

# Ab Initio Theory Outside the Box

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# Inside the Box



- this workshop has provided an impressive snapshot of the **progress and perspectives in ab initio nuclear theory** and its links to experiment
- **definition:** everything we have heard so-far is inside the ab initio box

# Inside the Box

## ■ **ab initio theory is entering new territory...**

- **QCD frontier**  
nuclear structure connected systematically to QCD via chiral EFT
- **accuracy frontier**  
control uncertainties, improve convergence, inform extrapolations
- **mass frontier**  
ab initio calculations up to heavy nuclei with quantified uncertainties
- **open-shell frontier**  
extend to medium-mass open-shell nuclei and their excitation spectrum
- **continuum & clustering frontier**  
include continuum & clustering effects for threshold states & nuclei
- **reaction frontier**  
describe structure & reaction observables on the same footing

**...providing a coherent theoretical framework for nuclear structure & reactions and linking it to experiment**

# Outside the Box



- two more things that are not yet inside the ab initio box:
- **ab initio hypernuclear structure**  
can we describe the spectroscopy of p-shell hypernuclei ab initio ?
- **perturbation theory — ab initio ?**  
wouldn't it be great if MBPT would qualify as ab initio approach ?

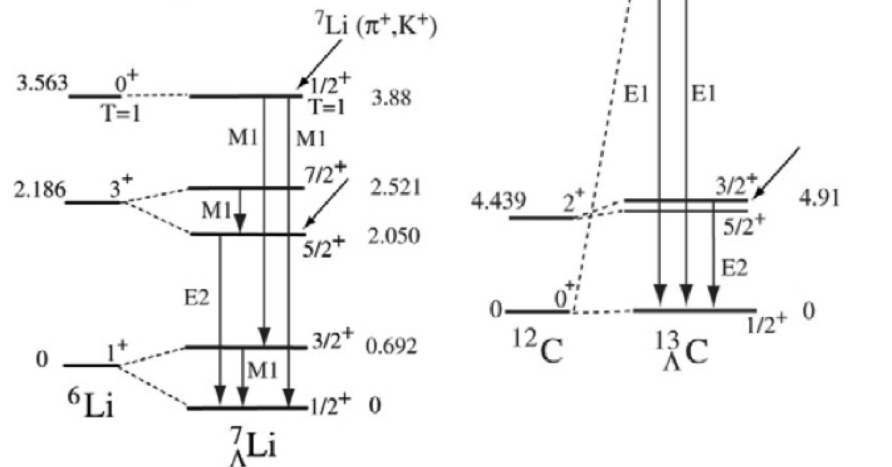
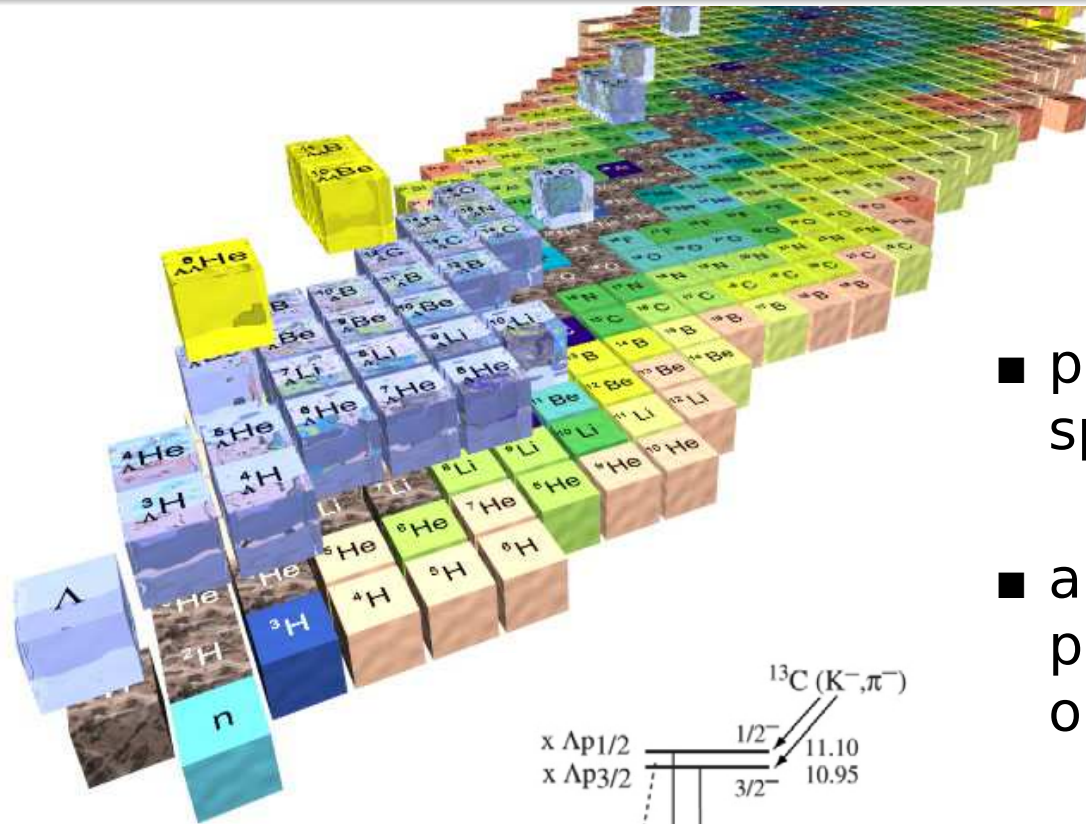
# Ab Initio Hypernuclear Structure



with

Roland Wirth, Daniel Gazda, Petr Navrátil

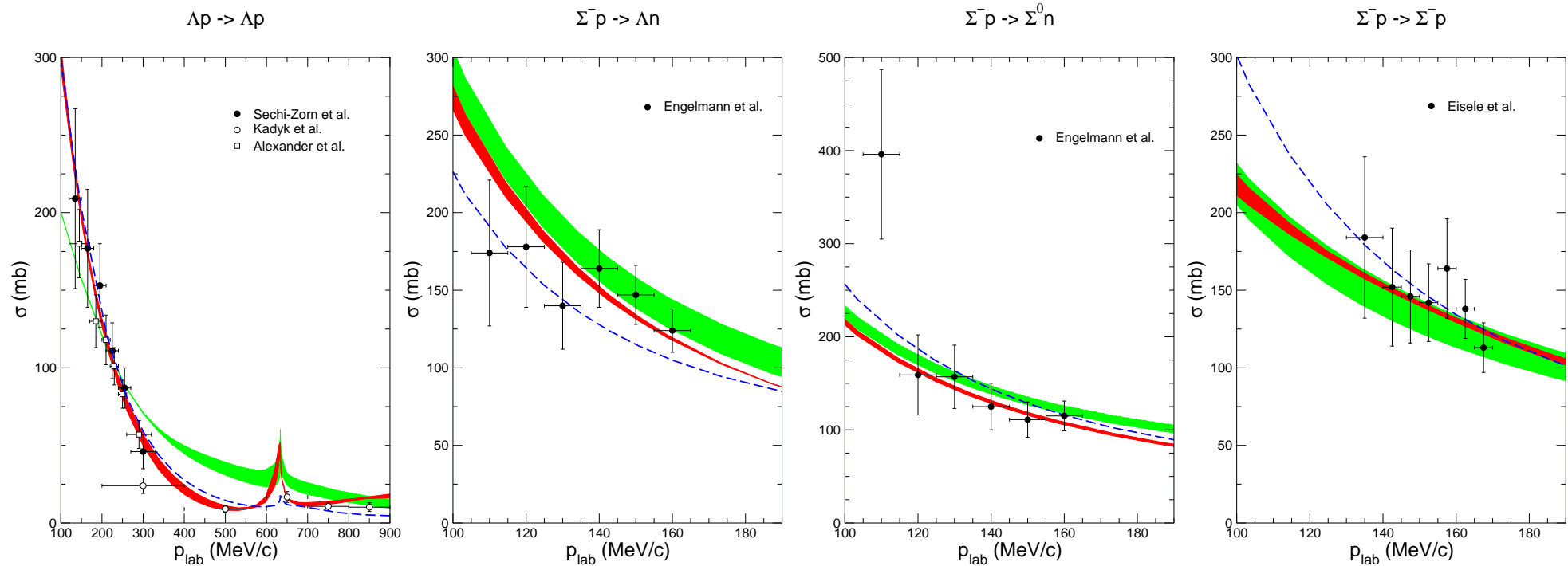
# Ab Initio Hypernuclear Structure



- precise data on ground states & spectroscopy of hypernuclei
- ab initio few-body ( $A \lesssim 4$ ) and phenomenological shell model or cluster calculations
- chiral YN & YY interactions at (N)LO are available
- constrain YN & YY interactions by ab initio hypernuclear structure calculations

# YN Interaction — A Problem

*Haidenbauer et al., NPA 915, 24 (2013), Polinder et al., NPA 779, 244 (2006), Haidenbauer et al., PRC 72, 044005 (2005)*



--- Jülich'04      — LO chiral      — NLO chiral

- experimental YN scattering data is **scarce** and has **large uncertainties**
- fit of interactions **not well constrained** (invoke symmetries)
- scattering data **cannot discriminate** between different YN potentials

# Ab Initio Toolbox

## ■ Hamiltonian from chiral EFT

- NN: chiral N3LO by Entem & Machleidt,  $\Lambda_{\text{NN}} = 500$  MeV
- 3N: chiral N2LO by Navrátil,  $\Lambda_{3\text{N}} = 500$  MeV,  $A = 3$  fit
- YN: chiral LO by Polinder, Haidenbauer & Meißner,  $\Lambda_{\text{YN}} = 600, 700$  MeV  
Jülich'04 by Haidenbauer & Meißner

## ■ Similarity Renormalization Group

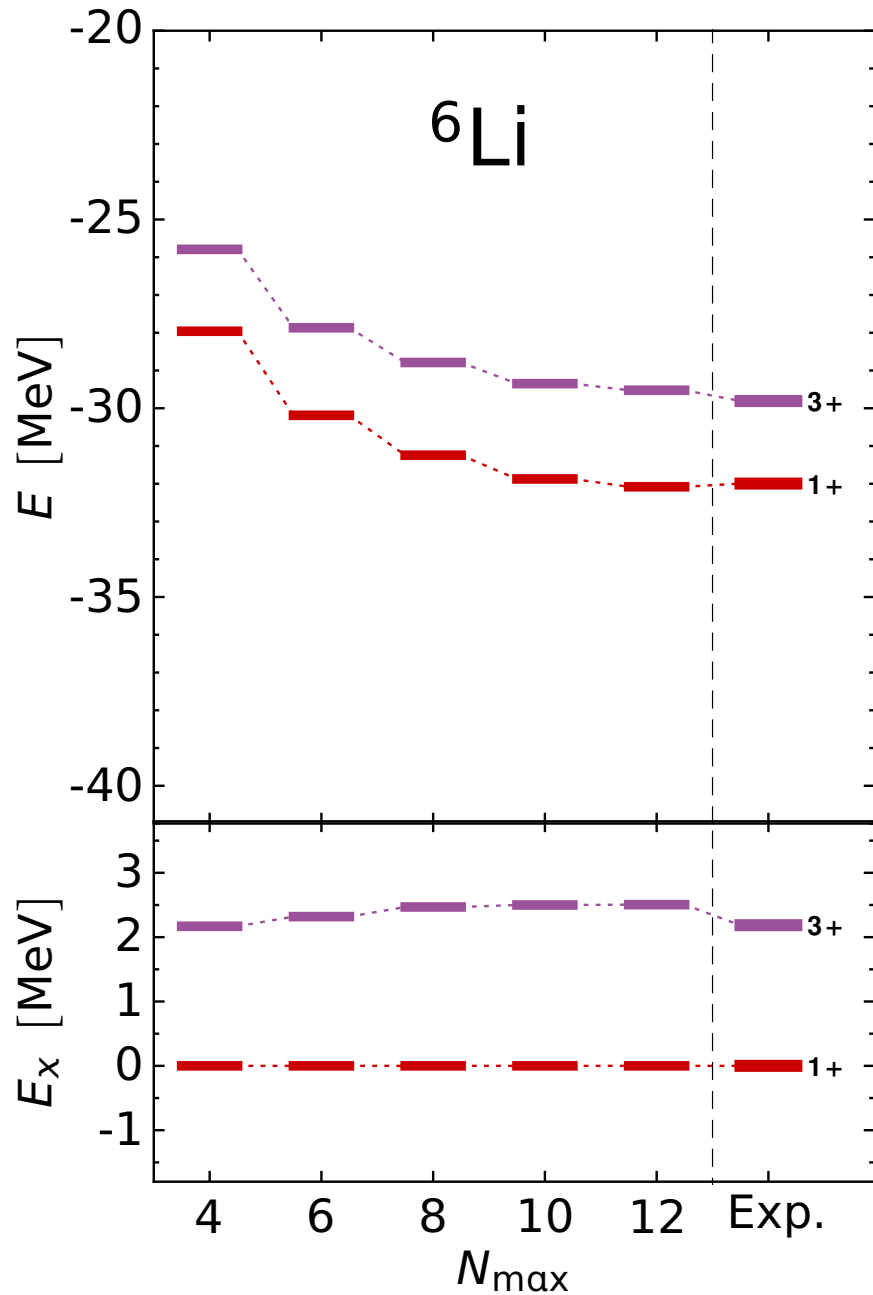
- consistent SRG-evolution of NN, 3N, YN interactions
- using particle basis and including  $\Lambda$ - $\Sigma$ -coupling (larger matrices)
- $\Lambda$ - $\Sigma$  mass difference and  $p\Sigma^\pm$  Coulomb included consistently

## ■ Importance Truncated No-Core Shell Model

- include explicit  $(p, n, \Lambda, \Sigma^+, \Sigma^0, \Sigma^-)$  with physical masses
- larger model spaces easily tractable with importance truncation
- all p-shell single- $\Lambda$  hypernuclei are accessible



# Application: ${}^7_\Lambda\text{Li}$



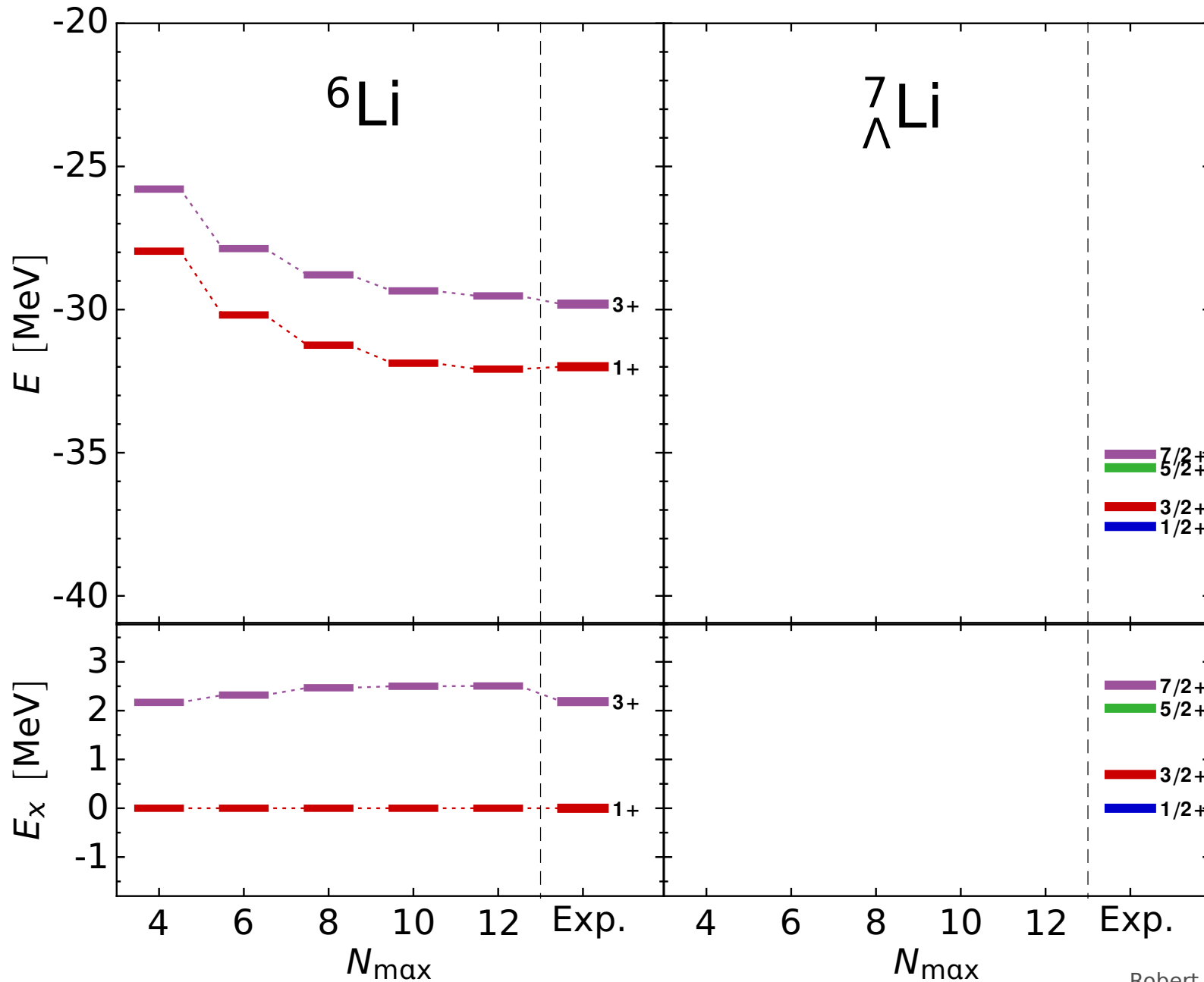
NN @ N3LO  
 $\Lambda_{NN} = 500 \text{ MeV}$   
 Entem&Machleidt

3N @ N2LO  
 $\Lambda_{3N} = 500 \text{ MeV}$   
 Navratil  
 A = 3 fit

$$\alpha_N = 0.08 \text{ fm}^4$$

$$h\Omega = 20 \text{ MeV}$$

# Application: ${}^7_{\Lambda}\text{Li}$



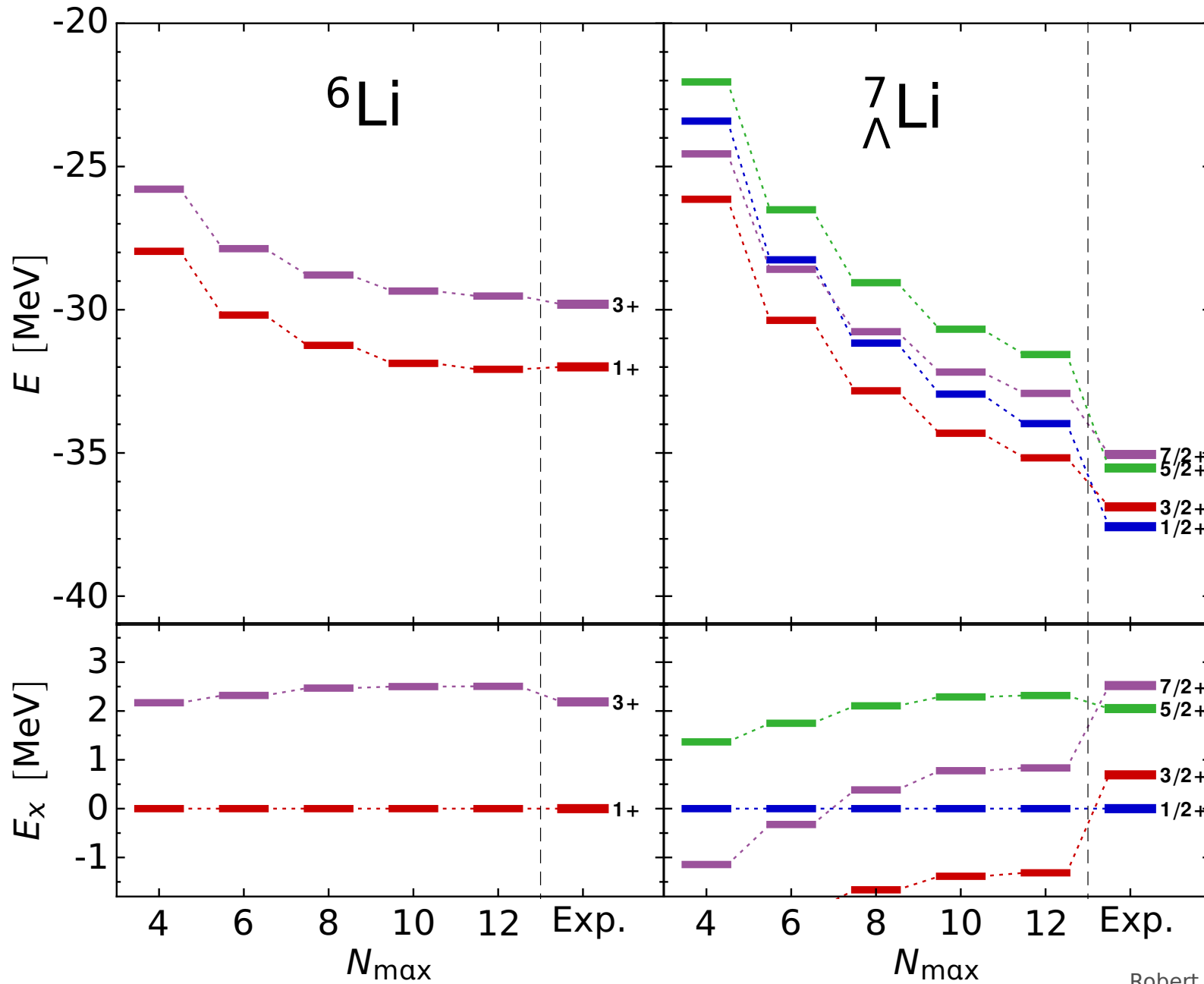
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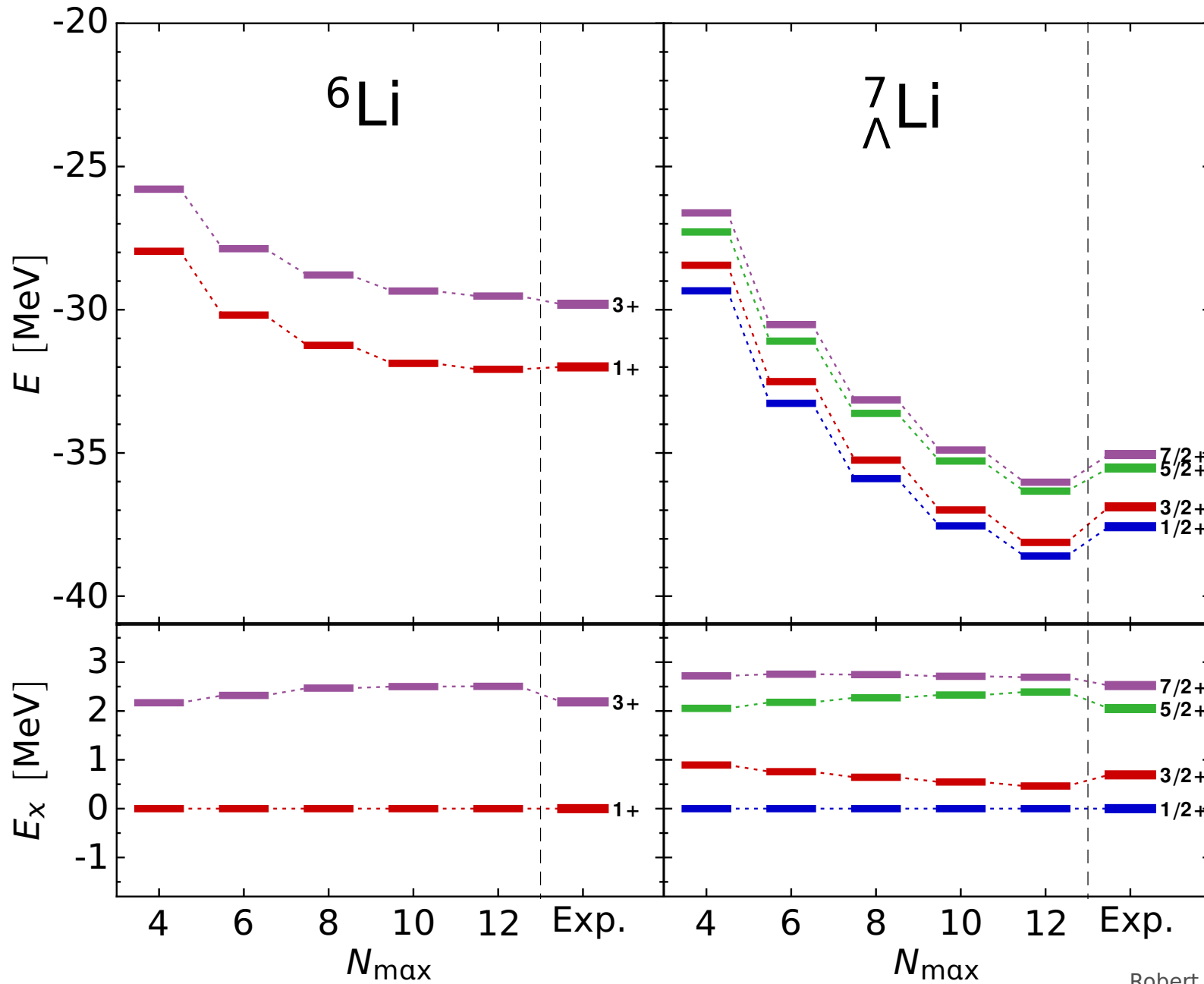
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Jülich'04  
 Haidenbauer et al.  
 scatt. & hypertriton

$\alpha_N = 0.08 \text{ fm}^4$   
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# Application: ${}^7_{\Lambda}\text{Li}$



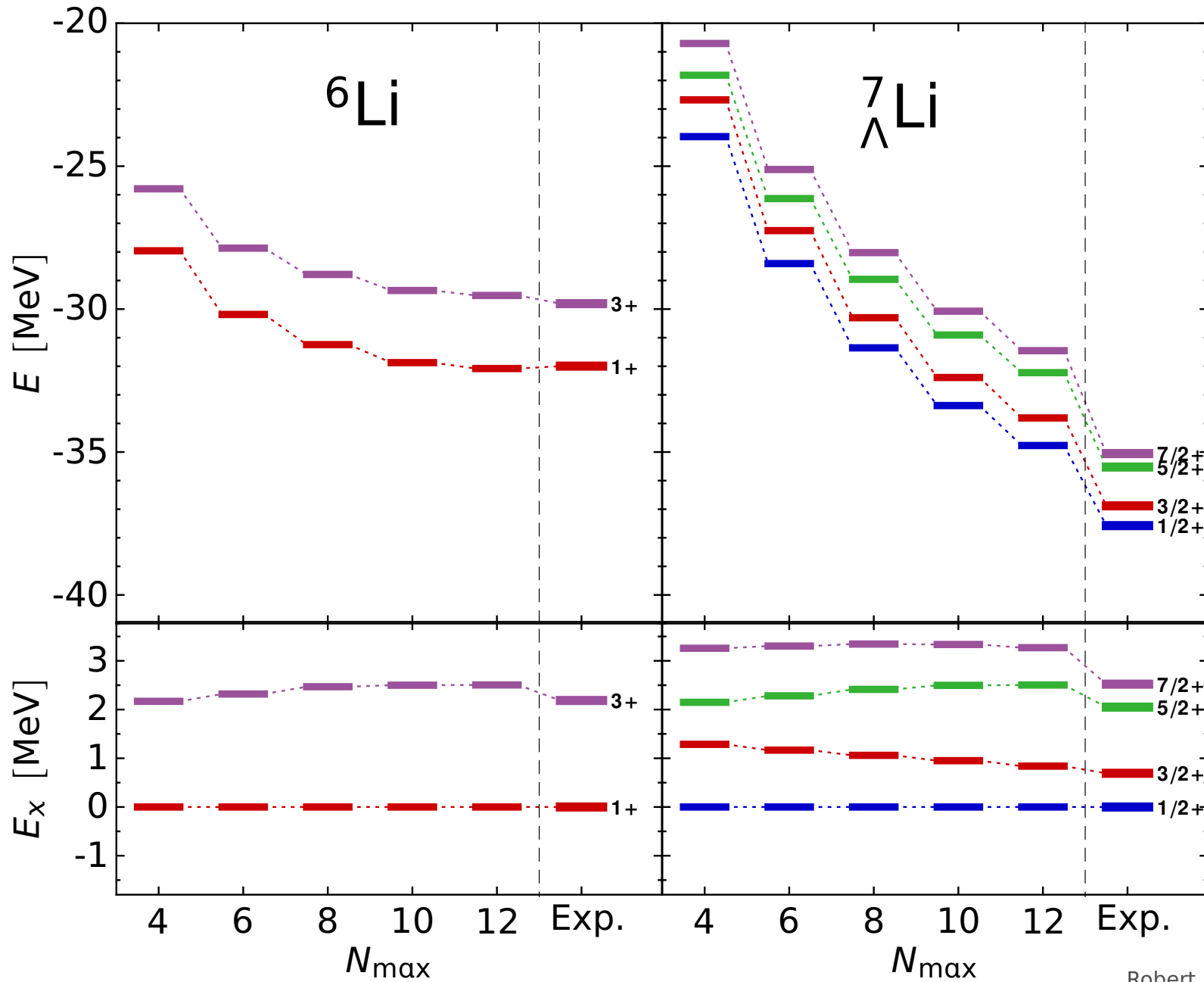
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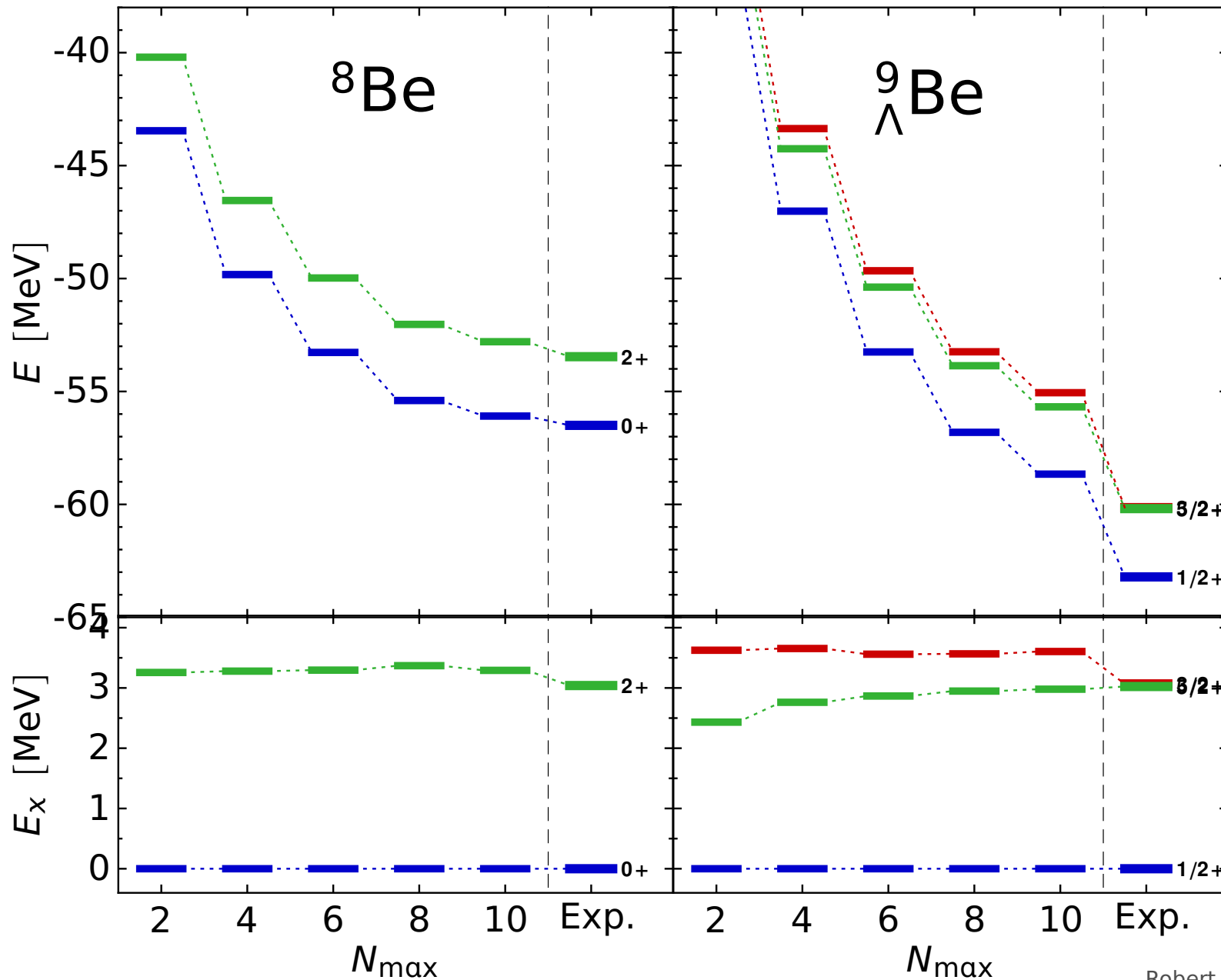
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YN @ LO  
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 scatt. & hypertriton

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 $\alpha_Y = 0.00 \text{ fm}^4$   
 $h\Omega = 20$  MeV

# Application: ${}^9_{\Lambda}\text{Be}$



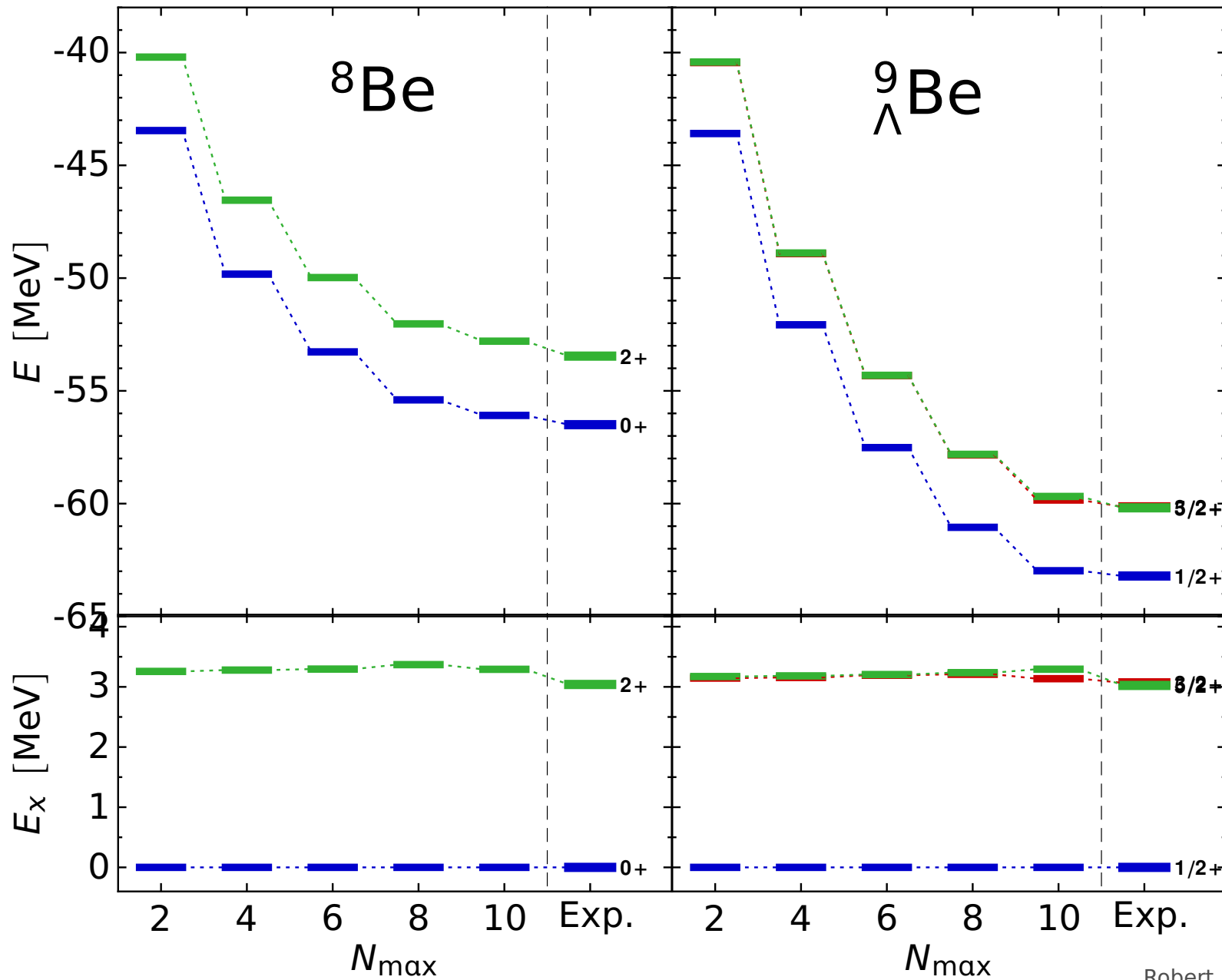
NN @ N3LO  
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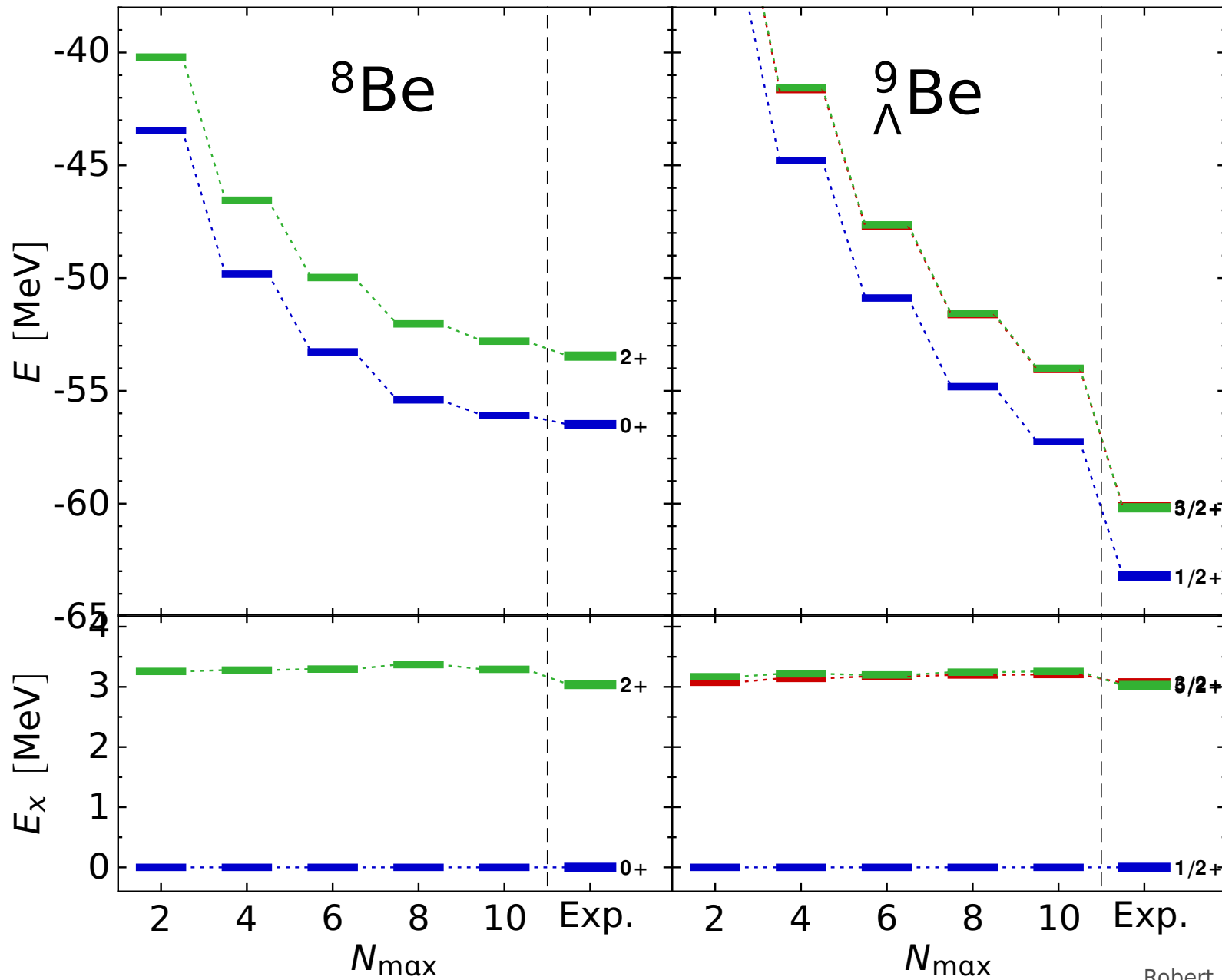
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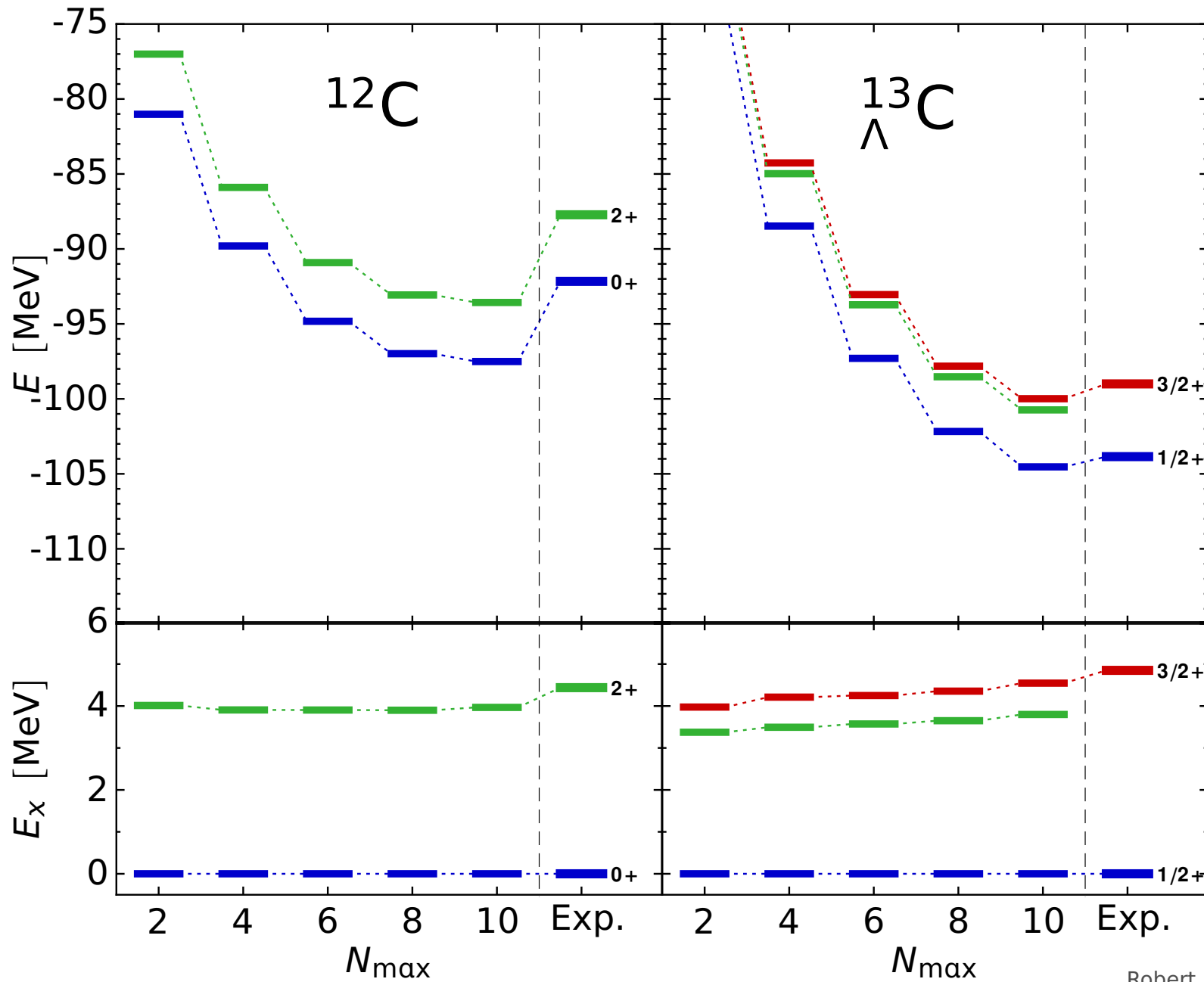
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# Application: ${}_{\Lambda}^{13}\text{C}$



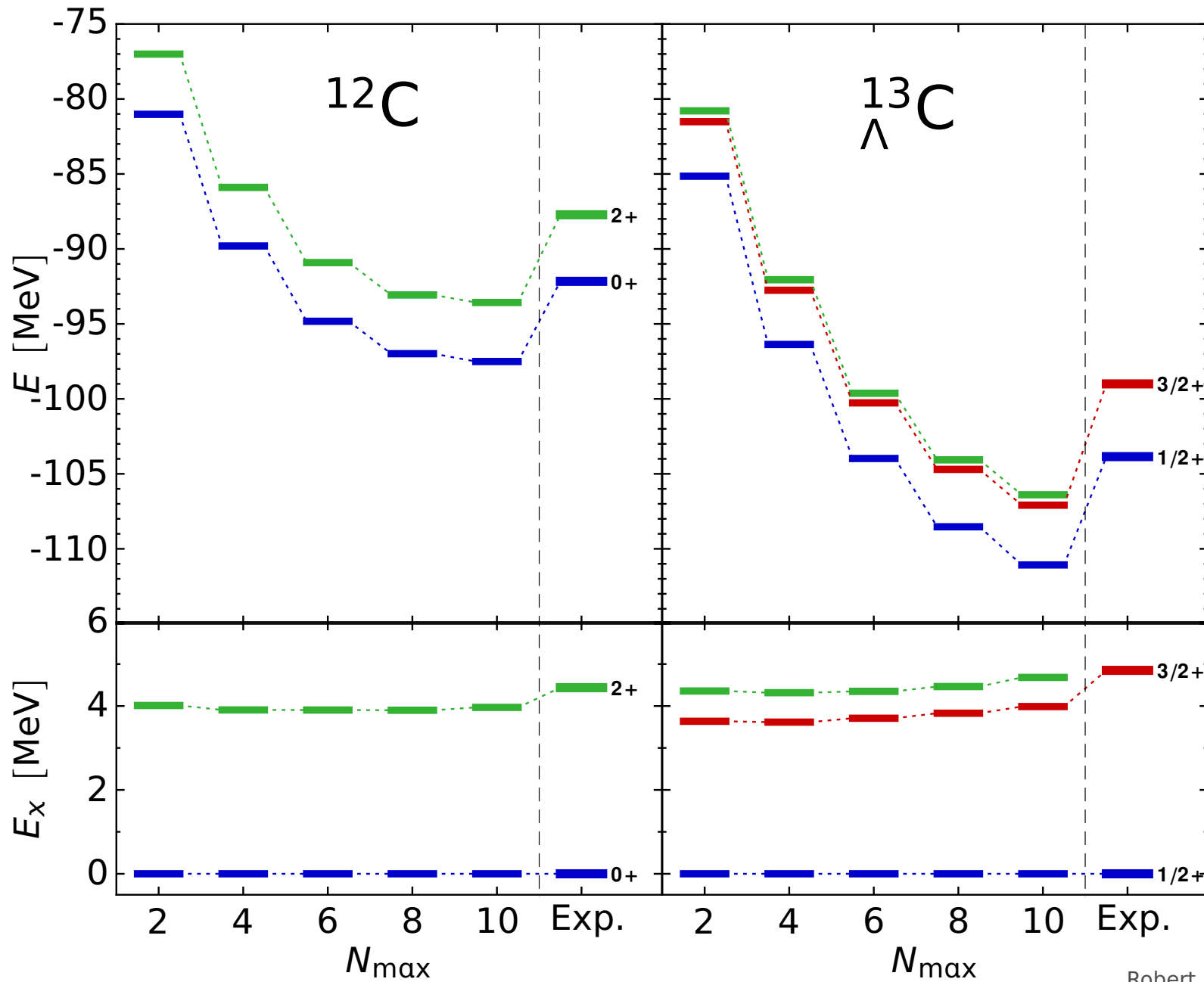
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# Application: ${}_{\Lambda}^{13}\text{C}$



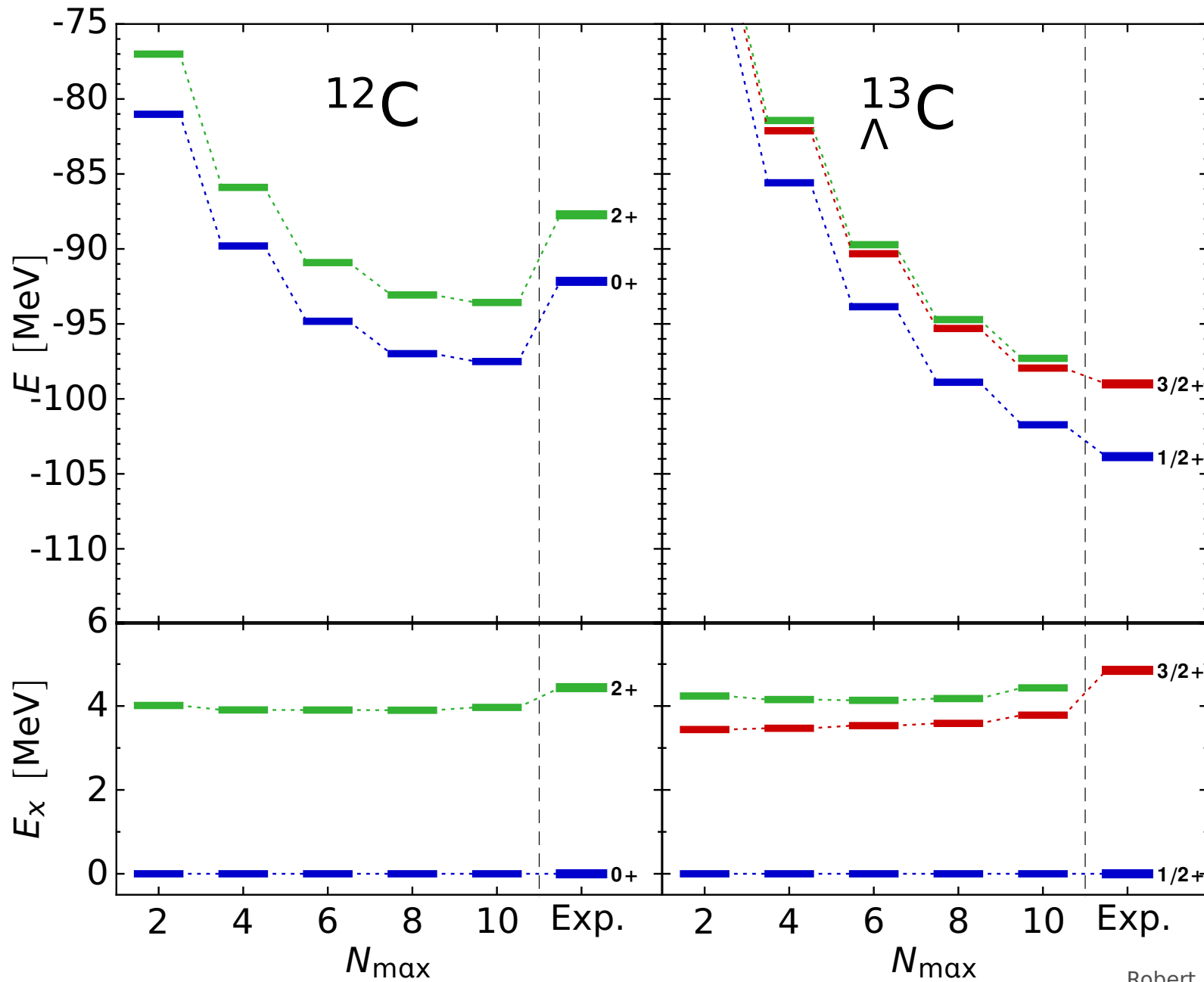
NN @ N3LO  
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# Application: ${}_{\Lambda}^{13}\text{C}$



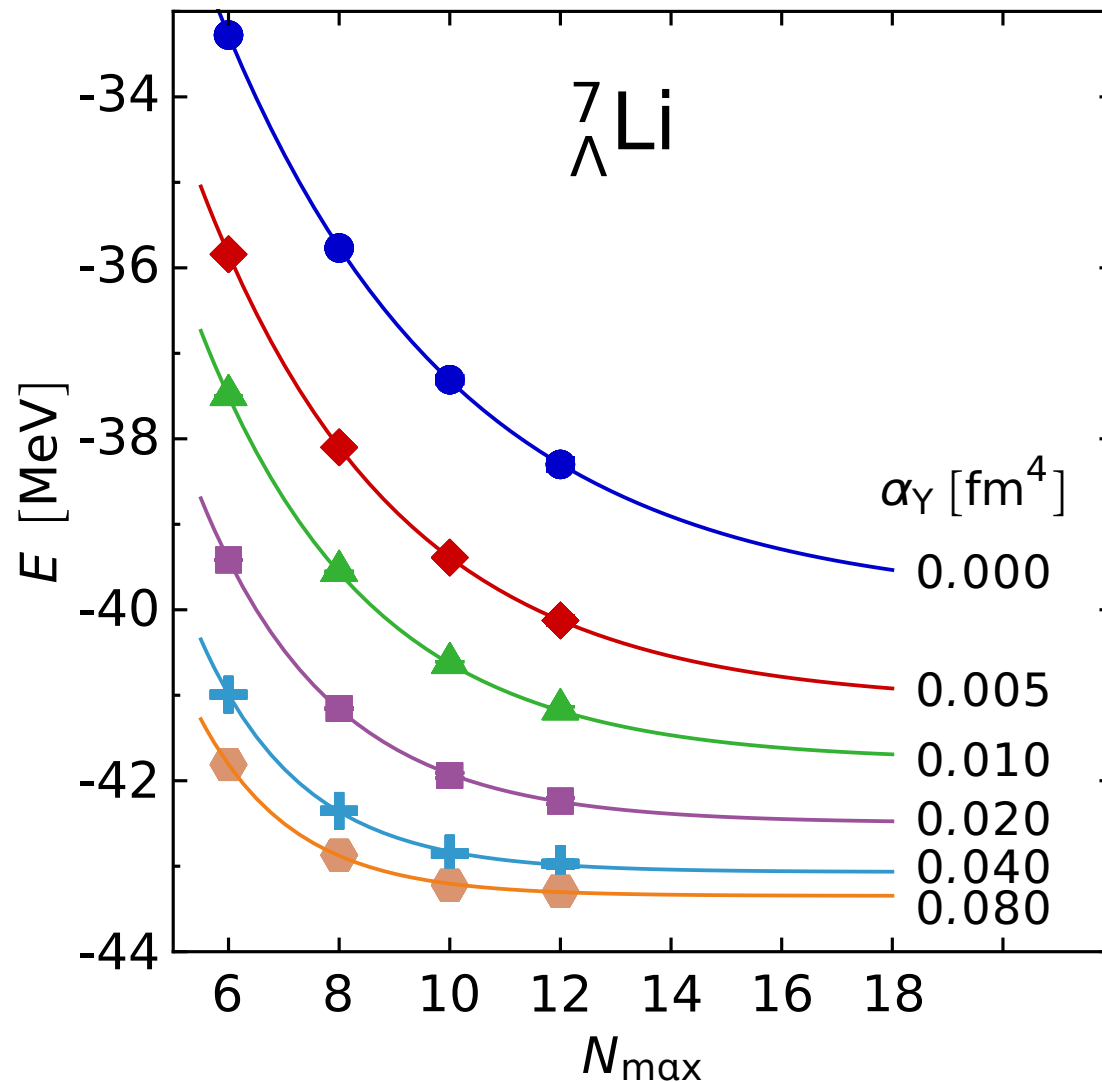
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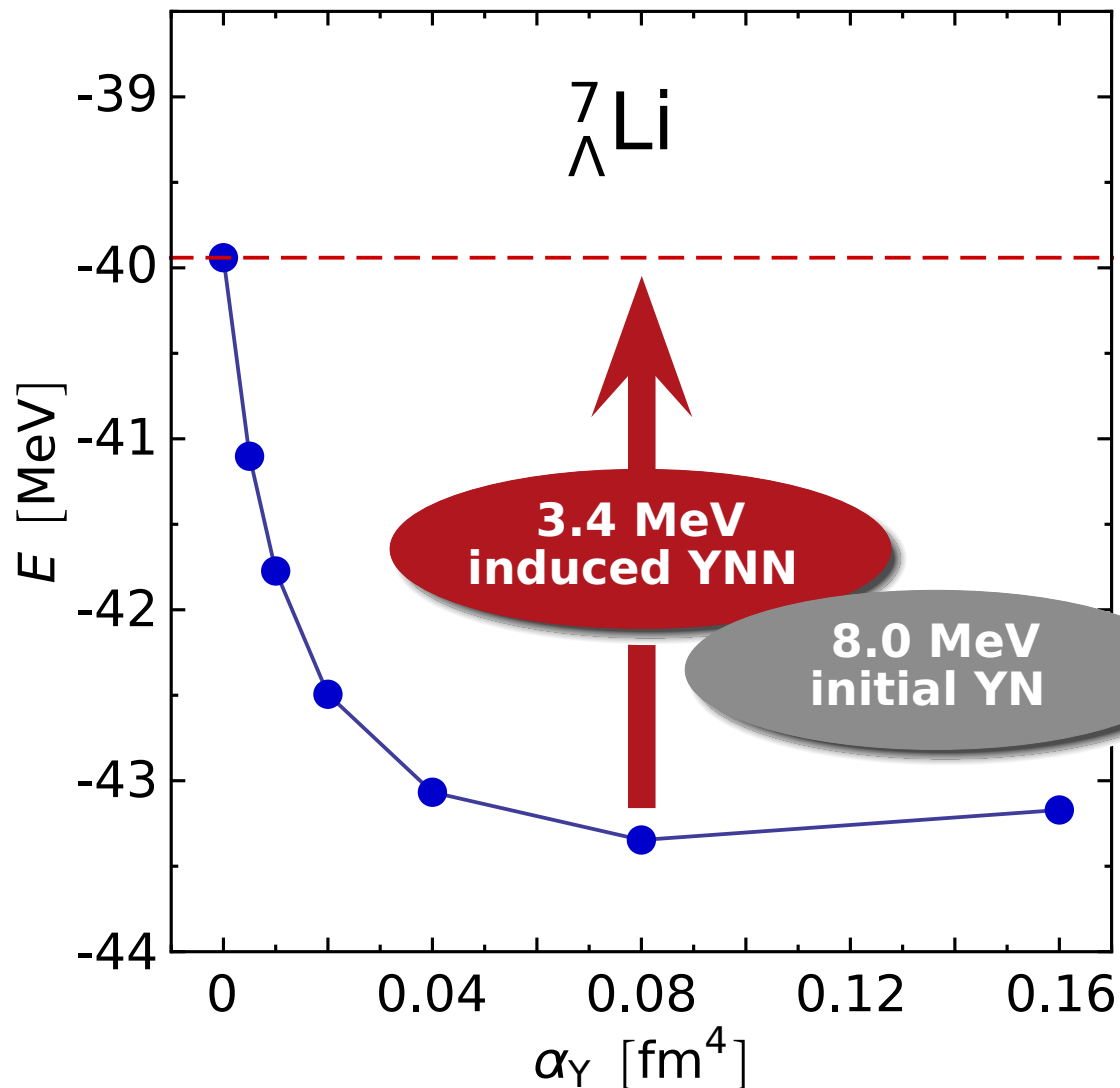
# SRG Evolution of YN Channels



- SRG evolution of YN channels **improves convergence** as expected
- significant  $\alpha_Y$  dependence indicates **SRG-induced YNN interactions**

YN @ LO  
 $\Lambda_{YN} = 600 \text{ MeV}$   
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# Ab Initio Hypernuclear Structure

- ab initio hypernuclear structure in the IT-NCSM now possible for **all single- $\Lambda$  p-shell hypernuclei**
- LO chiral YN interactions provide spectra that **agree with experiment** within cutoff uncertainties
- hypernuclear structure sets **tight constraints on YN interaction**
- significant **SRG-induced YNN interactions**, implications for mean-field type models and the hyperon puzzle ?
- **NLO chiral YN interactions** are expected to reduce cutoff dependence, but fit is difficult...  
(13 instead of 5 LECs in S/SD-waves assuming  $SU(3)_f$  and neglecting P-waves; fit to 36 data)
- **lots of applications** are waiting...

# Perturbation Theory — Ab Initio ?



with

Alexander Tichai, Christina Stumpf, Joachim Langhammer

# Many-Body Perturbation Theory

- **low-order many-body perturbation theory** is a cheap and simple tool to access nuclear observables
- wouldn't it be great if low-order MBPT would **qualify as ab initio approach** ?
- problem: **convergence behavior** of perturbation series unclear
  - how to quantify uncertainties?
  - which factors influence the order-by-order convergence?
  - how to restore or accelerate the convergence?
- strategy: study convergence behavior with **explicit high-order calculations**



# Explicit High-Order MBPT

- **partitioning**: definition of unperturbed basis  $|\Phi_n\rangle$

$$H(\lambda) = H_0 + \lambda W \qquad H_0 |\Phi_n\rangle = \epsilon_n |\Phi_n\rangle$$

- **power-series ansatz** for energy and eigenstates

$$E_n(\lambda) = \sum_{p=0}^{\infty} \lambda^p E_n^{(p)} \qquad |\Psi_n(\lambda)\rangle = \sum_{p=0}^{\infty} \lambda^p |\Psi_n^{(p)}\rangle$$

- **recursive relations** for energy  $E_n^{(p)}$  and states  $|\Psi_n^{(p)}\rangle = \sum_m C_{n,m}^{(p)} |\Phi_m\rangle$

$$E_n^{(p)} = \sum_m \langle \Phi_n | W | \Phi_m \rangle C_{n,m}^{(p-1)}$$

$$C_{n,m}^{(p)} = \frac{1}{\epsilon_n - \epsilon_m} \left( \sum_{m'} \langle \Phi_m | W | \Phi_{m'} \rangle C_{n,m'}^{(p-1)} - \sum_{j=1}^p E_n^{(j)} C_{n,m}^{(p-j)} \right)$$

- easy to evaluate to 'arbitrary' order with NCSM technology...

# Summation and Resummation

- **partial sum**: starting point for convergence study

$$E_{\text{sum}}(p) = E^{(0)} + \lambda E^{(1)} + \lambda^2 E^{(2)} + \dots \lambda^p E^{(p)} \Big|_{\lambda=1}$$

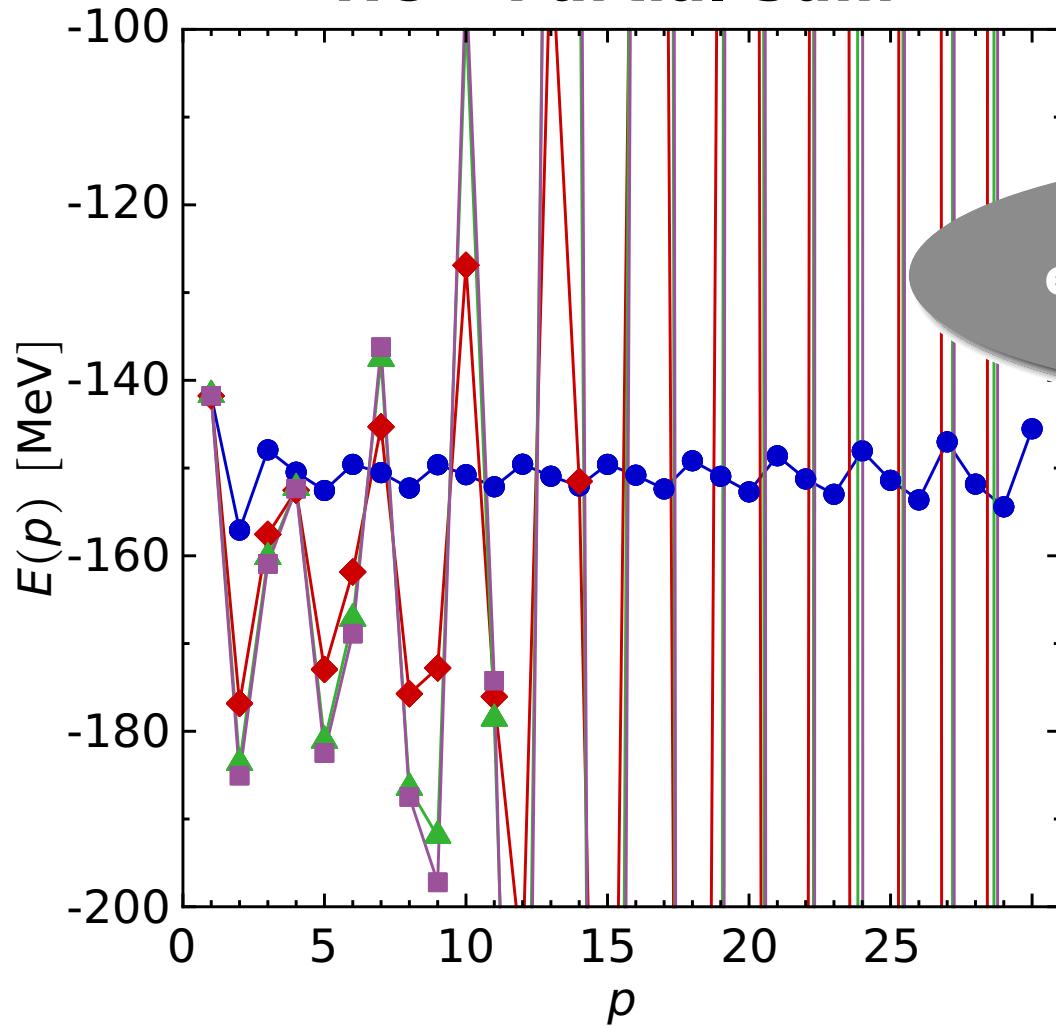
- **Padé approximant**: map power series of order  $p$  to a quotient of polynomials of orders  $M$  and  $N$

$$\begin{aligned} E_{\text{Padé}}(M/N) &= \frac{A^{(0)} + \lambda A^{(1)} + \lambda^2 A^{(2)} + \dots \lambda^M A^{(M)}}{B^{(0)} + \lambda B^{(1)} + \lambda^2 B^{(2)} + \dots \lambda^N B^{(N)}} \Big|_{\lambda=1} \\ &= E_{\text{sum}}(M+N) + \mathcal{O}(M+N+1) \end{aligned}$$

- focus on Padé main sequence:  $E_{\text{Padé}}(M/M)$  and  $E_{\text{Padé}}(M/M-1)$
- powerful convergence theory for special power series (e.g. Stieltjes)...
- additional sequence transformations on top of Padé can further accelerate convergence (Shanks, Levin-Weniger)...

# $^{16}\text{O}$ : MBPT Convergence

## HO - Partial Sum

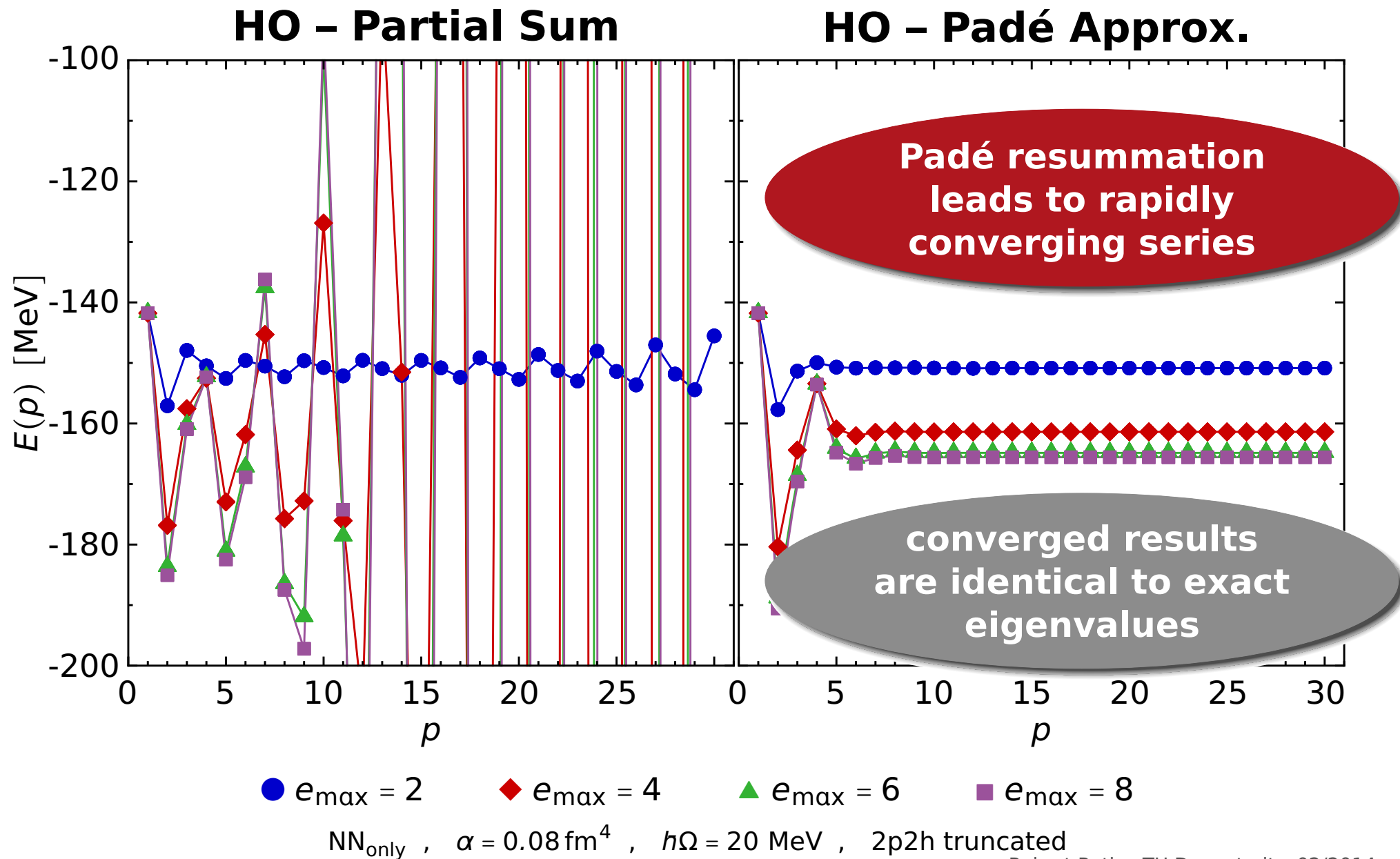


partial sum exhibits  
exponential oscillatory  
divergence

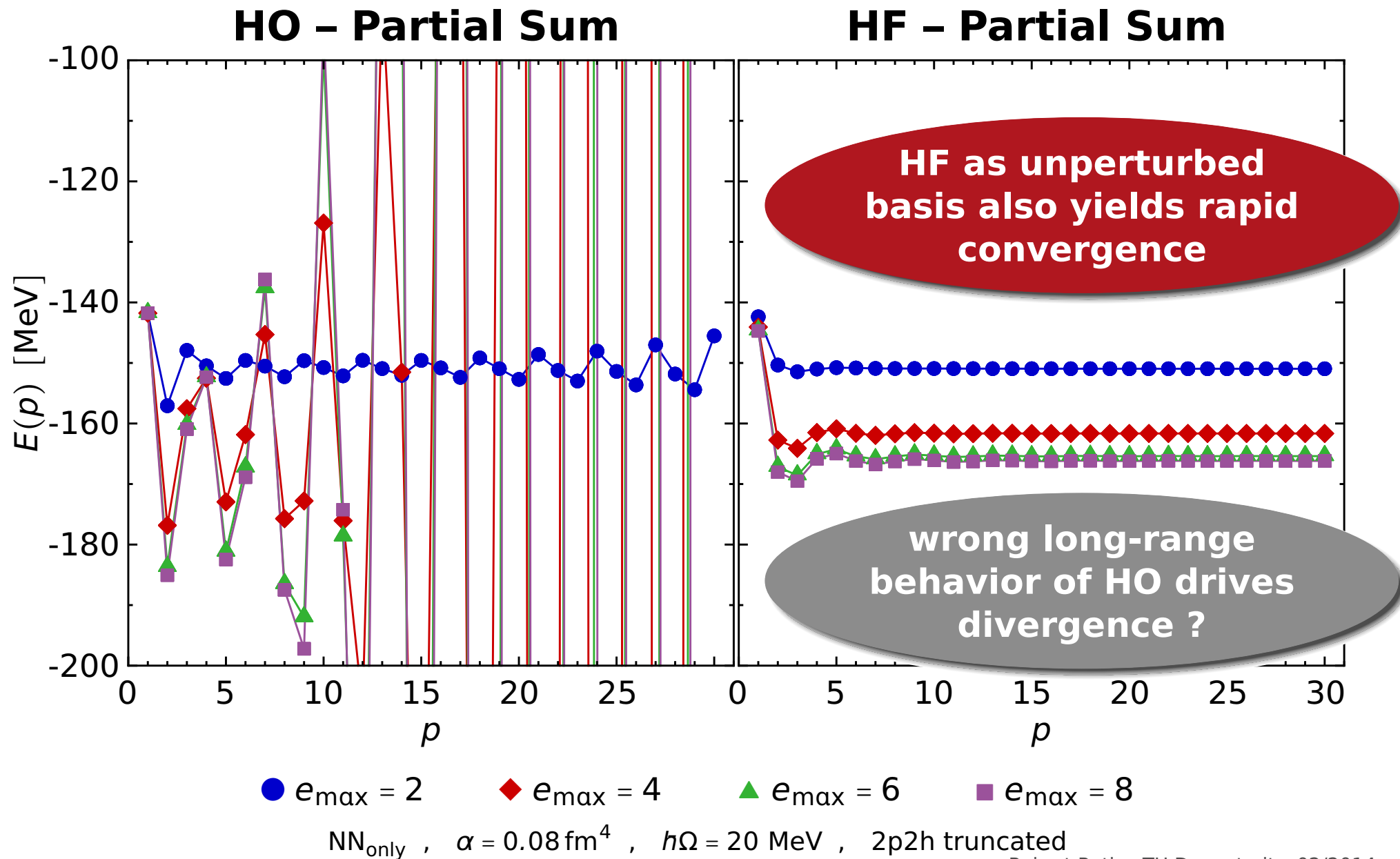
●  $e_{\max} = 2$     ◆  $e_{\max} = 4$     ▲  $e_{\max} = 6$     ■  $e_{\max} = 8$

NN<sub>only</sub> ,  $\alpha = 0.08 \text{ fm}^4$  ,  $\hbar\Omega = 20 \text{ MeV}$  , 2p2h truncated

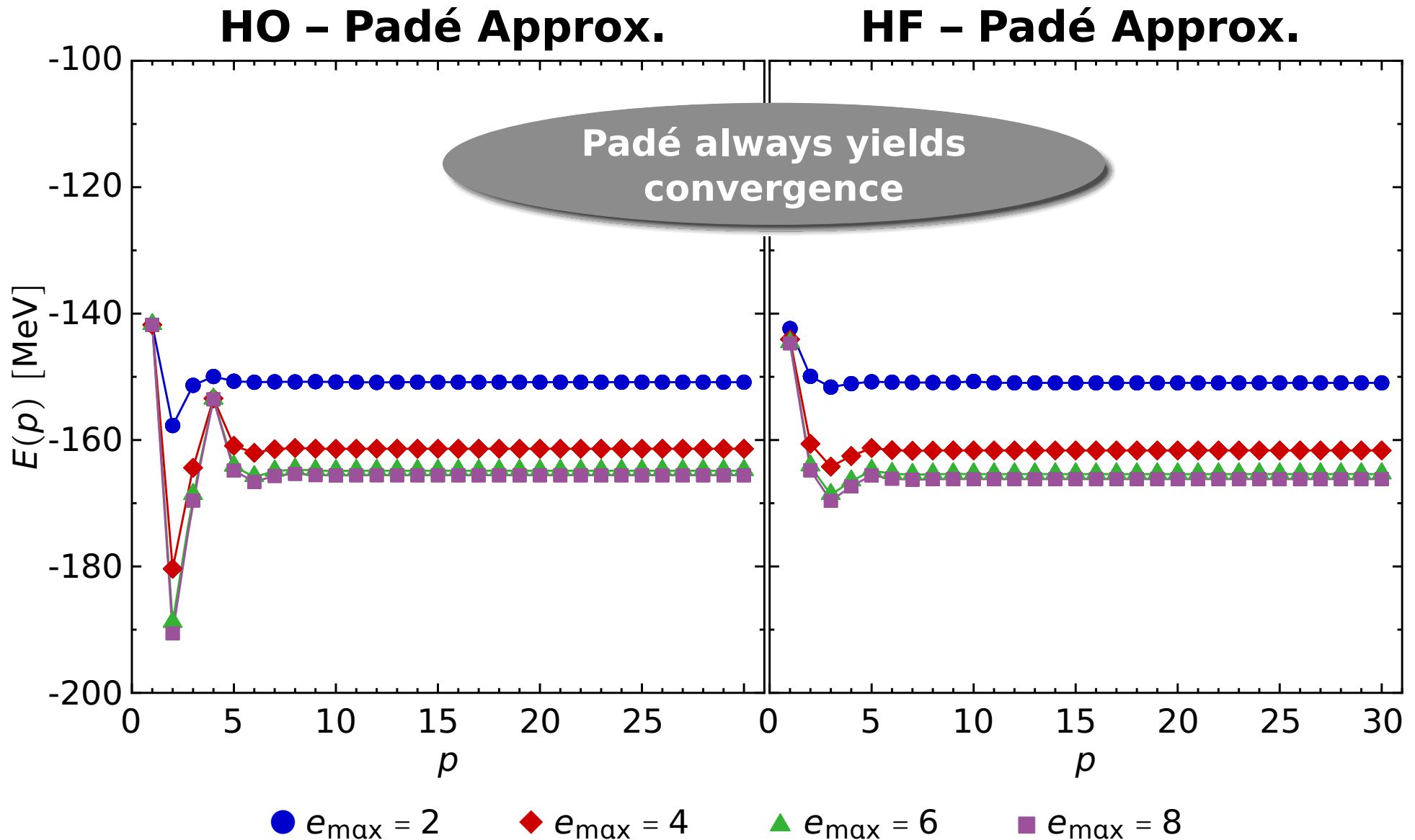
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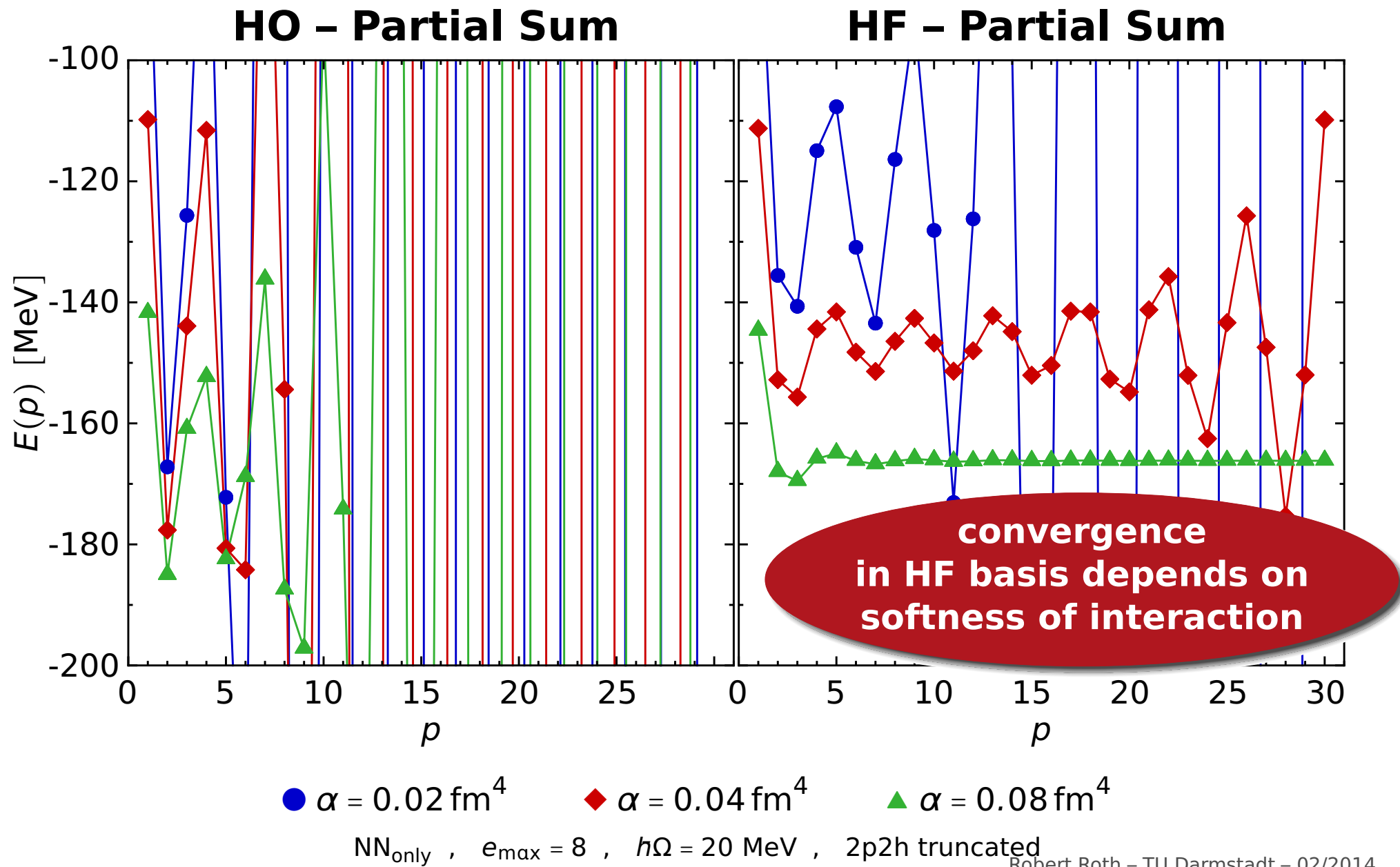


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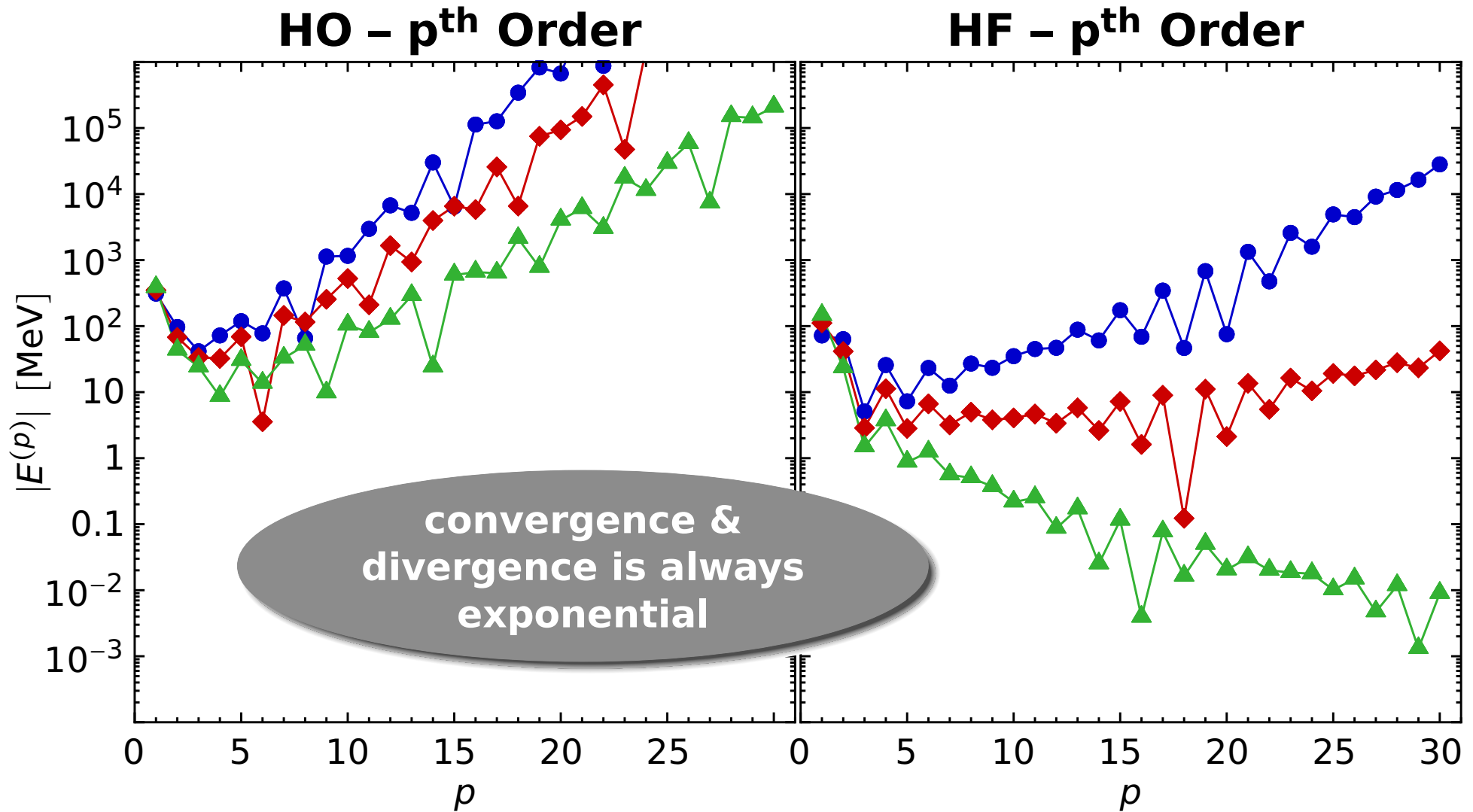


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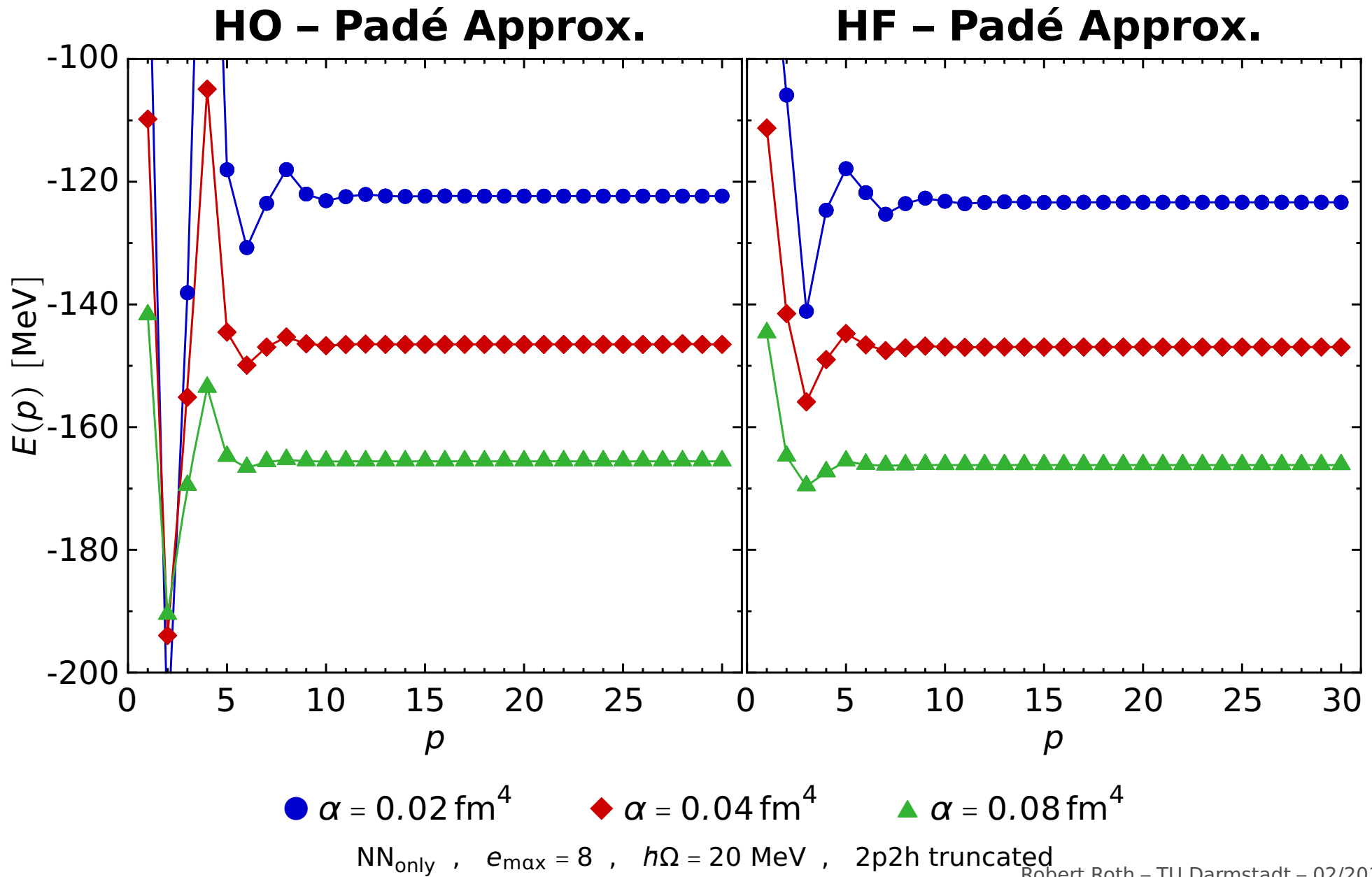


●  $\alpha = 0.02 \text{ fm}^4$       ◆  $\alpha = 0.04 \text{ fm}^4$       ▲  $\alpha = 0.08 \text{ fm}^4$

$\text{NN}_{\text{only}}$  ,  $e_{\text{max}} = 8$  ,  $\hbar\Omega = 20 \text{ MeV}$  , 2p2h truncated



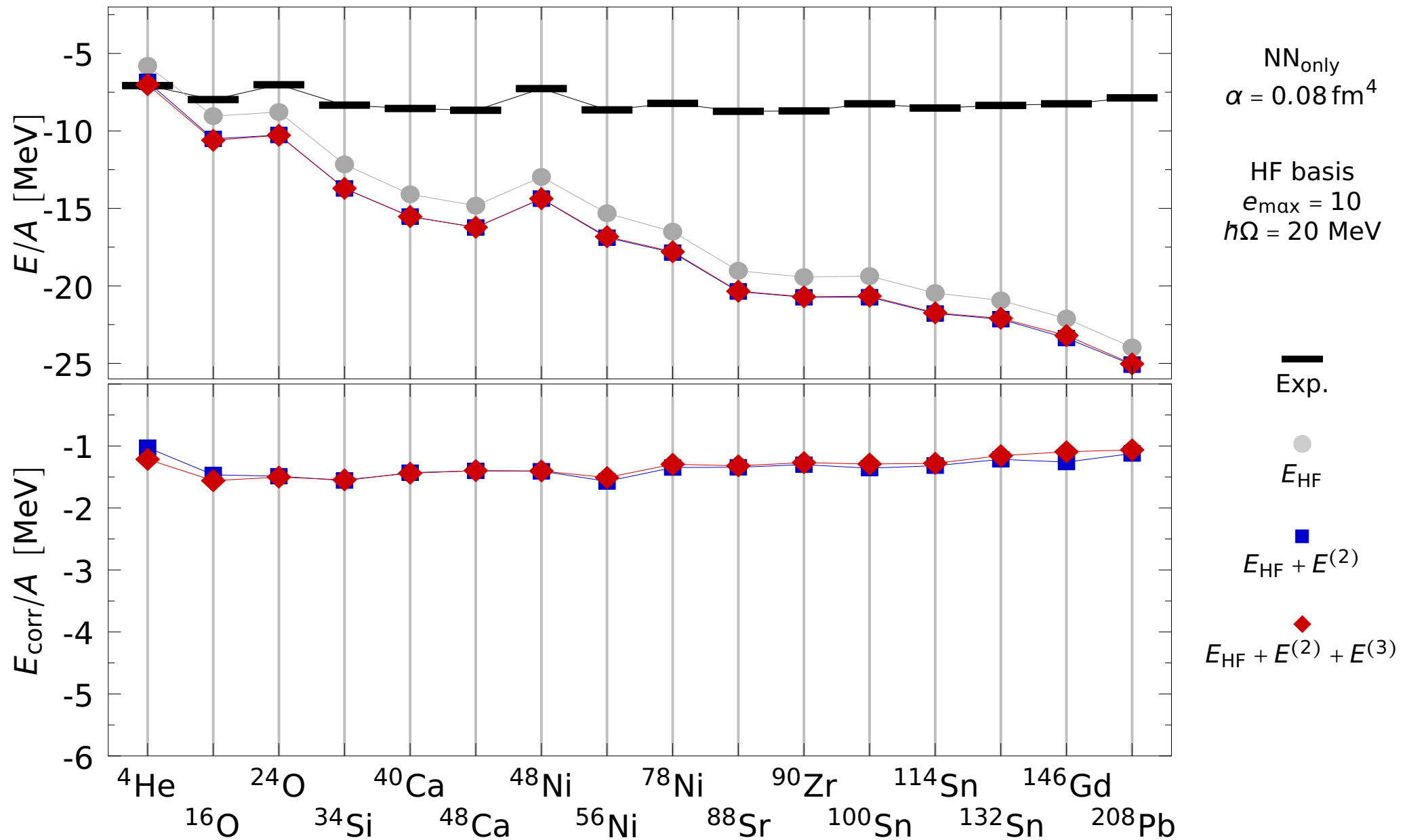
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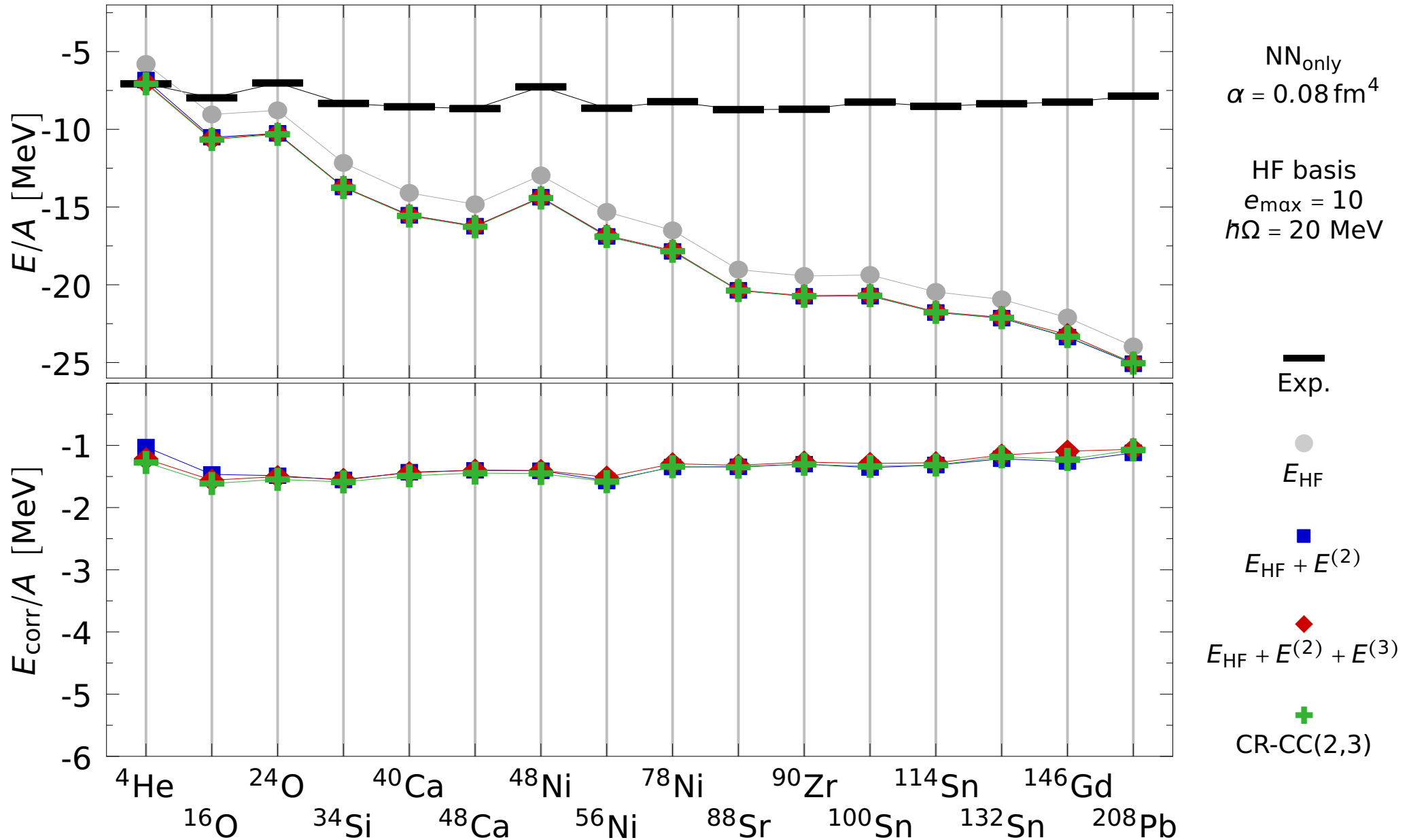
# MBPT Convergence Heuristics

- in many cases partial sums **do not converge**, but there are systematic exceptions
- factors causing the non-convergence
  - **unperturbed basis** (partitioning) is the primary factor
  - **softness of the interaction** is a secondary factor
  - **many-body truncation** also has some influence
- **Padé resummation** robustly provides convergence at intermediate orders and agrees with exact diagonalization
- **can we rely on low-order MBPT ?**

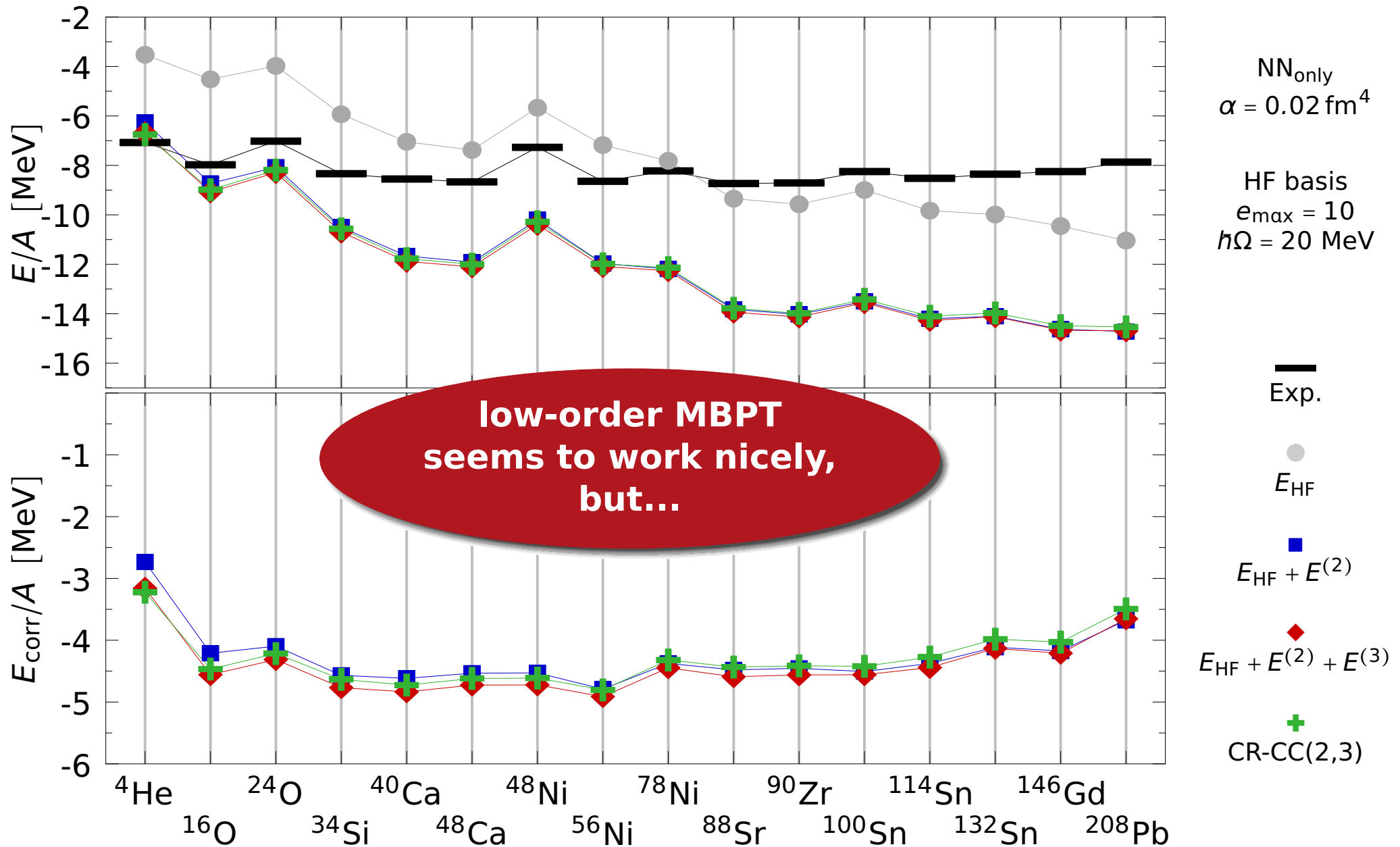
# Low-Order MBPT



# Low-Order MBPT vs. Coupled-Cluster

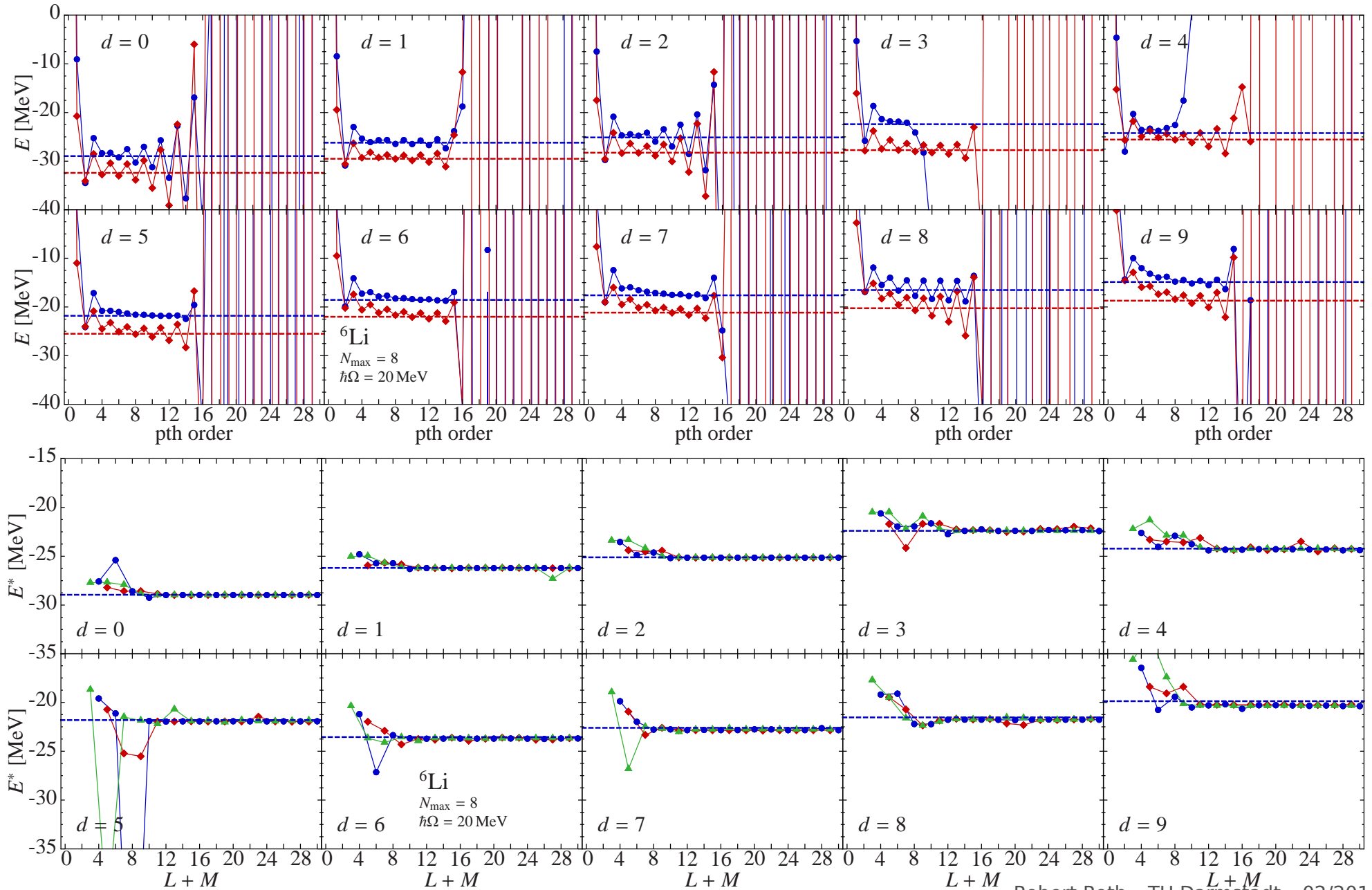


# Low-Order MBPT vs. Coupled-Cluster



# Perspectives: Degenerate MBPT

PRC 86, 054315 (2012), PLB 683, 272 (2010)



# Epilogue

## ■ thanks to my group & my collaborators

- S. Binder, J. Braun, A. Calci, S. Fischer, E. Gebrerufael, H. Spiess, J. Langhammer, S. Schulz, C. Stumpf, A. Tichai, R. Trippel, R. Wirth, K. Vobig  
Institut für Kernphysik, TU Darmstadt
- P. Navrátil  
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Iowa State University, USA
- S. Quaglioni, G. Hupin  
LLNL Livermore, USA
- P. Piecuch  
Michigan State University, USA
- H. Hergert  
Ohio State University, USA
- P. Papakonstantinou  
IBS/RISP, Korea
- C. Forssén  
Chalmers University, Sweden
- H. Feldmeier, T. Neff  
GSI Helmholtzzentrum



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Hessens Zukunft



COMPUTING TIME

