

Investigations of the A -dependence of the Core Energies and Other Terms in the Ab Initio Shell Model Formalism

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Arizona's First University.

TRIUMF Workshop

February 18-21, 2014

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a) 0p-shell nuclei

b) sd-shell nuclei

IV. Summary

I. Brief Overview of the No Core Shell Model (NCSM)

No Core Shell Model

“*Ab Initio*” approach to microscopic nuclear structure calculations, in which all A nucleons are treated as being active.

Want to solve the A-body Schrödinger equation

$$H_A \Psi^A = E_A \Psi^A$$

R.P. Navrátil, J.P. Vary, B.R.B., PRC 62, 054311 (2000)
BRB, P. Navratil, J.P. Vary, Prog.Part.Nucl.Phys. 69, 131 (2013).
P. Navratil, et al., J. Phys. G: Nucl. Part. Phys. 36, 083101
(2009)

From few-body to many-body

Ab initio
No Core Shell Model

Realistic NN & NNN forces

Effective interactions in
cluster approximation

Diagonalization of
many-body Hamiltonian

Many-body experimental data

No-Core Shell-Model Approach

- Start with the purely intrinsic Hamiltonian

$$H_A = T_{rel} + \mathcal{V} = \frac{1}{A} \sum_{i < j=1}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2m} + \sum_{i < j=1}^A V_{NN} \left(+ \sum_{i < j < k}^A V_{ijk}^{3b} \right)$$

Note: There are no phenomenological s.p. energies!

Can use any
NN potentials

Coordinate space: Argonne V8', AV18
Nijmegen I, II

Momentum space: CD Bonn, EFT Idaho

No-Core Shell-Model Approach

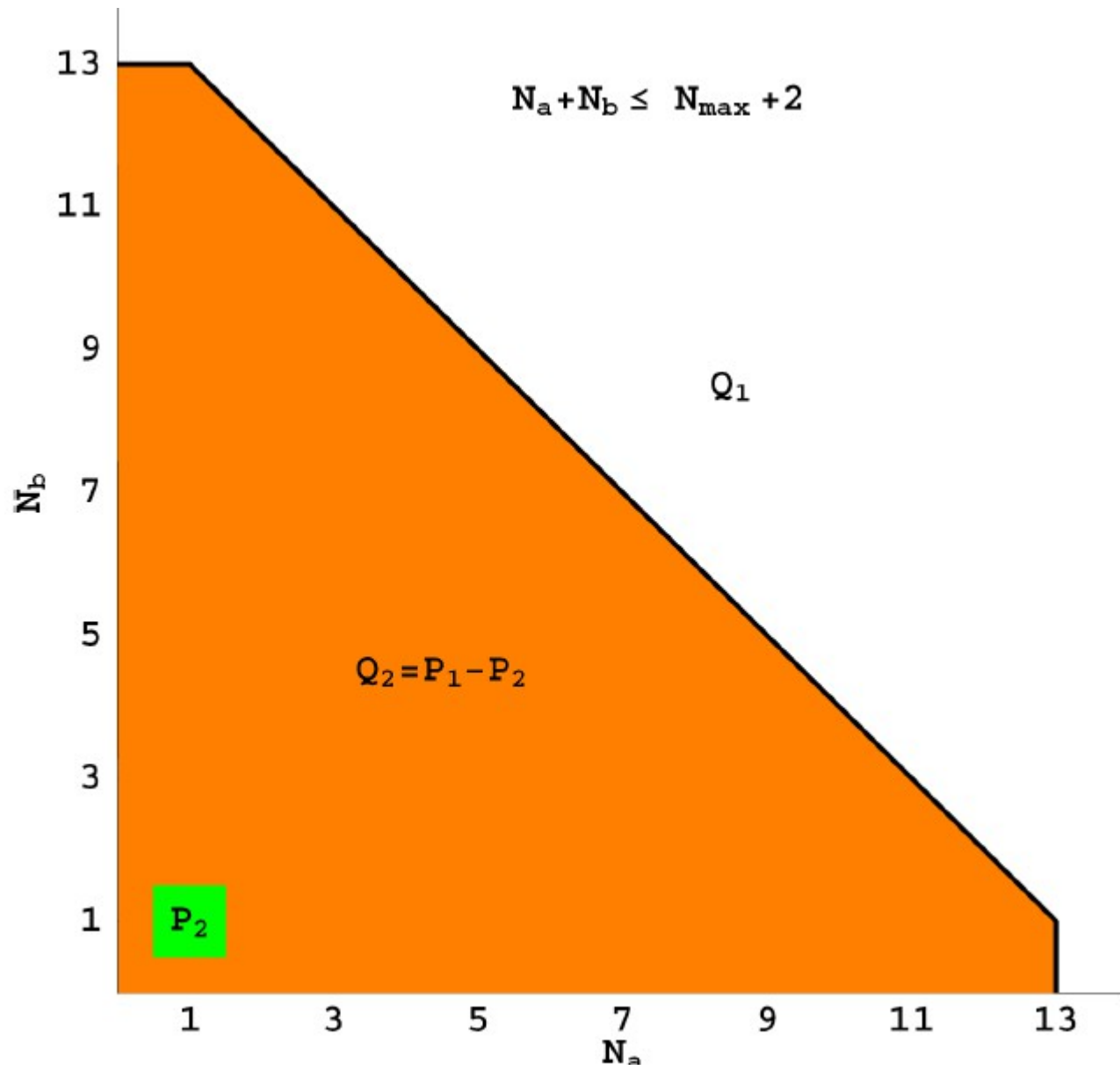
- Next, add CM harmonic-oscillator Hamiltonian

$$H_{CM}^{HO} = \frac{\vec{P}^2}{2Am} + \frac{1}{2}Am\Omega^2\vec{R}^2; \quad \vec{R} = \frac{1}{A}\sum_{i=1}^A\vec{r}_i, \quad \vec{P} = Am\dot{\vec{R}}$$

To H_A , yielding

$$H_A^\Omega = \sum_{i=1}^A \left[\frac{\vec{p}_i^2}{2m} + \frac{1}{2}m\Omega^2\vec{r}_i^2 \right] + \underbrace{\sum_{i<j=1}^A \left[V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A}(\vec{r}_i - \vec{r}_j)^2 \right]}_{V_{ij}}$$

Defines a basis (*i.e.* **HO**) for evaluating V_{ij}



$$H\Psi_\alpha = E_\alpha\Psi_\alpha \quad \text{where} \quad H = \sum_{i=1}^A t_i + \sum_{i < j}^A v_{ij}.$$

$$\mathcal{H}\Phi_\beta = E_\beta\Phi_\beta$$

$$\Phi_\beta = P\Psi_\beta$$

P is a projection operator from S into \mathcal{S}

$$\langle \tilde{\Phi}_\gamma | \Phi_\beta \rangle = \delta_{\gamma\beta}$$

$$\mathcal{H} = \sum_{\beta \in \mathcal{S}} |\Phi_\beta\rangle E_\beta \langle \tilde{\Phi}_\beta|$$

Effective Hamiltonian for NCSM

Solving

$$\mathbf{H}_{A,a=2}^{\Omega} \Psi_{a=2} = \mathbf{E}_{A,a=2}^{\Omega} \Psi_{a=2}$$

in "infinite space" $2n+1 = 450$
relative coordinates

$P + Q = 1$; P – model space; Q – excluded space;

$$E_{A,2}^{\Omega} = U_2 H_{A,2}^{\Omega} U_2^{\dagger}$$

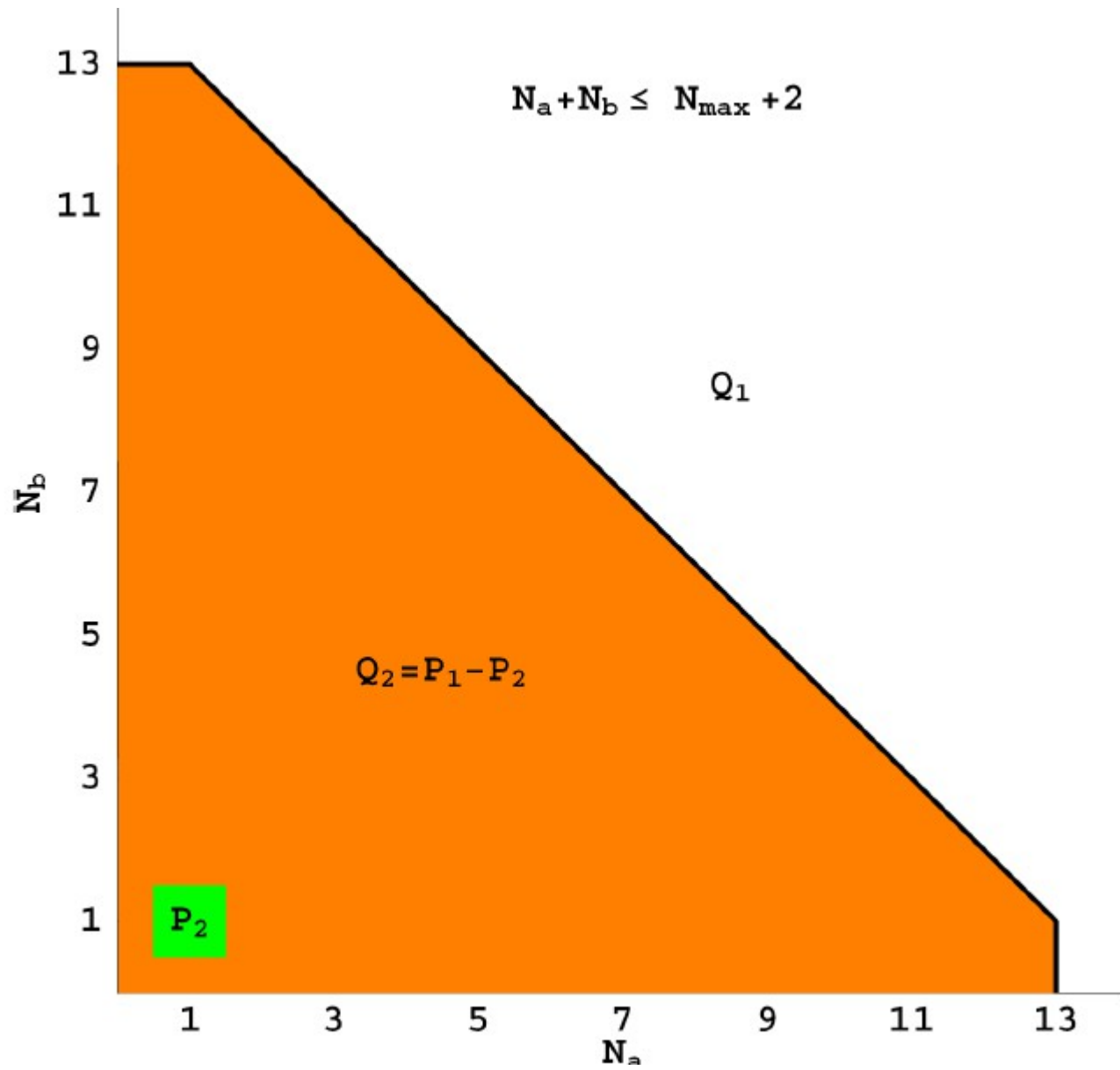
$$U_2 = \begin{pmatrix} U_{2,P} & U_{2,PQ} \\ U_{2,QP} & U_{2,Q} \end{pmatrix} \quad E_{A,2}^{\Omega} = \begin{pmatrix} E_{A,2,P}^{\Omega} & 0 \\ 0 & E_{A,2,Q}^{\Omega} \end{pmatrix}$$

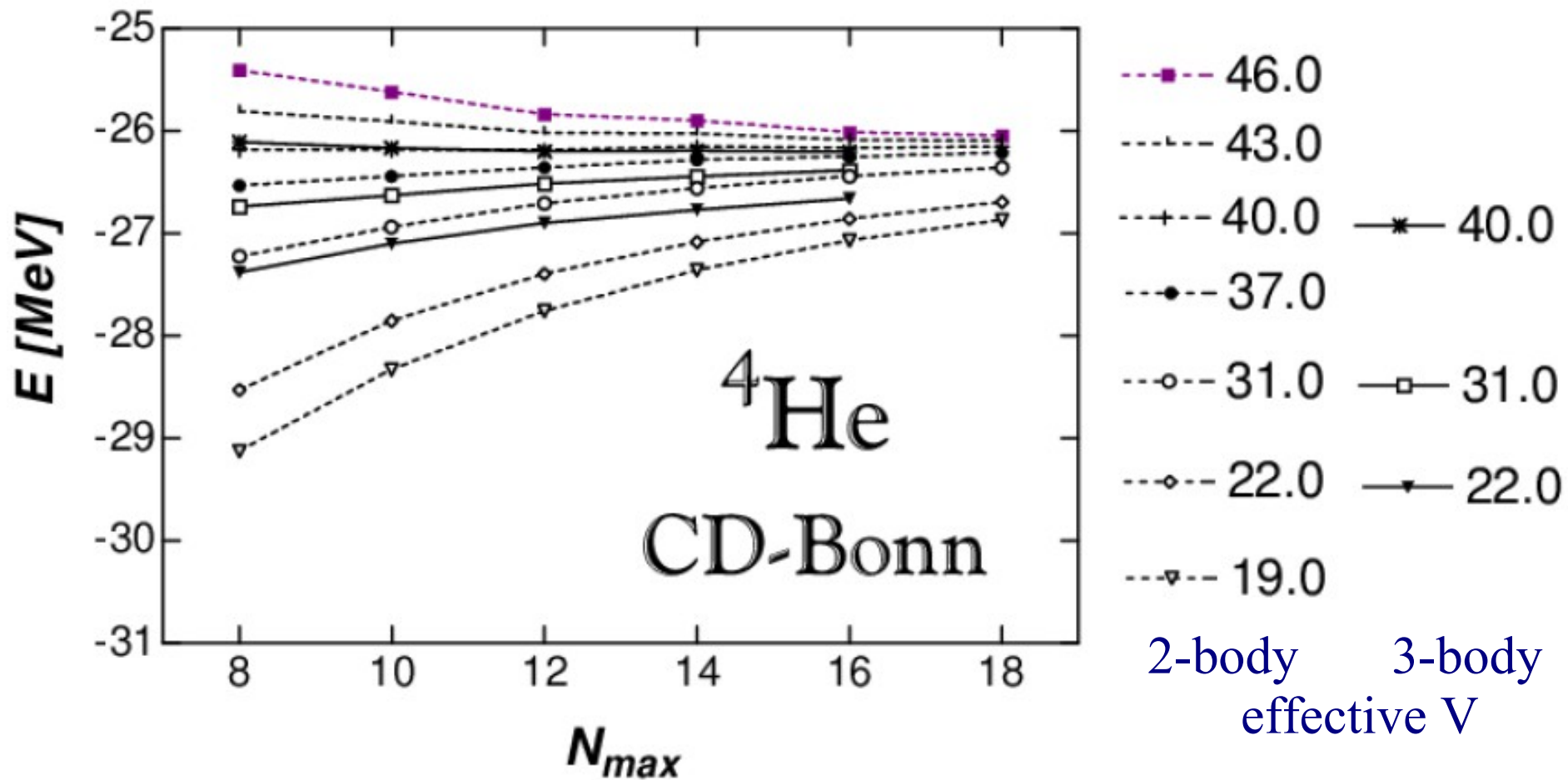
$$H_{A,2}^{N_{\max}, \Omega, \text{eff}} = \frac{U_{2,P}^{\dagger}}{\sqrt{U_{2,P}^{\dagger} U_{2,P}}} E_{A,2,P}^{\Omega} \frac{U_{2,P}}{\sqrt{U_{2,P}^{\dagger} U_{2,P}}}$$

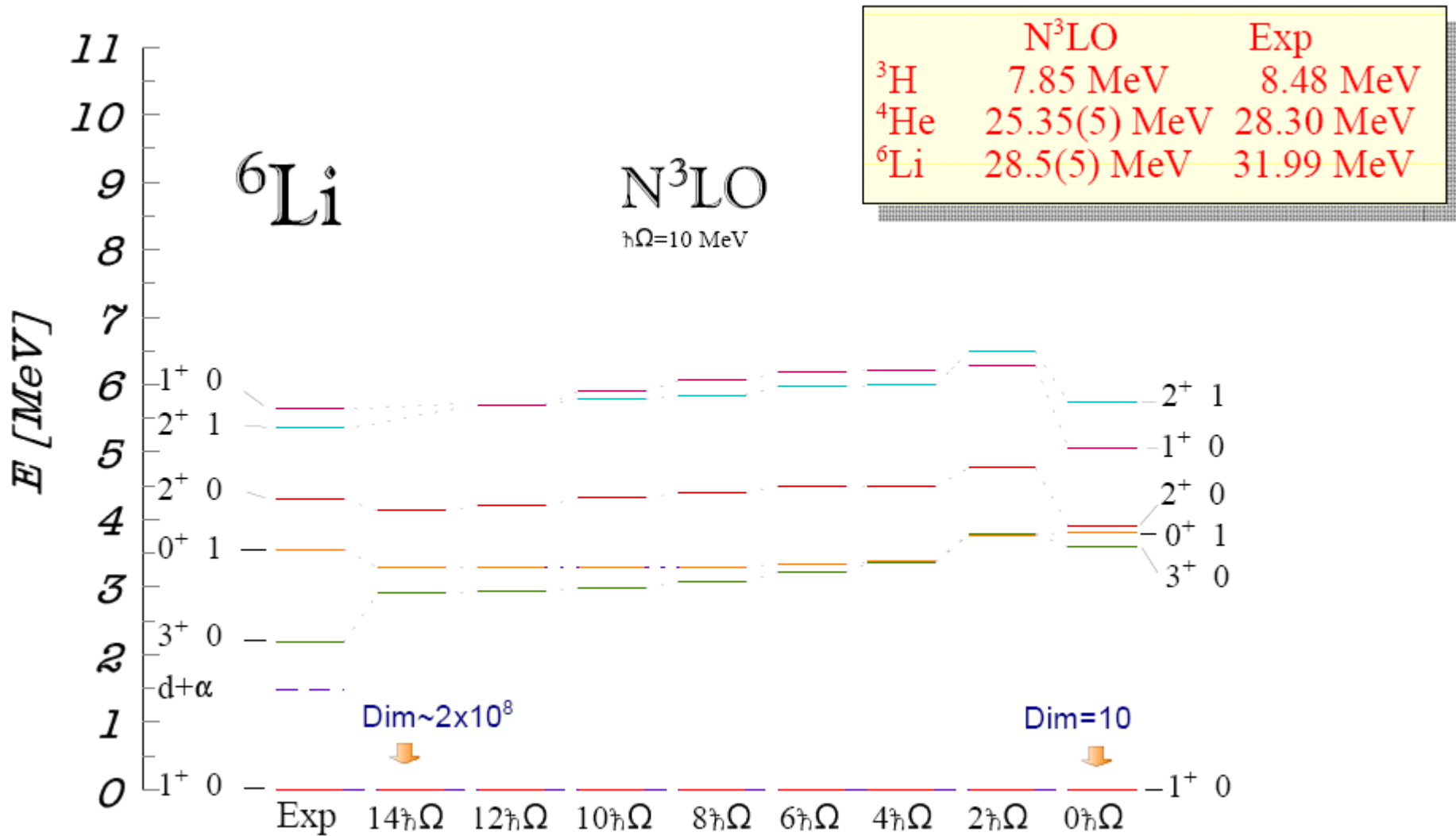
Two ways of convergence:

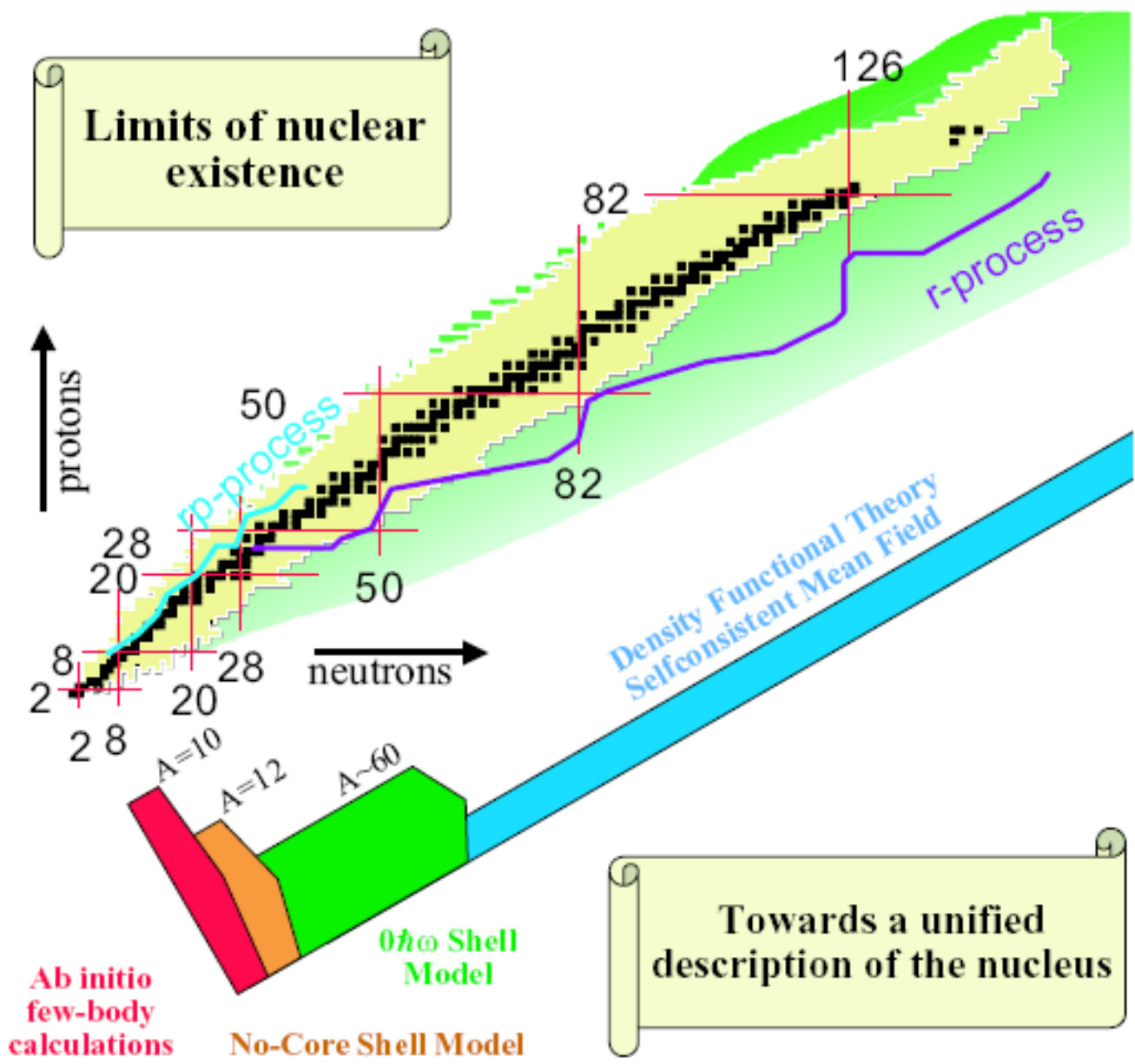
1) For $P \rightarrow 1$ and fixed a : $\tilde{H}_{A,a=2}^{\text{eff}} \rightarrow H_A$

2) For $a \rightarrow A$ and fixed P : $\tilde{H}_{A,a}^{\text{eff}} \rightarrow H_A$









II. Ab Initio Shell Model with a Core Approach

PHYSICAL REVIEW C 78, 044302 (2008)

Ab-initio shell model with a core

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(Received 20 June 2008; published 10 October 2008)

We construct effective two- and three-body Hamiltonians for the p -shell by performing $12\hbar\Omega$ *ab initio* no-core shell model (NCSM) calculations for $A = 6$ and 7 nuclei and explicitly projecting the many-body Hamiltonians onto the $0\hbar\Omega$ space. We then separate these effective Hamiltonians into inert core, one- and two-body contributions (also three-body for $A = 7$) and analyze the systematic behavior of these different parts as a function of the mass number A and size of the NCSM basis space. The role of effective three- and higher-body interactions for $A > 6$ is investigated and discussed.

DOI: [10.1103/PhysRevC.78.044302](https://doi.org/10.1103/PhysRevC.78.044302)

PACS number(s): 21.10.Hw, 21.60.Cs, 23.20.Lv, 27.20.+n

From few-body to many-body

Ab initio
No Core Shell Model

Realistic NN & NNN forces



Effective interactions in
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Diagonalization of
many-body Hamiltonian

Core Shell Model

effective interactions for
valence nucleons



Diagonalization of the
Hamiltonian for valence
nucleons

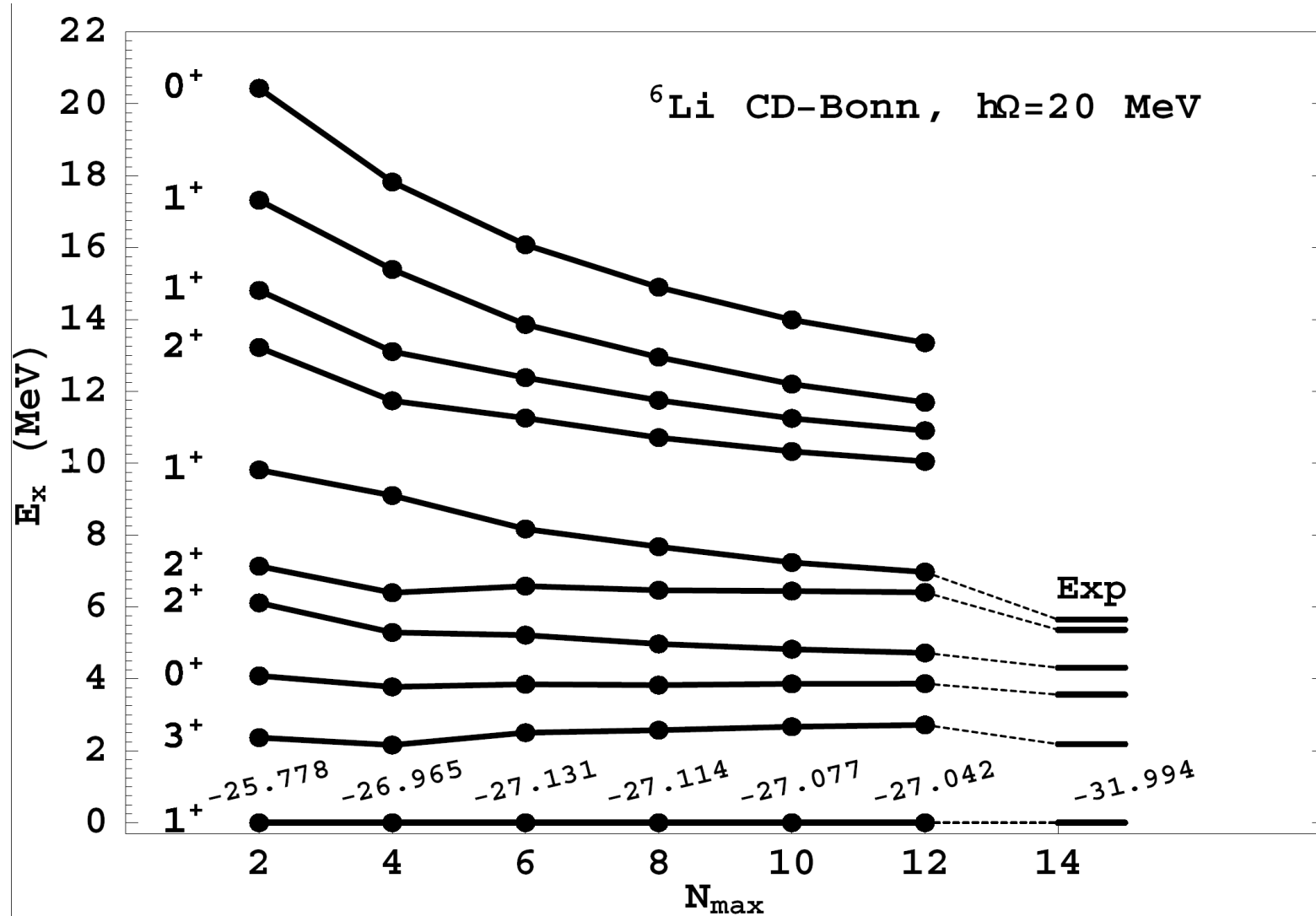


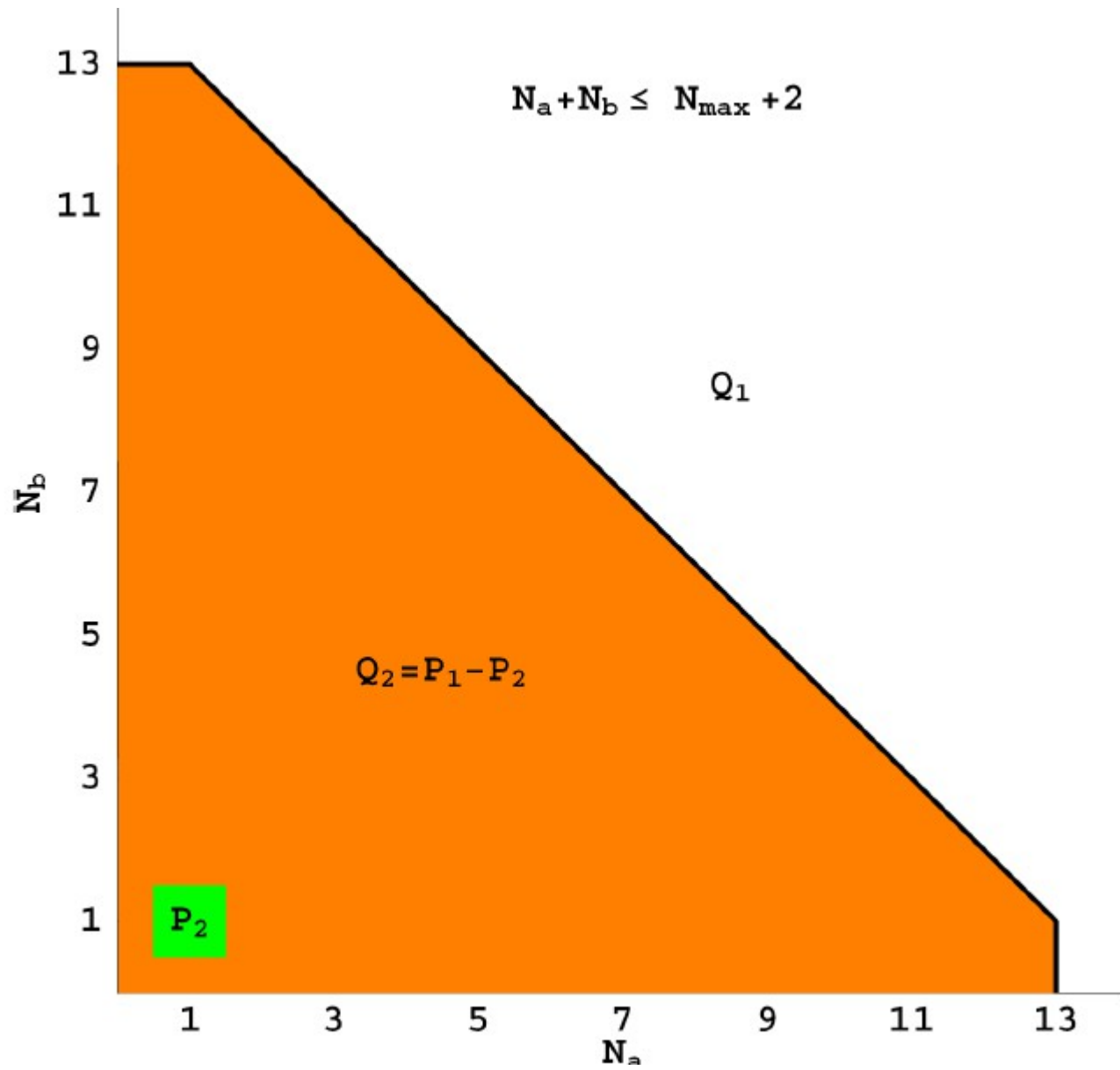
Many-body experimental data



NCSM results for ${}^6\text{Li}$ with CD-Bonn NN potential

Dimensions p-space: 10; $N_{\text{max}}=12$: 48 887 665; $N_{\text{max}}=14$: 211 286 096





$$H\Psi_\alpha = E_\alpha\Psi_\alpha \quad \text{where} \quad H = \sum_{i=1}^A t_i + \sum_{i < j}^A v_{ij}.$$

$$\mathcal{H}\Phi_\beta = E_\beta\Phi_\beta$$

$$\Phi_\beta = P\Psi_\beta$$

P is a projection operator from S into \mathcal{S}

$$\langle \tilde{\Phi}_\gamma | \Phi_\beta \rangle = \delta_{\gamma\beta}$$

$$\mathcal{H} = \sum_{\beta \in \mathcal{S}} |\Phi_\beta\rangle E_\beta \langle \tilde{\Phi}_\beta|$$

Effective Hamiltonian for SSM

Two ways of convergence:

1) For $P \rightarrow 1$ and fixed a : $H_{A,a=2}^{\text{eff}} \rightarrow H_A$: previous slide

2) For $a_1 \rightarrow A$ and fixed P_1 : $H_{A,a_1}^{\text{eff}} \rightarrow H_A$

$P_1 + Q_1 = P$; P_1 - small model space; Q_1 - excluded space;

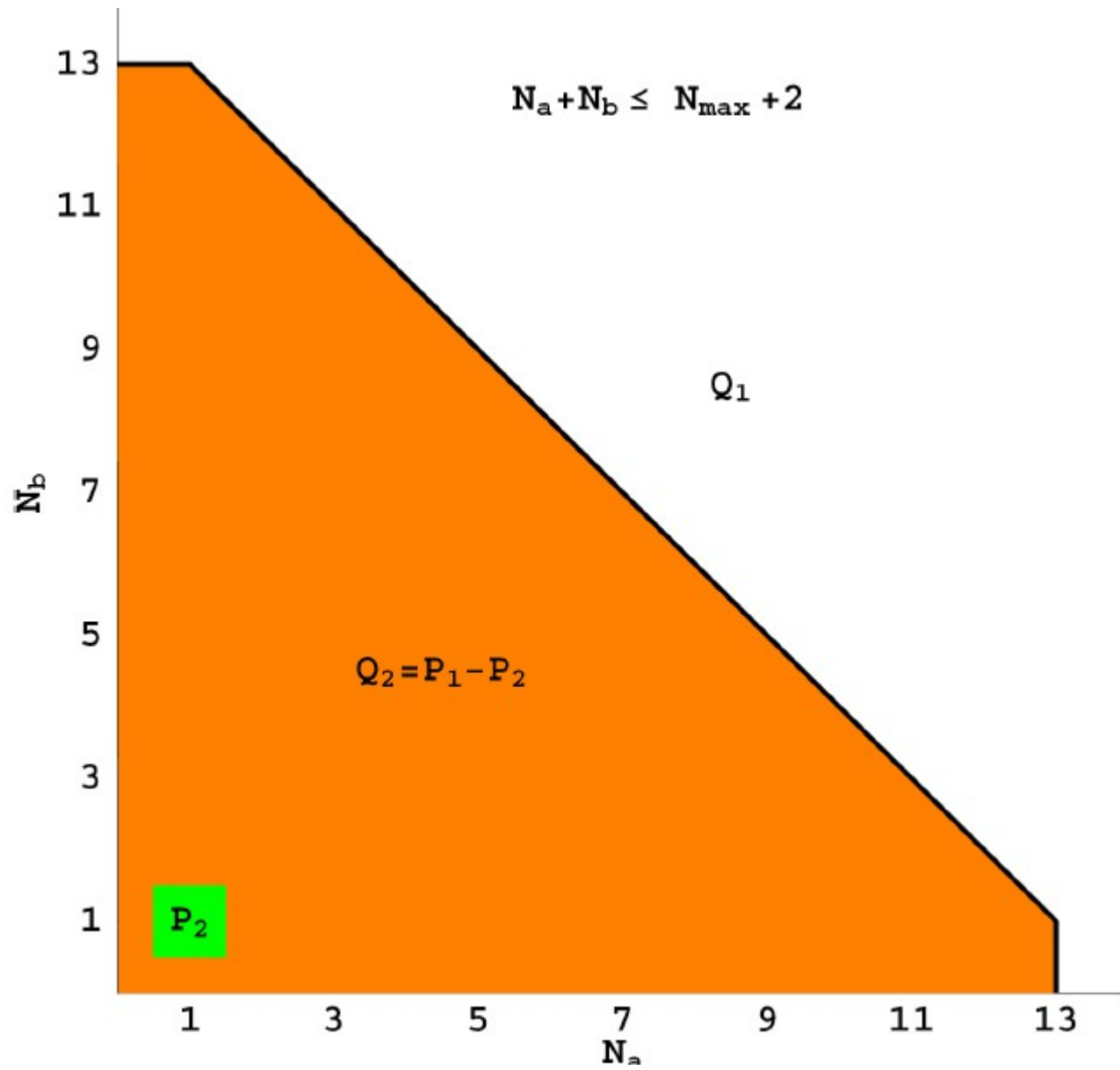
$$\mathcal{H}_{A,a_1}^{N_{1,\max}, N_{\max}} = \frac{U_{a_1, P_1}^{A, \dagger}}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}} E_{A, a_1, P_1}^{N_{\max}, \Omega} \frac{U_{a_1, P_1}^A}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}}$$

Valence Cluster Expansion

$N_{1,\max} = 0$ space (p-space); $a_1 = A_c + a_v$; a_1 - order of cluster;

A_c - number of nucleons in core; a_v - order of valence cluster;

$$\mathcal{H}_{A,a_1}^{0, N_{\max}} = \sum_k^{a_v} V_k^{A, A_c + k}$$



Effective Hamiltonian for SSM

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1) For $P \rightarrow 1$ and fixed a : $H_{A,a=2}^{\text{eff}} \rightarrow H_A$: previous slide

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$P_1 + Q_1 = P$; P_1 - small model space; Q_1 - excluded space;

$$\mathcal{H}_{A,a_1}^{N_{1,\max}, N_{\max}} = \frac{U_{a_1, P_1}^{A, \dagger}}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}} E_{A, a_1, P_1}^{N_{\max}, \Omega} \frac{U_{a_1, P_1}^A}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}}$$

Valence Cluster Expansion

$N_{1,\max} = 0$ space (p-space); $a_1 = A_c + a_v$; a_1 - order of cluster;

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$$\mathcal{H}_{A,a_1}^{0, N_{\max}} = \sum_k^{a_v} V_k^{A, A_c + k}$$

III. Results: Study of A-dependence

a) 0p-shell nuclei

b) sd-shell nuclei

Two-body VCE for ${}^6\text{Li}$

$$\mathcal{H}_{A=6, a_1=6}^{0, N_{\max}} = V_0^{6,4} + V_1^{6,5} + V_2^{6,6}$$

Need NCSM results
in N_{\max} space for

${}^4\text{He}$

${}^5\text{He}$ ${}^5\text{Li}$

${}^6\text{He}$ ${}^6\text{Li}$ ${}^6\text{Be}$

With effective interaction for $A=6$!!!

$$H_{A=6,2}^{N_{\max}, \Omega, \text{eff}}$$

Core Energy

$$V_0^{6,4} = -51.644 \text{ MeV}$$

$$V_1^{6,5} = \mathcal{H}_{6,5}^{0, N_{\max}} - V_0^{6,4} \quad \langle ab; JT | V_1^{6,5} | cd; JT \rangle = (\epsilon_a + \epsilon_b) \delta_{a,c} \delta_{b,d}$$

Single Particle
Energies

$$\epsilon_{p_{3/2}} = 14.574 \text{ MeV} \quad \epsilon_{p_{1/2}} = 18.516 \text{ MeV}$$

$$V_2^{6,6} = \mathcal{H}_{6,6}^{0, N_{\max}} - \mathcal{H}_{6,5}^{0, N_{\max}}$$

TBMEs

$$\langle p_{3/2} p_{3/2} | V_2^{6,6} | p_{3/2} p_{3/2} \rangle_{J=3, T=0} = -1.825 \text{ MeV}$$

$$\langle p_{3/2} p_{3/2} | V_2^{6,6} | p_{3/2} p_{3/2} \rangle_{J=2, T=1} = 2.762 \text{ MeV}$$

2-body Valence Cluster approximation for A=6

$$\mathcal{H}_A^{0, N_{\max}, \alpha_1=6} = V_0^{A,4} + V_1^{A,5} + V_2^{A,6}$$

CD-Bonn potential

Need NCSM results
in N_{\max} space for

${}^4\text{He}$

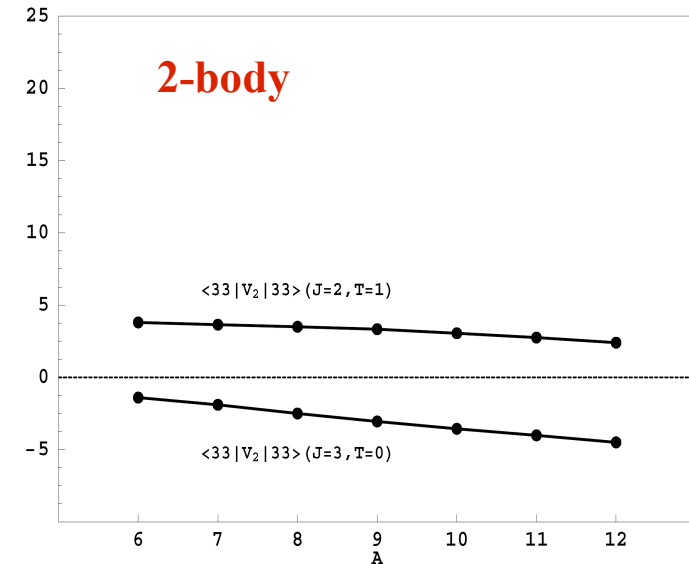
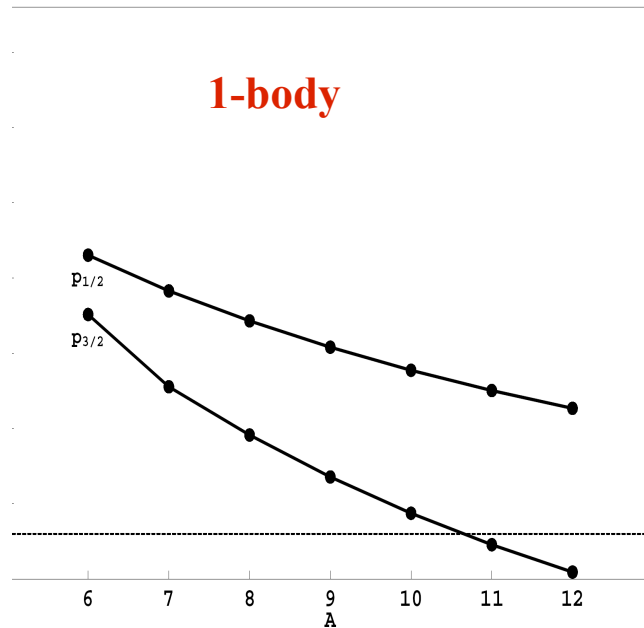
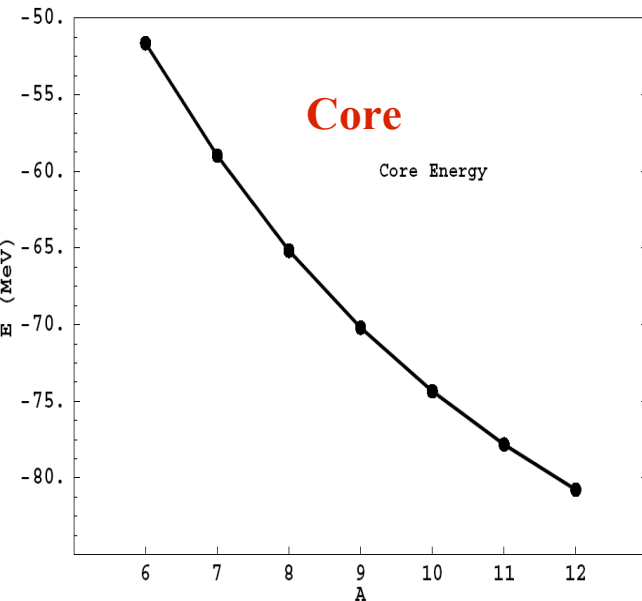
${}^5\text{He}$ ${}^5\text{Li}$

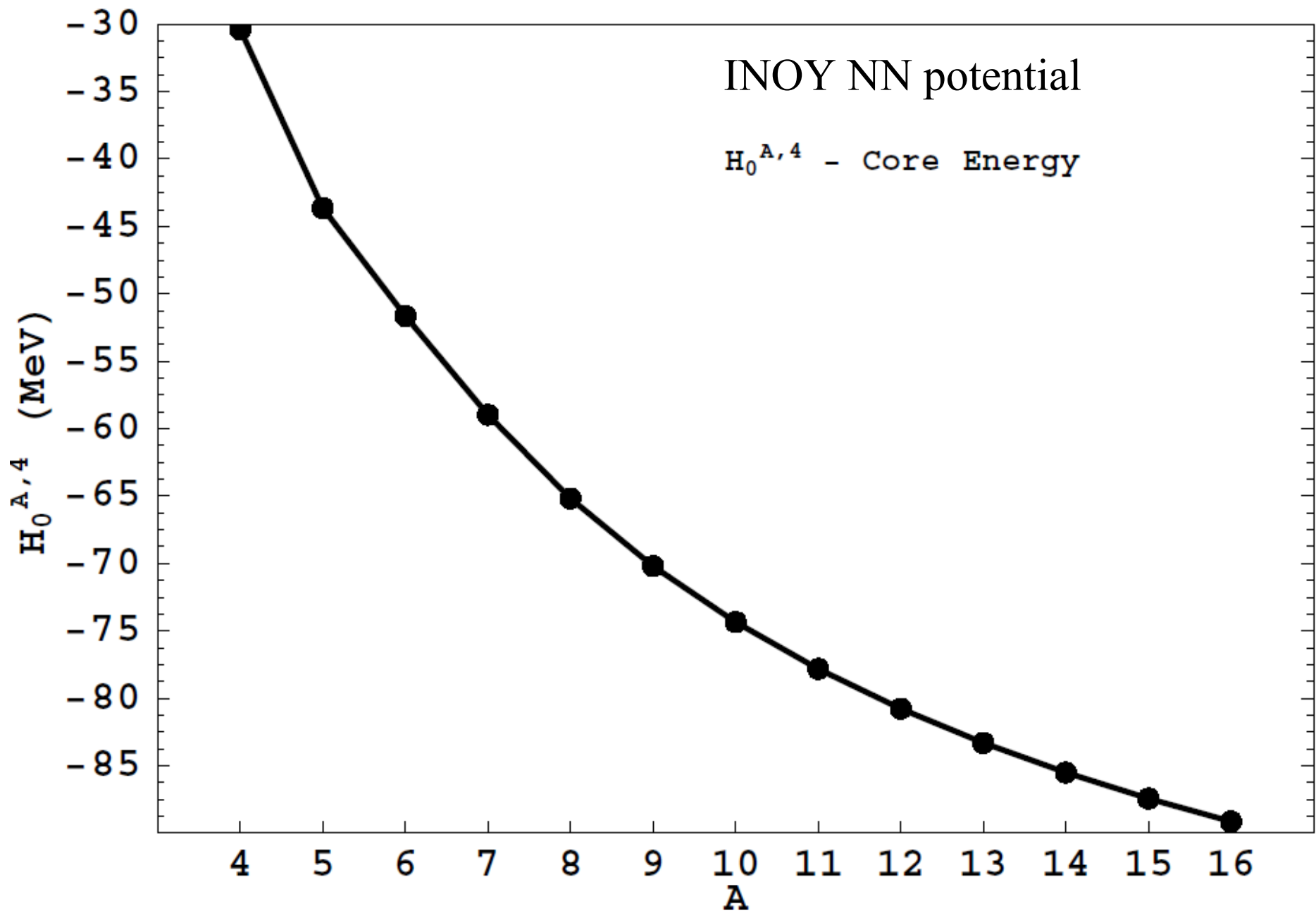
${}^6\text{He}$ ${}^6\text{Li}$ ${}^6\text{Be}$

With effective interaction for A !!!

$$H_A^{N_{\max}, \Omega, \text{eff}}_{,2}$$

$N_{\max} = 6$





Ab initio effective interactions for *sd*-shell valence nucleons

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²*Department of Physics, University of Arizona, Tucson, AZ 85721*

³*Department of Physics and Astronomy, Iowa State University, Ames, Iowa 50011*

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⁵*Pacific National University, 136 Tikhookeanskaya st., Khabarovsk 680035, Russia*

We perform *ab initio* no core shell model calculations for $A = 18$ and 19 nuclei in a $4\hbar\Omega$ model space using JISP16 and CD-Bonn nucleon-nucleon potentials and project the many-body Hamiltonians onto the $0\hbar\Omega$ model space to construct the effective A -body Hamiltonians in the *sd*-shell. We separate the effective A -body Hamiltonians with $A = 18$ and $A = 19$ into inert core, one- and two-body components. Then, these core, one- and two-body components are used to perform standard shell model calculations for the $A = 18$ and $A = 19$ systems with valence nucleons in the *sd*-shell. Finally, we compare the standard shell model results with the exact no core shell model results in the $4\hbar\Omega$ model space for the $A = 18$ and $A = 19$ systems.

PACS numbers: 21.10.Hw, 21.60.Cs, 23.20.En, 23.20.Lv, 23.20.-g, 27.40.+z

Keywords: NCSM, *ab initio*, effective interactions

TABLE II: Proton and neutron single particle energies for JISP16 interactions with the mass of $A = 18$ and $A = 19$.

	$A = 18$ ($E_{core} = -115.529$)			$A = 19$ ($E_{core} = -115.319$)		
a	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{5}{2}$	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{5}{2}$
ϵ_a^p	0.603	9.748	1.398	0.627	9.774	1.419
ϵ_a^n	-3.068	6.262	-2.270	-3.044	6.289	-2.248

TABLE III: Proton and neutron single particle energies for CD-Bonn interactions with the mass of $A = 18$ and $A = 19$.

	$A = 18$ ($E_{core} = -148.268$)			$A = 19$ ($E_{core} = -162.418$)		
a	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{5}{2}$	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{5}{2}$
ϵ_a^p	15.812	23.463	16.349	13.927	21.671	14.343
ϵ_a^n	12.069	19.863	12.565	10.154	18.043	10.534

No-Core Shell-Model Approach

- Next, add CM harmonic-oscillator Hamiltonian

$$H_{CM}^{HO} = \frac{\vec{P}^2}{2Am} + \frac{1}{2}Am\Omega^2\vec{R}^2; \quad \vec{R} = \frac{1}{A}\sum_{i=1}^A\vec{r}_i, \quad \vec{P} = Am\dot{\vec{R}}$$

To H_A , yielding

$$H_A^\Omega = \sum_{i=1}^A \left[\frac{\vec{p}_i^2}{2m} + \frac{1}{2}m\Omega^2\vec{r}_i^2 \right] + \underbrace{\sum_{i<j=1}^A \left[V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A}(\vec{r}_i - \vec{r}_j)^2 \right]}_{V_{ij}}$$

Defines a basis (*i.e.* **HO**) for evaluating V_{ij}

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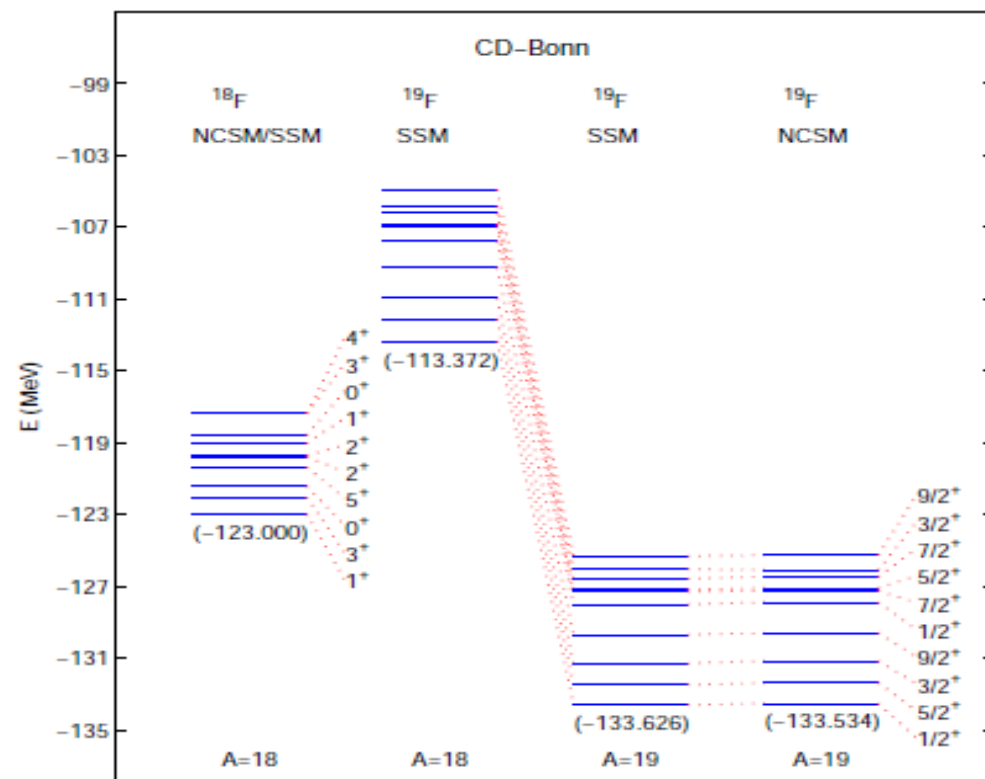


FIG. 2: The ground and low-lying excited states energies of ^{18}F and ^{19}F obtained by the SSM and NCSM calculations using the effective CD-Bonn interaction with the mass of $A = 18$ and $A = 19$. The tags $A = 18$ and $A = 19$ at the bottom of each column refer to the effective CD-Bonn interaction obtained by using the mass of $A = 18$ and $A = 19$.

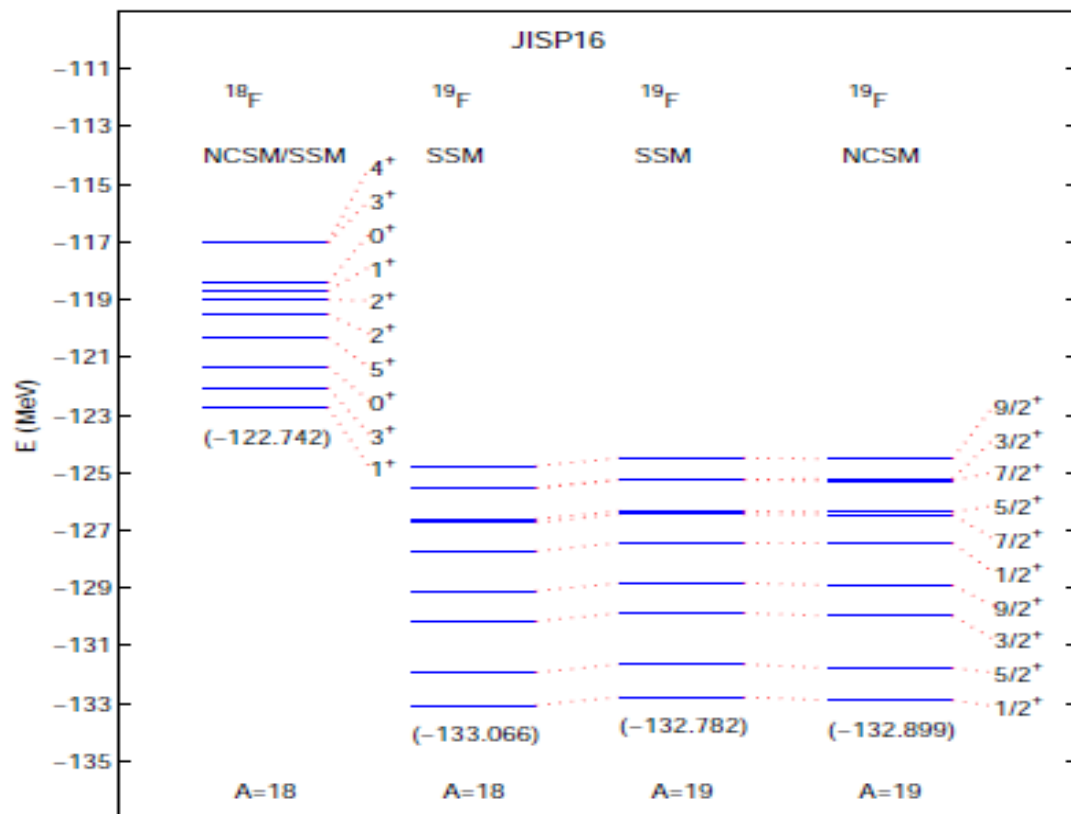


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IV. Summary

The separation of the doubly truncated 2-body matrix elements into a core energy, s.p. energies, and residual 2-body effective interactions is not unique.

A new procedure for performing this separation yields results that are closer to the usual input for Standard Shell Model calculations and have a much weaker A -dependence.

Additional calculations are being performed to further investigate this new procedure, especially for sd-shell nuclei.

