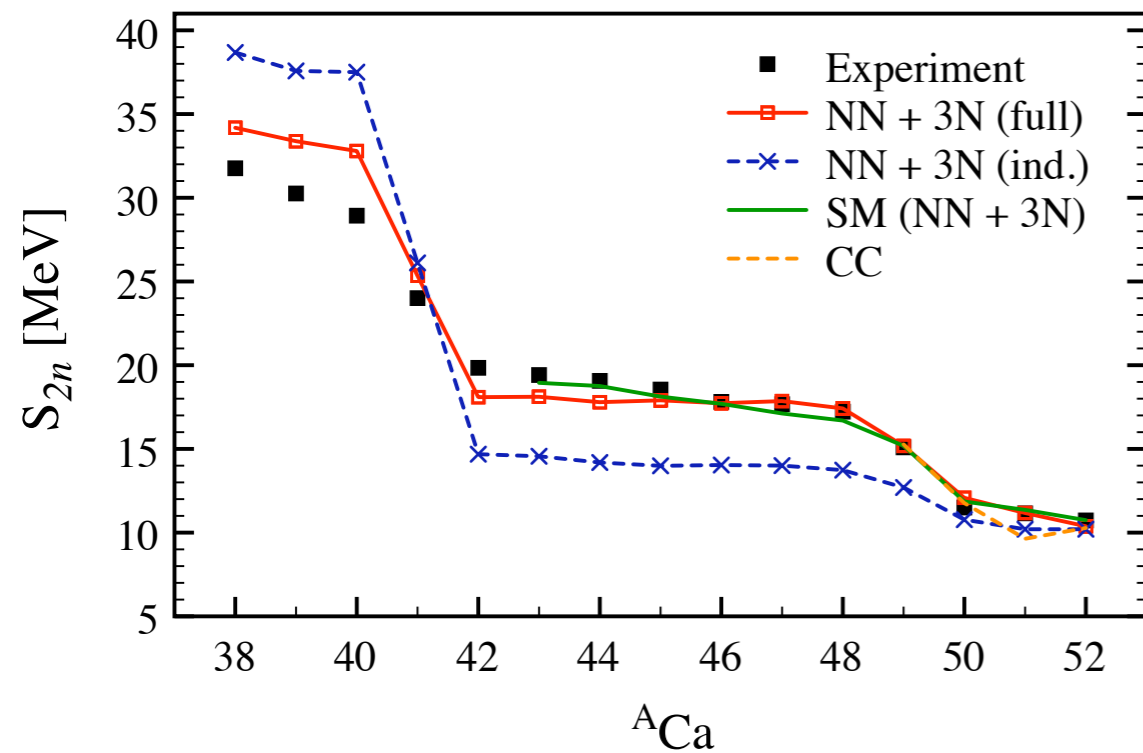
Systematic overbinding

Binding energies around Ca



- ⇒ Results confirmed within different many-body approaches
- ⇒ NN + full 3N **correct the trend** of binding energies
- ⇒ Systematic **overbinding** through all chains around $Z=20$

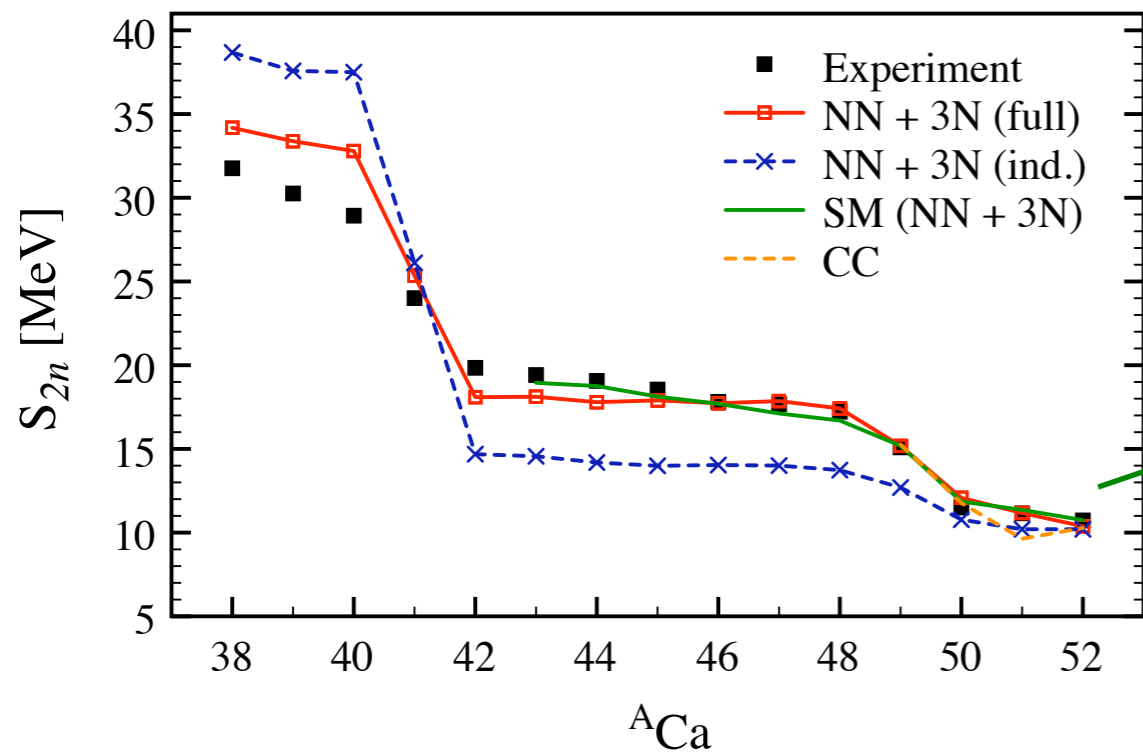
Two-neutron separation energies around Ca



⇒ S_{2n} well reproduced with chiral NN + 3N interactions

⇒ Microscopic calculations extended to the whole Ca chain

Two-neutron separation energies around Ca



Challenging new data

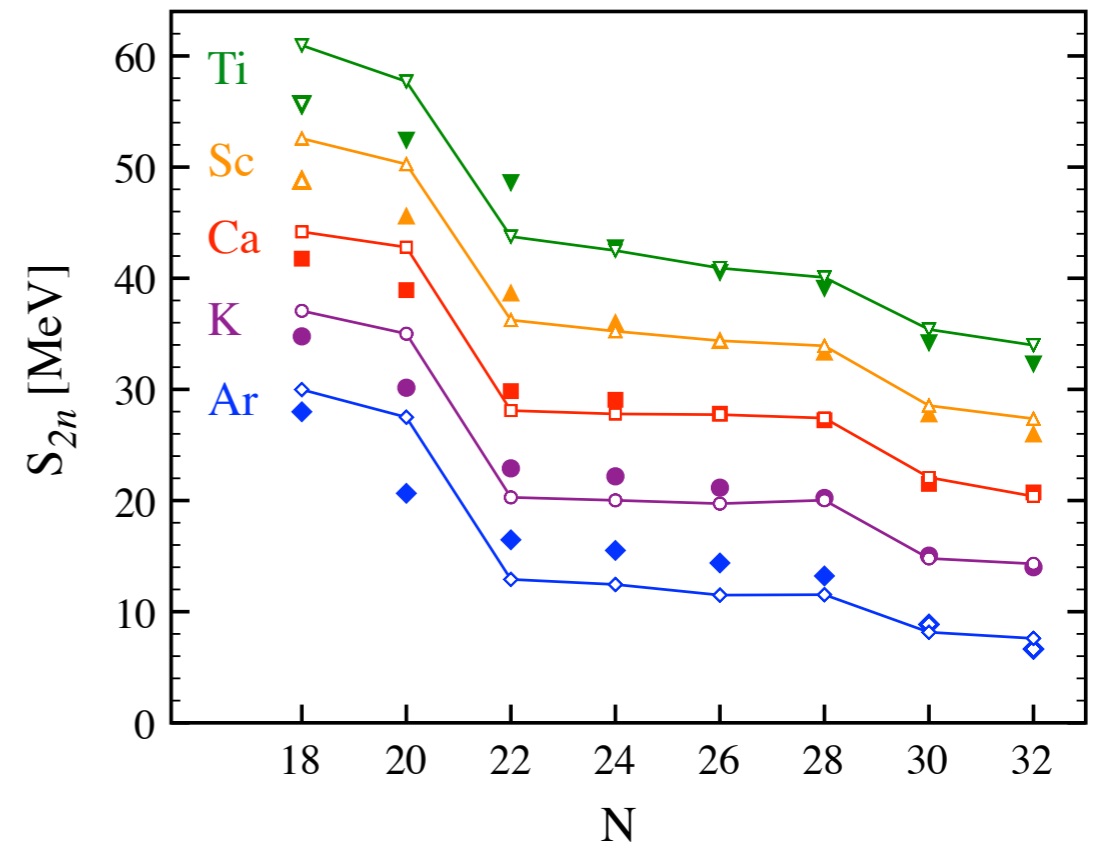
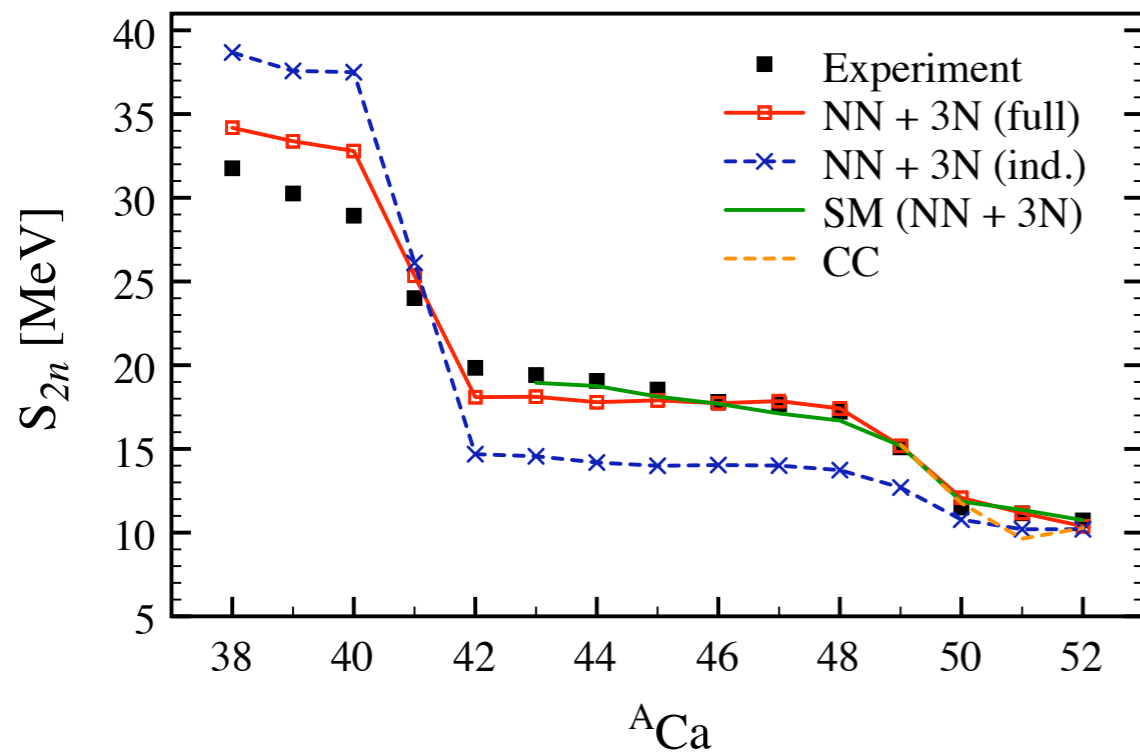
[Gallant *et al.* 2012]

[Wienholtz *et al.* 2013]

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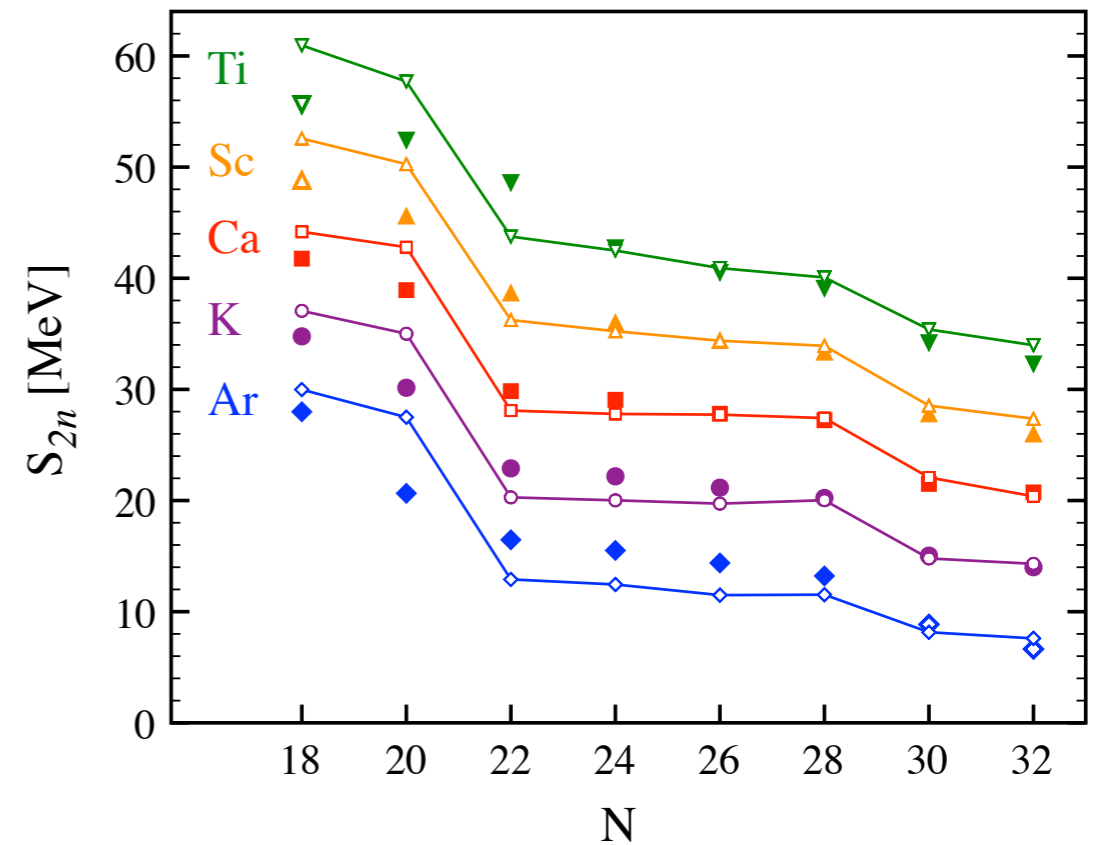
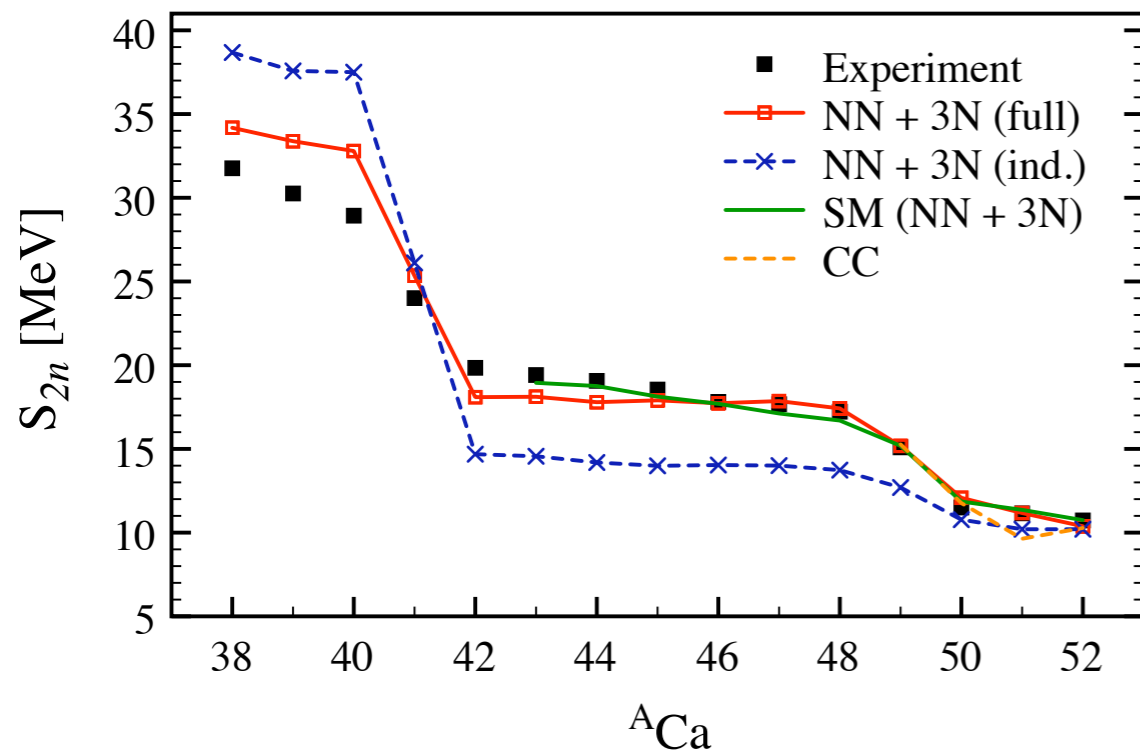
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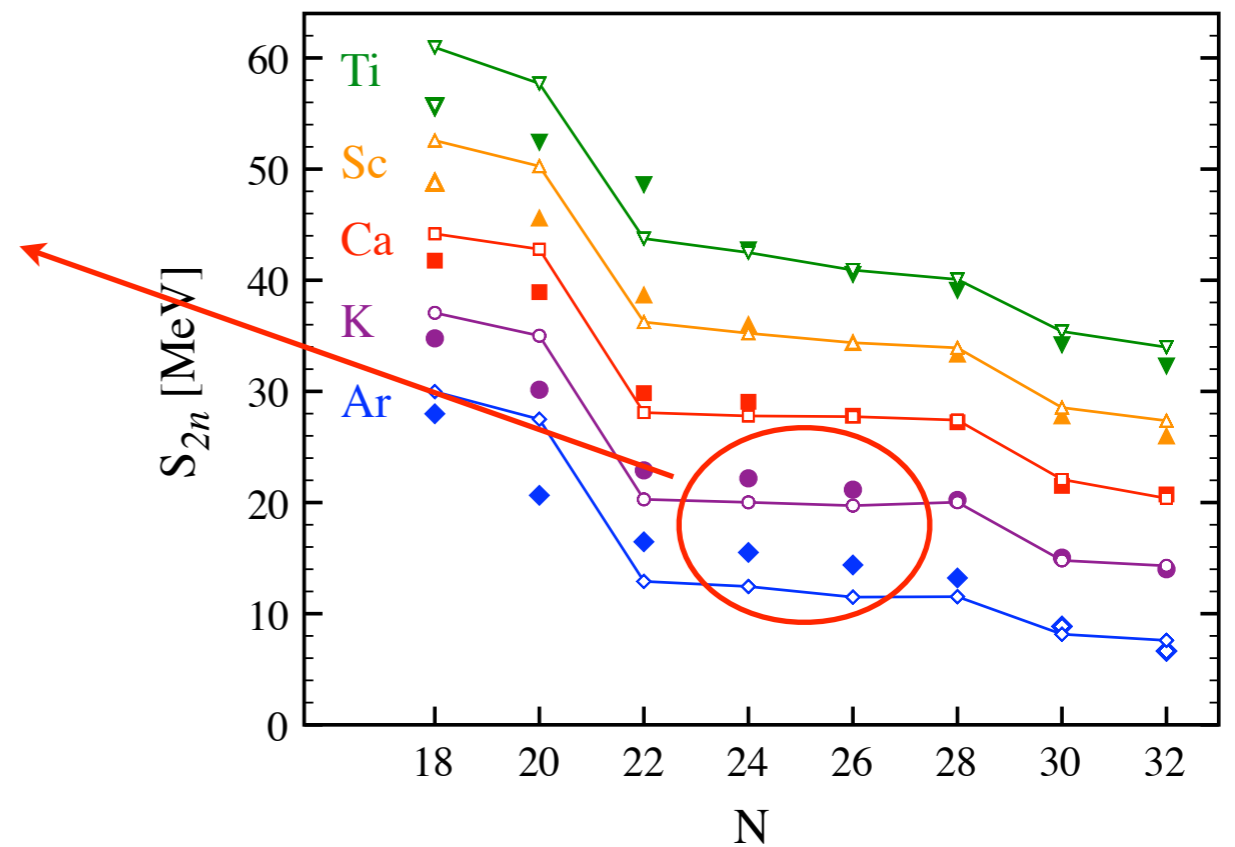
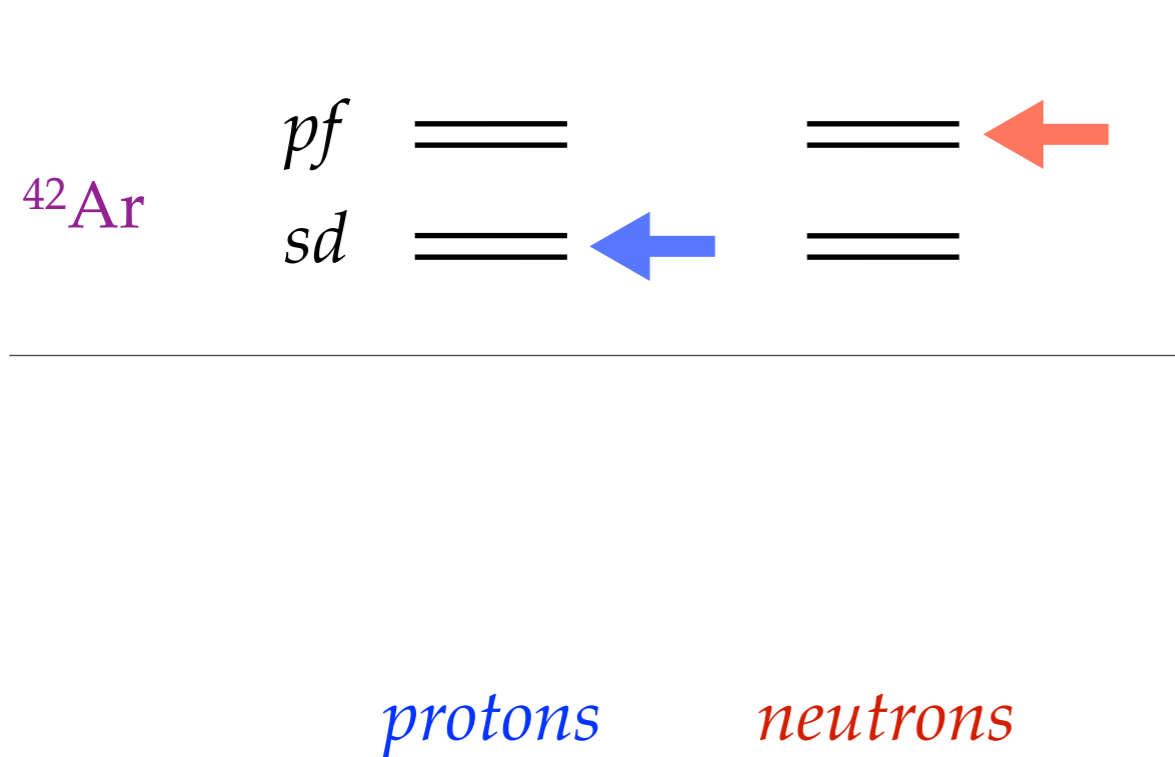
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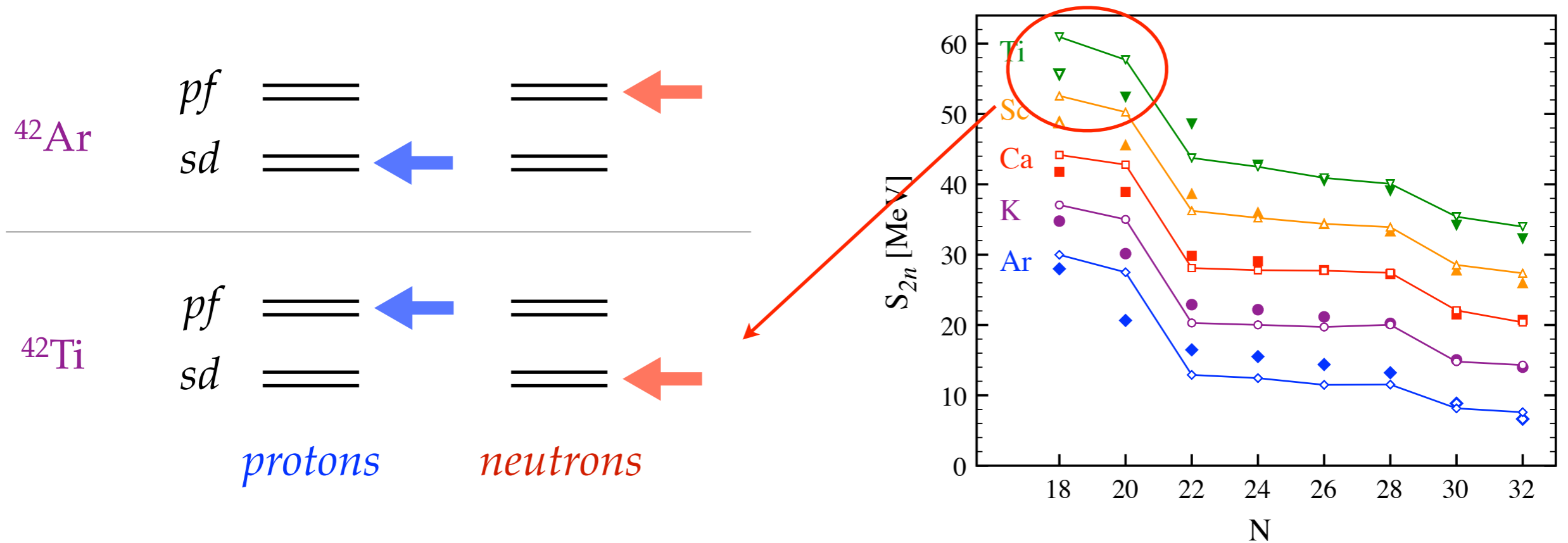
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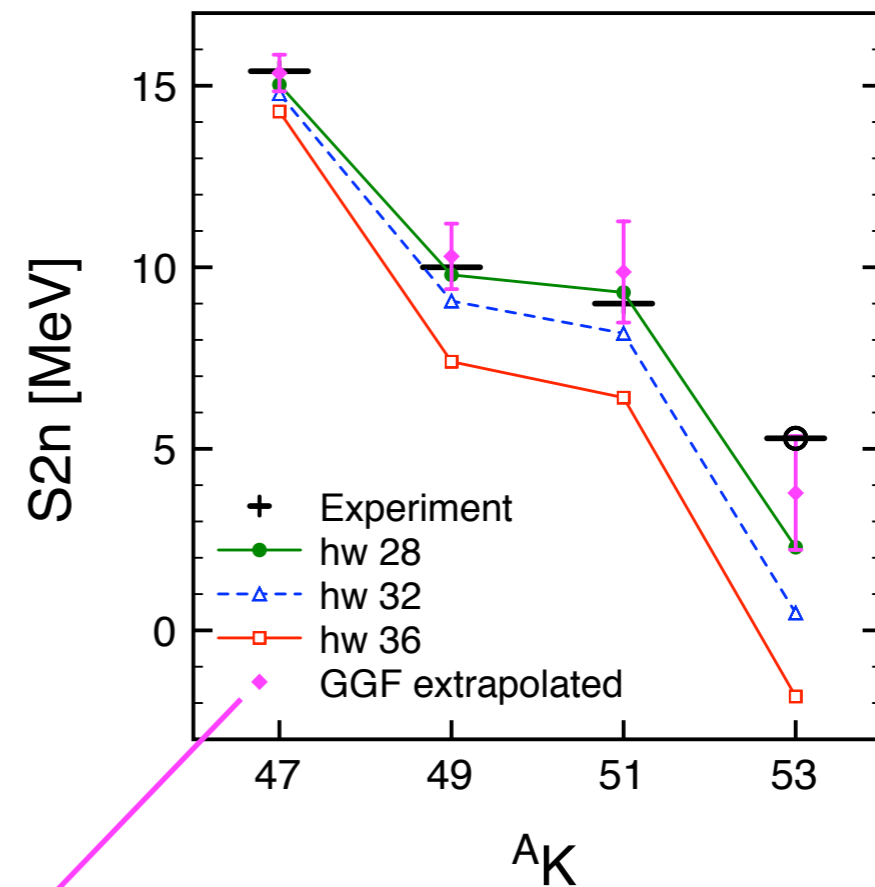
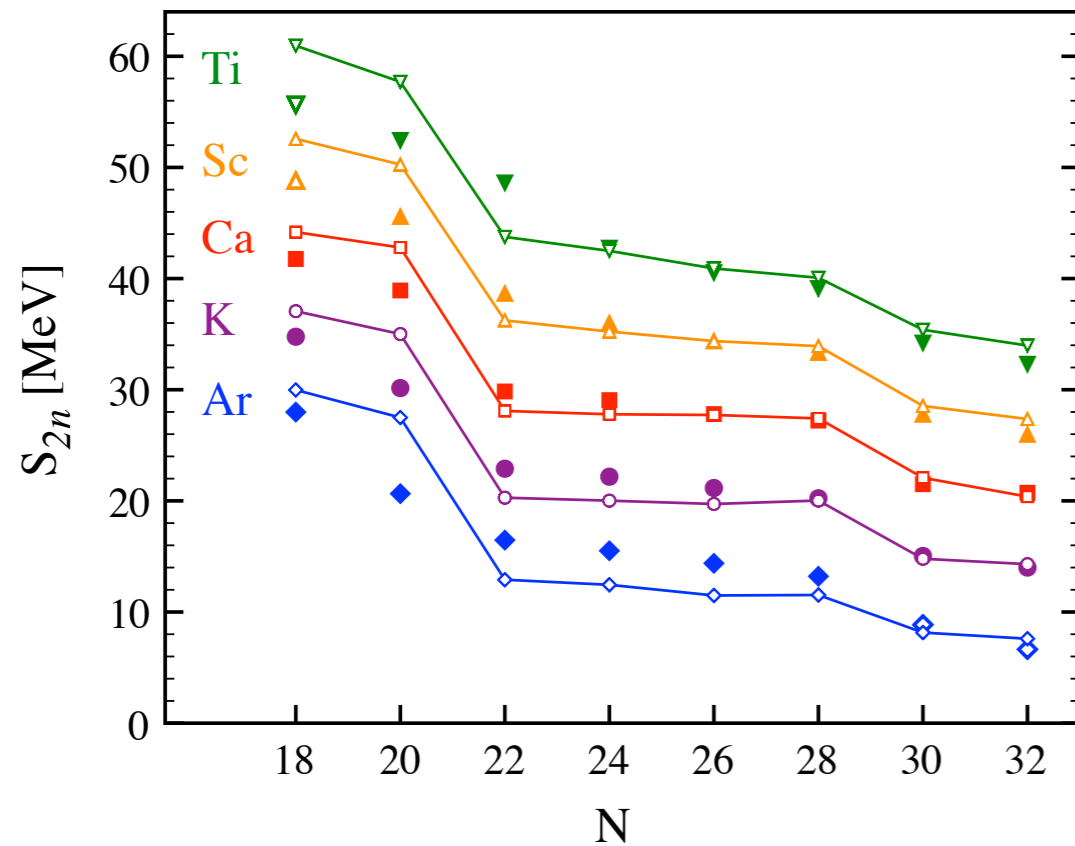
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Extrapolation of the neutron-rich end

★ Convergence worsens after $N=32$

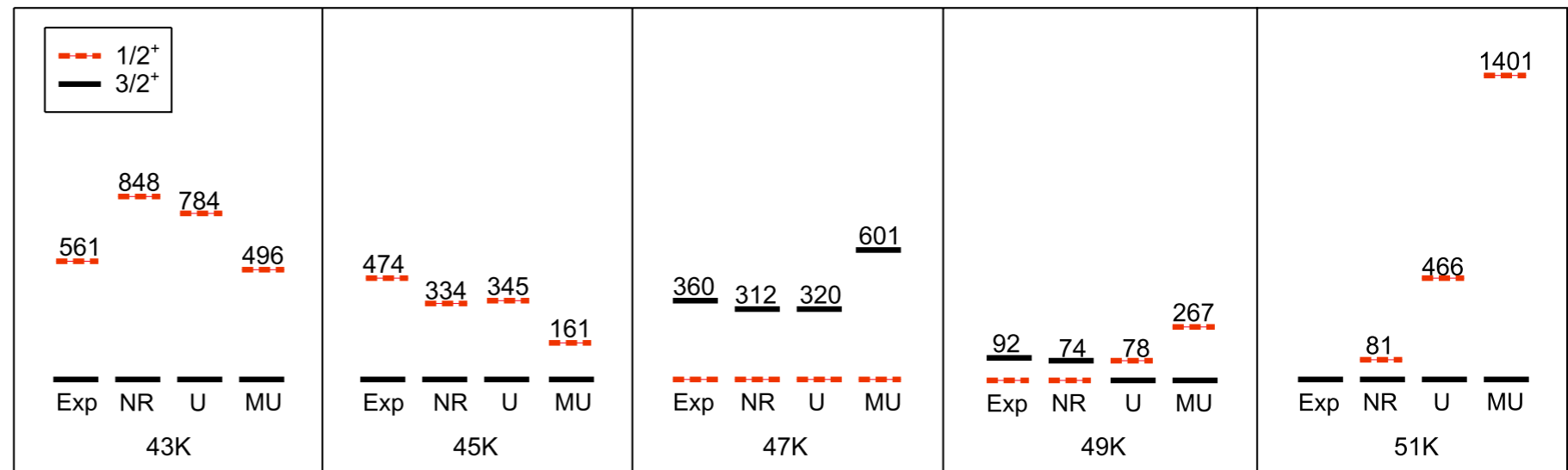


Extrapolation to infinite model space [Coon *et al.*, Furnstahl *et al.*]

Potassium ground states (re)inversion

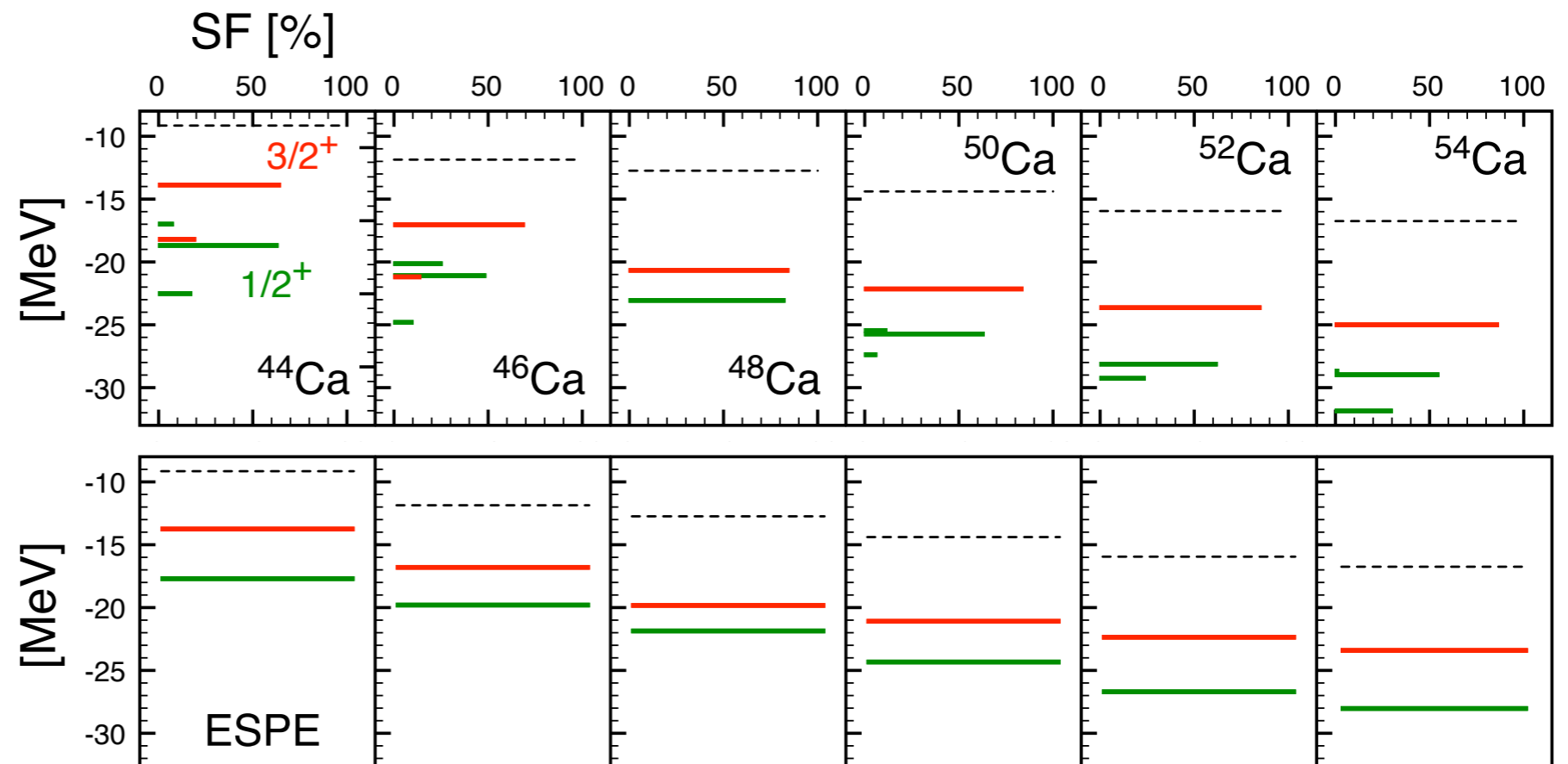
Laser spectroscopy
(@ ISOLDE)

[Papuga *et al.* 2013]



Theory (GGF)

[VS *et al.* unpublished]



Knockout & transfer experiments

★ Neutron removal from proton- and neutron-rich Ar isotopes @ NSCL

Isotopes	lj^π	Sn(MeV)	ΔS (MeV)	(theo.)	(expt.)		(expt.)	
				SF(LB-SM)	SF(JLM + HF)	R_s (JLM + HF)	SF(CH89)	R_s (CH89)
^{34}Ar	$s1/2^+$	17.07	12.41	1.31	0.85 ± 0.09	0.65 ± 0.07	1.10 ± 0.11	0.84 ± 0.08
^{36}Ar	$d3/2^+$	15.25	6.75	2.10	1.60 ± 0.16	0.76 ± 0.08	2.29 ± 0.23	1.09 ± 0.11
^{46}Ar	$f7/2^-$	8.07	-10.03	5.16	3.93 ± 0.39	0.76 ± 0.08	5.29 ± 0.53	1.02 ± 0.10

[Lee *et al.* 2010]

	Sn (MeV)	ΔS (MeV)	SF
^{34}Ar	33.0	18.6	1.46
^{36}Ar	27.7	7.5	1.46
^{46}Ar	16.0	-22.3	5.88

$$\Delta S = S_n - S_p$$

Gorkov GF NN

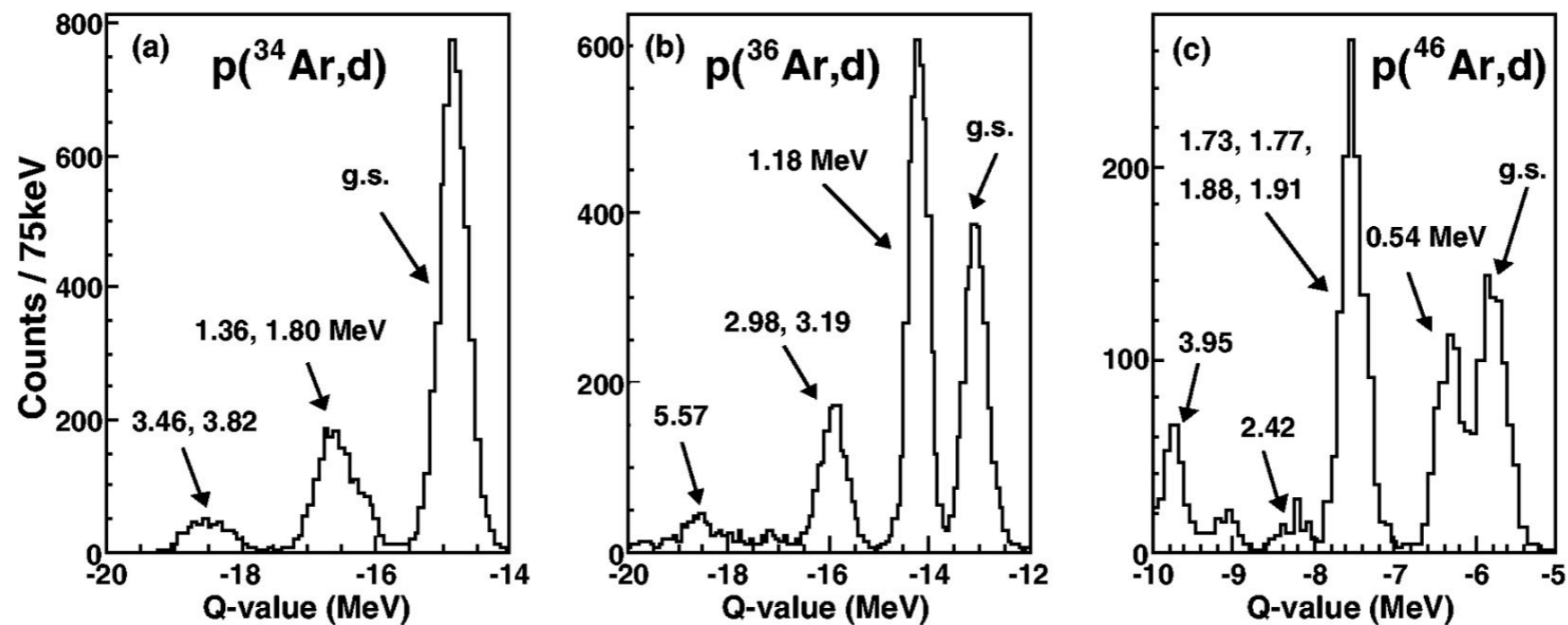
^{34}Ar	22.4	15.5	1.56
^{36}Ar	15.3	7.2	1.54
^{46}Ar	6.5	-15.7	6.64

Gorkov GF NN + 3N

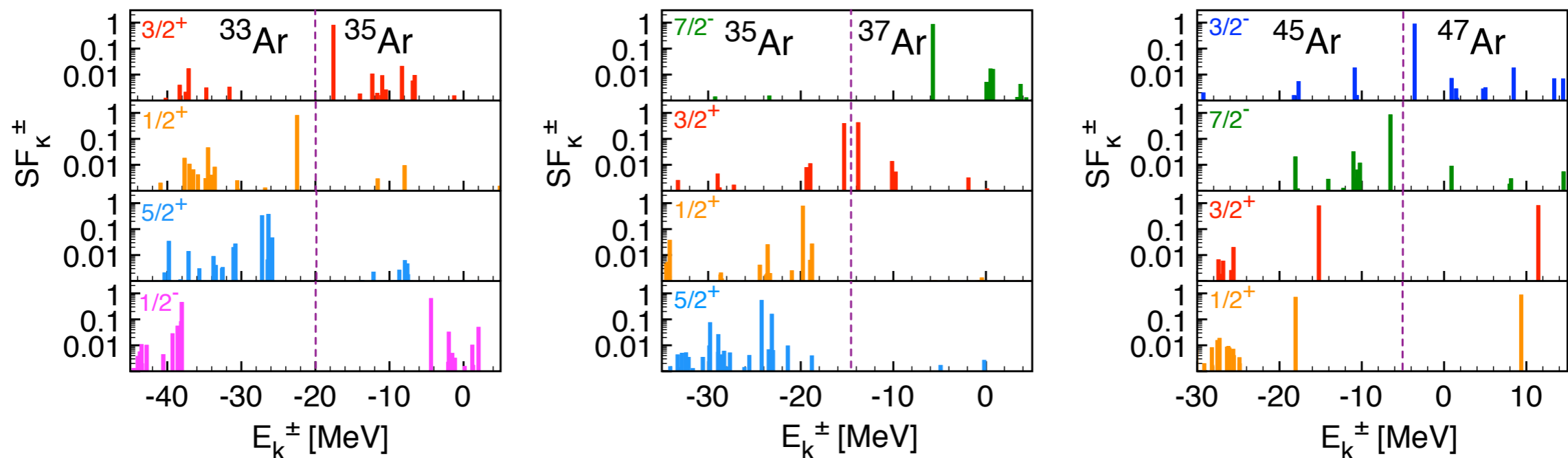
[VS *et al.* unpublished]

Knockout & transfer experiments

★ Neutron removal from proton- and neutron-rich Ar isotopes @ NSCL



[Lee *et al.* 2010]



[VS *et al.* unpublished]

Summary & outlook

★ Agreement between different many-body methods

⇒ Model independent calculations challenge chiral interactions

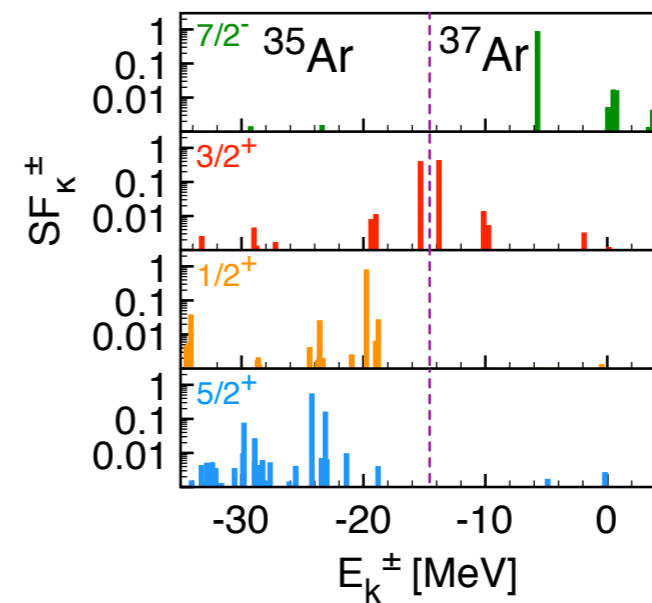
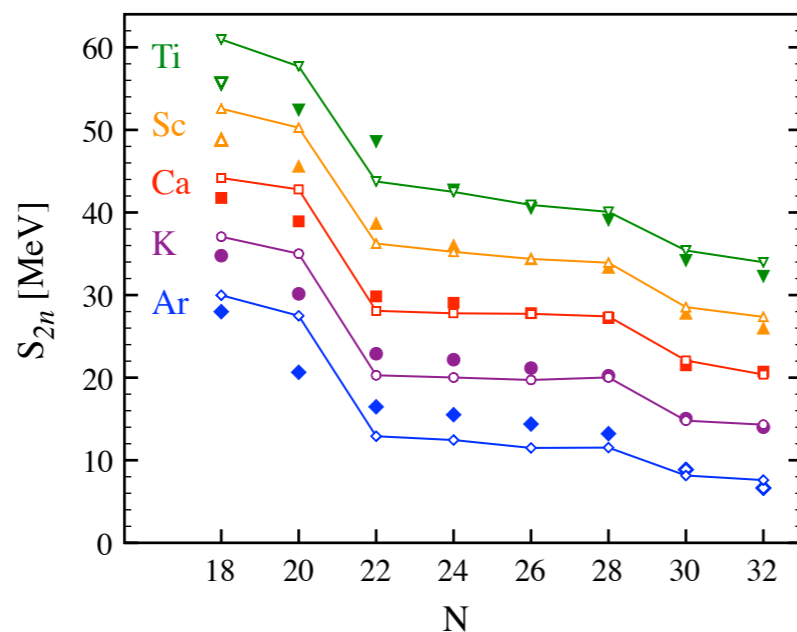
★ Gorkov-Green's functions

⇒ Novel path to extend first-principle calculations to open-shells

⇒ GGF(2) provides good reproduction of S_{2n} around Ca

⇒ Separation spectra at a qualitative level

⇒ Work in progress: GGF(3)

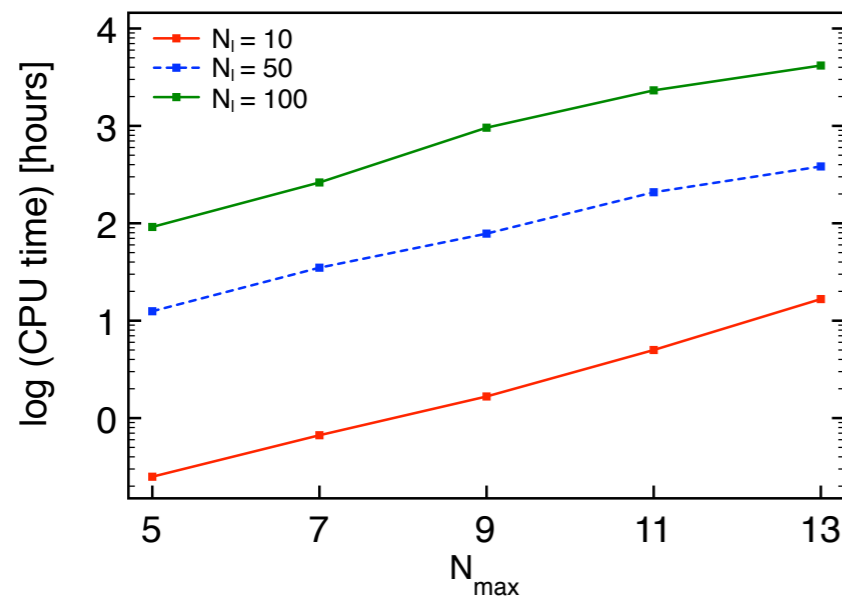


Appendix

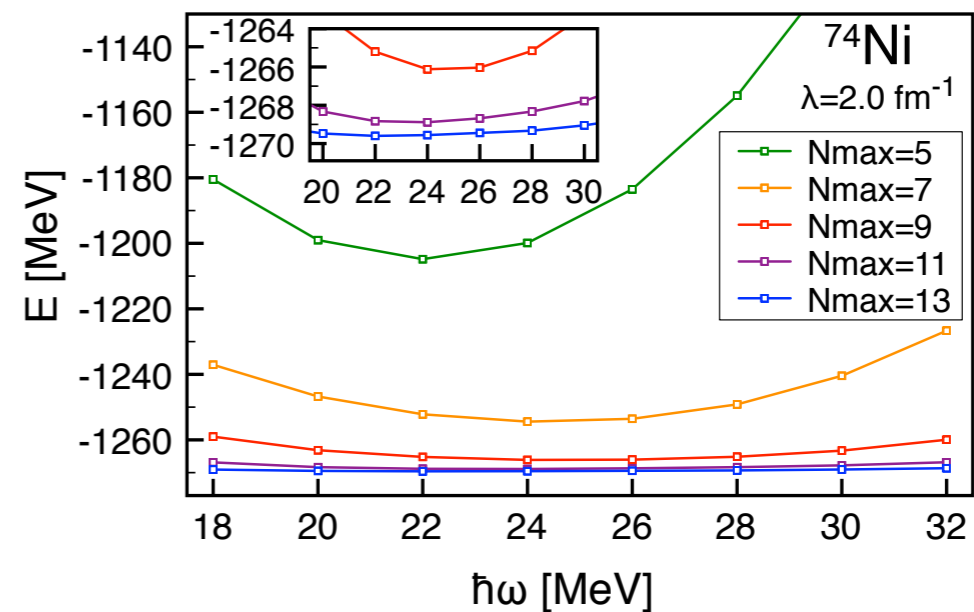
Scaling, convergence & theoretical errors

- ★ Scaling and convergence thoroughly assessed

Scaling



Convergence



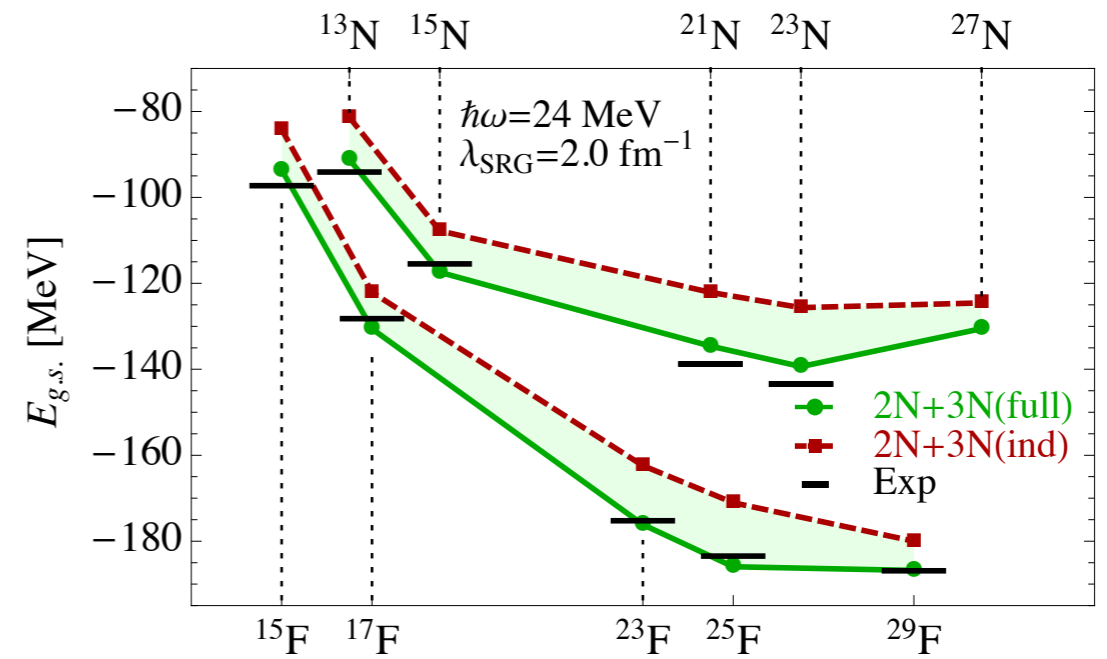
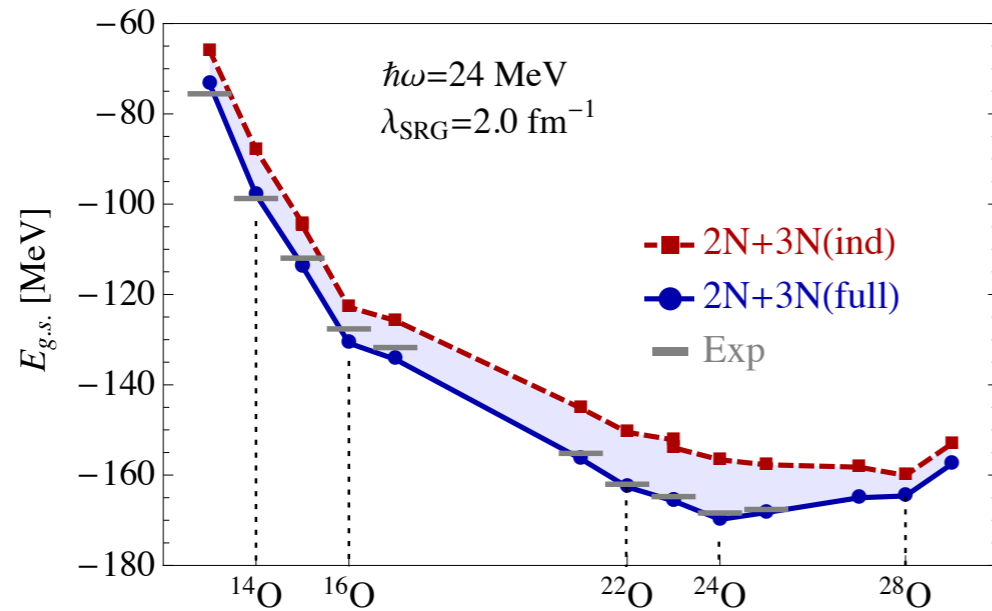
- ★ Estimation of theoretical errors in *ab initio* methods

- | | |
|---------------------------|-------|
| 1) Hamiltonian | ✗ |
| 2) Many-body expansion | ✗ / ✓ |
| 3) Model space truncation | ✓ |
| 4) Numerical algorithms | ✓ |

⇐ GGF

Not only oxygen...

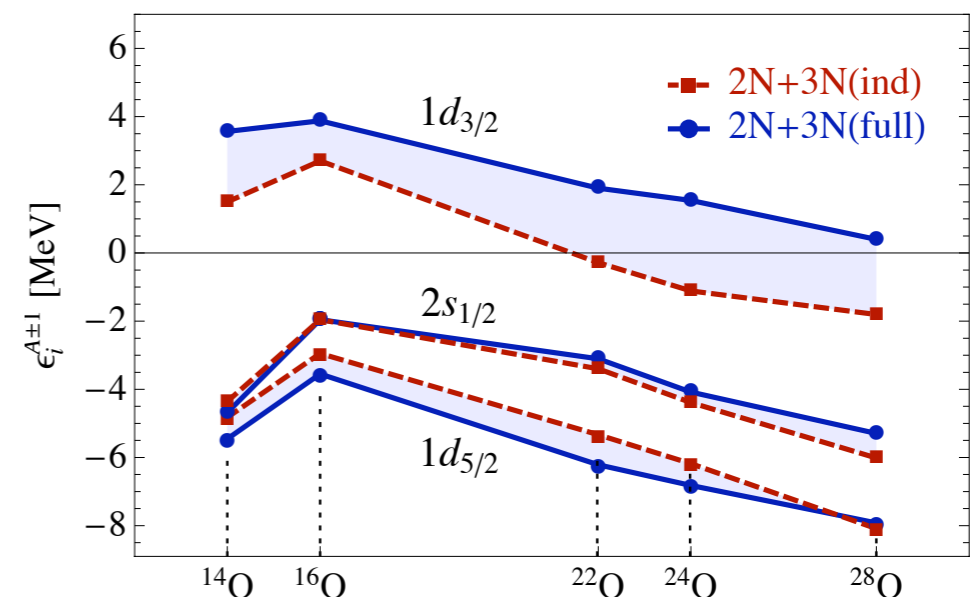
★ Consistent description of $Z = 7, 8, 9$ isotopic chains with **GF method**



[Cipollone, Barbieri & Navrátil 2013]

⇒ 3NF crucial for reproducing driplines

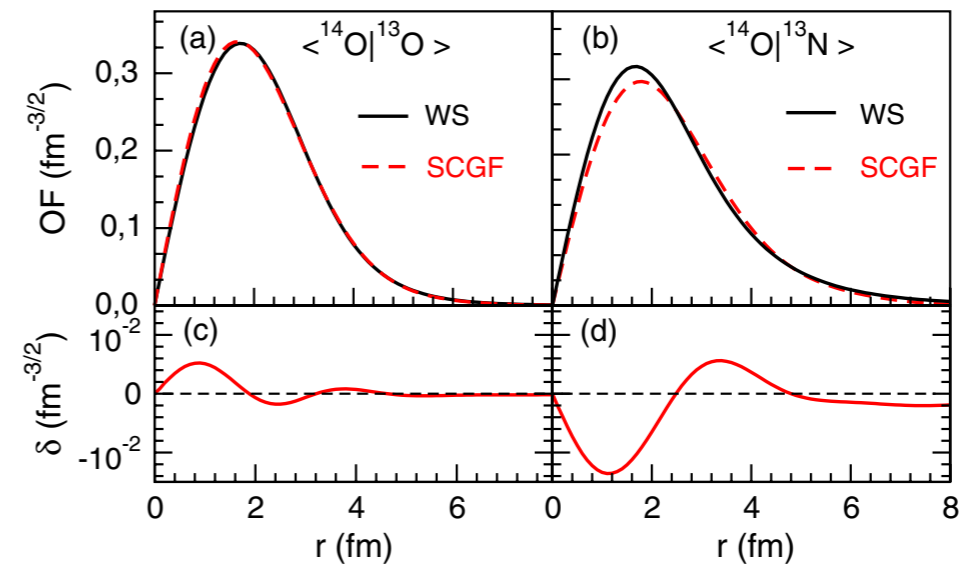
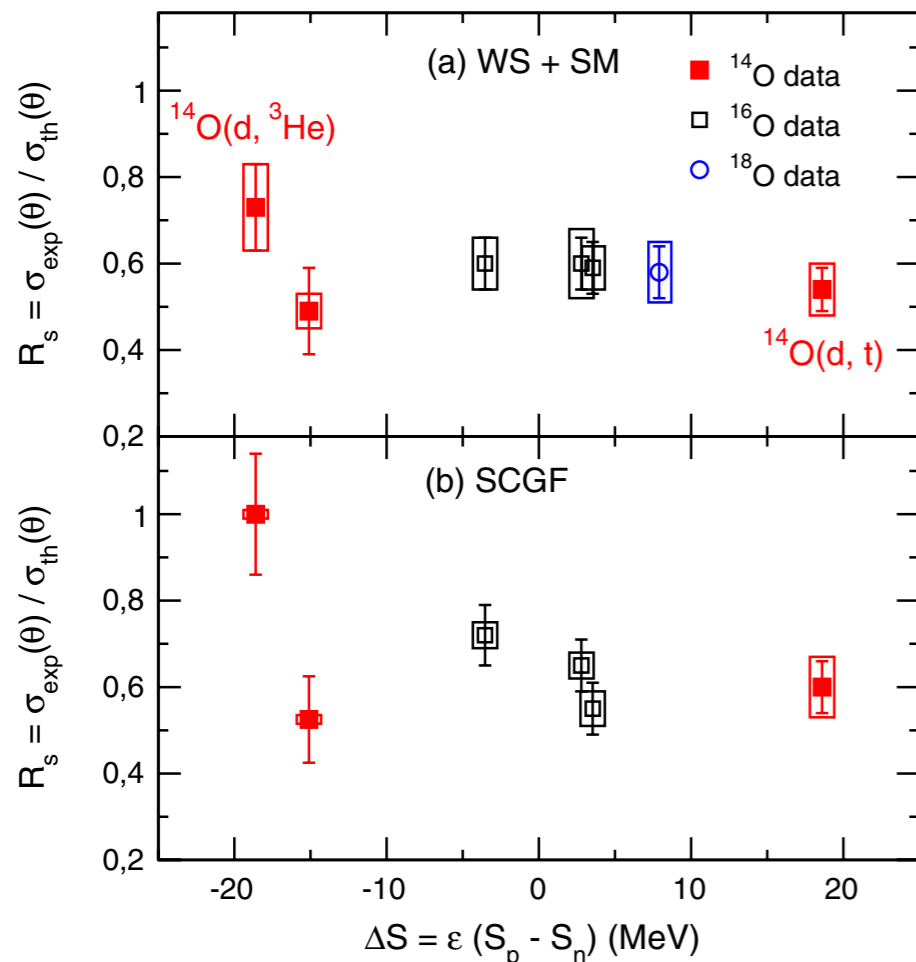
⇒ $d_{3/2}$ raised by genuine 3NF



Single-nucleon transfer in the oxygen chain

★ Analysis of $^{14}\text{O}(d, t)^{13}\text{O}$ and $^{14}\text{O}(d, ^3\text{He})^{13}\text{N}$ transfer reactions @ SPIRAL

Reaction	E^* (MeV)	J^π	$R_{\text{rms}}^{\text{HF}}^{\text{B}}$ (fm)	r_0 (fm)	C^2S_{exp} (WS)	C^2S_{th} $0p + 2\hbar\omega$	R_s (WS)	C^2S_{exp} (SCGF)	C^2S_{th} (SCGF)	R_s (SCGF)
$^{14}\text{O}(d, t)^{13}\text{O}$	0.00	$3/2^-$	2.69	1.40	1.69 (17)(20)	3.15	0.54(5)(6)	1.89(19)(22)	3.17	0.60(6)(7)
$^{14}\text{O}(d, ^3\text{He})^{13}\text{N}$	0.00	$1/2^-$	3.03	1.23	1.14(16)(15)	1.55	0.73(10)(10)	1.58(22)(2)	1.58	1.00(14)(1)
	3.50	$3/2^-$	2.77	1.12	0.94(19)(7)	1.90	0.49(10)(4)	1.00(20)(1)	1.90	0.53(10)(1)
$^{16}\text{O}(d, t)^{15}\text{O}$	0.00	$1/2^-$	2.91	1.46	0.91(9)(8)	1.54	0.59(6)(5)	0.96(10)(7)	1.73	0.55(6)(4)
$^{16}\text{O}(d, ^3\text{He})^{15}\text{N}$ [19,20]	0.00	$1/2^-$	2.95	1.46	0.93(9)(9)	1.54	0.60(6)(6)	1.25(12)(5)	1.74	0.72(7)(3)
	6.32	$3/2^-$	2.80	1.31	1.83(18)(24)	3.07	0.60(6)(8)	2.24(22)(10)	3.45	0.65(6)(3)
$^{18}\text{O}(d, ^3\text{He})^{17}\text{N}$ [21]	0.00	$1/2^-$	2.91	1.46	0.92(9)(12)	1.58	0.58(6)(10)			



- ⇒ Overlaps functions and cross sections from GF
- ⇒ R_s independent of asymmetry

[Flavigny *et al.* 2013]