"Progress in Ab Initio Techniques in Nuclear Physics" TRIUMF – February 21-23, 2013

Toward ab-initio predictions for mid-mass open shells



Towards a unified description of nuclei

Open issues @ mid masses are:

→ Need of good nuclear Hamiltonians (3N forces mostly!)

→ Structure calculations are limited to closed-shells or A±1, A±2

 \rightarrow Ab-Initio link between structure and reactions.

(BUT calculations are GOOD!!!)



Green's functions can be naturally extended to:

Scattering observable Open shell nuclei

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Green's functions in many-body theory

One-body Green's function (or propagator) describes the motion of quasiparticles and holes:

$$g_{\alpha\beta}(E) = \sum_{n} \frac{\langle \Psi_{0}^{A} | c_{\alpha} | \Psi_{n}^{A+1} \rangle \langle \Psi_{n}^{A+1} | c_{\beta}^{\dagger} | \Psi_{0}^{A} \rangle}{E - (E_{n}^{A+1} - E_{0}^{A}) + i\eta} + \sum_{k} \frac{\langle \Psi_{0}^{A} | c_{\beta}^{\dagger} | \Psi_{k}^{A-1} \rangle \langle \Psi_{k}^{A-1} | c_{\alpha} | \Psi_{0}^{A} \rangle}{E - (E_{0}^{A} - E_{k}^{A-1}) - i\eta}$$

...this contains all the structure information probed by nucleon transfer (spectral function):



Towards a global description exotic structures...



Calculating the spectral function: FRPA, ADC(3), and the like...



Faddeev-RPA in two words ...



- The Self-energy $\Sigma^{\star}(\omega)$ yields both single-particle states and scattering
- Finite nuclei: \rightarrow require high-performance computing



Faddeev-RPA in two words...

Particle vibration coupling is the main cause driving the distribution of particle strength—a least close to the Fermi surface...





Self-Consistent Green's Function Approach

Why self-consistency ???



- Global picture of nuclear dynamics
- Reciprocal correlations among effective modes
- Guaranties macroscopic conservation laws



Self-Consistent Green's Function Approach



Faddeev-RPA in two words ...



• The Self-energy $\Sigma^{\star}(\omega)$ yields both single-particle states and scattering

Finite nuclei: → require high-performance computing



Dyson equation

* Propagators solves the Dyson equations

$$g_{ab}(\omega) = g_{ab}^{0}(\omega) + \sum_{cd} g_{ac}^{0}(\omega) \Sigma_{cd}(\omega) g_{db}(\omega)$$



* (Hole) single particle spectral function

$$S_{ab}^{h}(\omega) = \frac{1}{\pi} Im g_{ab}(\omega) = \sum_{k} \langle \Psi_{k}^{A-1} | c_{b} | \Psi_{0}^{A} \rangle \langle \Psi_{0}^{A} | c_{a}^{\dagger} | \Psi_{k}^{A-1} \rangle \, \delta(\omega - (E_{0}^{A} - E_{k}^{A-1}))$$

* Koltun sum rule (for 2N interactions):

$$\frac{1}{2}\sum_{ab}\int_{-\infty}^{E_F} (t_{ab} + \delta_{ab}\omega)S^h_{ab}(\omega) \ d\omega = \langle T \rangle + \langle V^{NN} \rangle$$





→Self-consistent FRPA compares well with benchmark calculations on ⁴He



Accuracy of FRPA - simple atoms/molecules

binding, eq. bond distances, \rightarrow ionization energies (molecules)

98-99% of correlation energy is recovered

[M. Degroote, D. van Neck, C. B. Phys. Rev. A 83, 042517 (2011); 85, 012501 (2012)]

		FTDAc	FRPAc	CCSD(T)	FCI	Expt.
H_2						
	E_0	-1.161	-1.161	-1.164	-1.164	-1.175
	r_{H-H}	0.757	0.757	0.761		0.741
	I	16.03	16.03	16.12		16.08
BeH_2						
	E_0	-15.831	-15.832	-15.835	-15.836	-
	r_{Be-H}	1.337	1.337	1.339		1.340
	I	11.78	11.76	11.89		-
HCl						
	E_0	-460.256	-460.255	-460.254		-
	r_{H-Cl}	1.297	1.293	1.290		1.275
	I	12.24	12.24	12.26		-
$_{\mathrm{HF}}$						
	E_0	-100.224	-100.228	-100.228	-100.231	-
	r_{H-F}	0.916	0.913	0.920		0.917
	I	15.70	15.54	15.42		16.12
H_2O						
	E_0	-76.240	-76.236	-76.241		-
	r_{H-O}	0.964	0.962	0.967		0.958
	Λ_{O-H-O}	102	102	102		104
	<u> </u>	12.15	12.21	11.94		12.61

 \leftarrow ionization

energies (atoms)

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			Second			Experiment
		Hartree-Fock	order	FTDA	FRPA	[63,64]
Не	1 <i>s</i>	0.918(+14)	0.9012(-2.5)	0.9025(-1.2)	0.9008(-2.9)	0.9037
Be ²⁺	1s	5.6672(+116)	5.6542(-1.4)	5.6554(-0.2)	5.6551(-0.5)	5.6556
Be	2s	0.3093(-34)	0.3187(-23.9)	0.3237(-18.9)	0.3224(-20.2)	0.3426
	1s	4.733(+200)	4.5892(+56)	4.5439(+11)	4.5405(+8)	4.533
Ne	2p	0.852(+57)	0.752(-41)	0.8101(+17)	0.8037(+11)	0.793
	2s	1.931(+149)	1.750(-39)	1.8057(+24)	1.7967(+15)	1.782
Mg^{2+}	2p	3.0068(+56.9)	2.9217(-28.2)	2.9572(+7.3)	2.9537(+3.8)	2.9499
C .	2s	4.4827	4.3283	4.3632	4.3589	
Mg	3 <i>s</i>	0.253(-28)	0.267(-14)	0.272(-9)	0.280(-1)	0.281
	2p	2.282(+162)	2.117(-3)	2.141(+21)	2.137(+17)	2.12
Ar	3p	0.591(+12)	0.563(-16)	0.581(+2)	$0.579 (\approx 0)$	0.579
	35	1.277(+202)	1.111(+36)	1.087(+12)	1.065(-10)	1.075
	3 <i>s</i>		1.840	1.578	1.544	
$\sigma_{\rm rms}$ [mH]		81.4	29.3	13.7	10.6	

Three-nucleon interactions

- → Added to self-energy as an effective 1N plus 2N force.
- \rightarrow Correction to Koltun SR

A. Cipollone, P. Navratil, CB arXiv:1211.3315 [nucl-th]



Modern realistic nuclear forces



Dyson equation

* Propagators solves the Dyson equations

$$g_{ab}(\omega) = g_{ab}^{0}(\omega) + \sum_{cd} g_{ac}^{0}(\omega) \Sigma_{cd}(\omega) g_{db}(\omega)$$



* (Hole) single particle spectral function

$$S_{ab}^{h}(\omega) = \frac{1}{\pi} Im g_{ab}(\omega) = \sum_{k} \langle \Psi_{k}^{A-1} | c_{b} | \Psi_{0}^{A} \rangle \langle \Psi_{0}^{A} | c_{a}^{\dagger} | \Psi_{k}^{A-1} \rangle \, \delta(\omega - (E_{0}^{A} - E_{k}^{A-1}))$$

* Koltun sum rule (for 2N interactions):

$$\frac{1}{2}\sum_{ab}\int_{-\infty}^{E_F} (t_{ab} + \delta_{ab}\omega)S^h_{ab}(\omega) \ d\omega = \langle T \rangle + \langle V^{NN} \rangle$$



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* Koltun sum rule (with NNN interactions):





Correction to external 1-Body interaction



Correction to <u>non-contracted</u> 2-Body interaction



pure 3-Body contribution

- Contractions are with <u>fully correlated density</u> <u>matrices</u> (BEYOND a normal ordering...)





(ω Correction to Correction to external pure 3-body non-contracted 1-Body interaction contribution (small) 2-Body interaction 2-body only 0-bodv 3NF $|\Delta E / E_{CCSD}|$ -body 3NF - Contractions are with *fully correlated density* 2-body 3NF <u>matrices</u> (BEYOND a normal ordering...) 10 [PhyRevC 76, 034302 (2007)] residual 3NF 10 (1)(2)(3)(4)(5)











Correction to external 1-Body interaction



Correction to <u>non-contracted</u> 2-Body interaction



BEWARE that defining:



would *double count* the 1-body term.





→ Define new 1- and 2-body interactions and use <u>only</u> interaction-irreducible diagrams



 Contractions are with <u>fully correlated density matrices</u> (BEYOND a normal ordering...)







FIG. 1. (Color online) Diagrammatic equations for the polar izati [pp/hh]nd_the two-particle (bottom) propagators in the nucleon-nucleon interaction, Eq. (8). The solid lines of the solid lin independent-particle \tilde{f} model propagator $g^{IPM}(\omega)$, which is employed instead of the fully dressed one. See the text for details

FIG. 1, (Color online) Diagrammatic equations for the polar where Gop (whis the 2014 propagetor for three agaely propa Retingolinesch These components are solutions of the following ngt lob Faddreon equations [62], (8). The solid lines represent the



teraction vertices $\mathbf{0}^{(\omega)}(\omega)$ contain the couplings of a particle hole (ph), see Eq. (9), or two-particle/two-hole (pp/hh), see Eq. (10), collective $\bar{R}_{excitation}^{(k)}$ so and $G_{excitation}^{(k)}$ receive propagating line The propagator $R(\omega)$ which we employ in Eq. (3) is finally obtained by $-G_{\nu''\mu''\lambda'',\mu\nu\lambda}(\omega)$, i = 1, 2, 3, (3) is finally (12) obtained by

where (i, j, k) are cyclic perputations of (1, 2, 3). The interaction wettices (10)(15) 'contain' the "couplings" of avparticle hole (ph), see Eq. (9), or two-particle/two-hole (pp/hh), see Eq. (10), collective excitations and a freely propagating line The propagator $R(\omega)$ which we employ in Eq. (2) is finally obtained by band-gap blem in diamond fixstals bookhing the applying state we during the theory to nuclear structures the synteen attom of by CHORS PLEASE STOPPIDE A CLOSE AND NATED (ph) equirentent becomes nip files if-Co (**ph)** `₽₽√ any application perturbation theory and file techniquest, However, the self-consistent epotencie, requir (ph) siparticle energies () (pp/hb) pgt ow ft fartice Pock field in the poles and Albsiten alstarting prons for all timboling By cherry alwaren at the fir ((ph) um. This is a very poor s' (ph) int f

(nh)

NNN forces in FRPA/FTDA formalism

A. Cipollone, CB, P. Navratil

Koltun sum rule requires a correction on the 3-bodypotential <W> :



Oxygen isotopes with evolved chiral 3NF

A. Cipollone, CB, P. Navratil



CB, A. Cipollone, et al., arXiv:1211.3315 [nucl-th]

N3LO (Λ = 500Mev/c) chiral NN interaction evolved to 2N + 3N forces (1.8fm⁻¹) N2LO (Λ = 400Mev/c) chiral 3N interaction evolved (1.8fm⁻¹)



Oxygen isotopes with evolved chiral 3NF

A. Cipollone, CB, P. Navratil



N3LO (Λ = 500Mev/c) chiral NN interaction evolved to 2N + 3N forces N2LO (Λ = 400Mev/c) chiral 3N interaction evolved





rs in

TABLE 1. Predicted matter radii (in fm) for ¹⁶O form SRG evolved 2Nonly interactions and by including induced and full 3NF. Experiment are charge radii.

	~ /	F
D: 2.10 2.41	2.38	2.718±0.210 [19]





F. Flavingny et al, CB, Phys. Rev. Lett. (2013)--in print

N3LO (Λ = 500Mev/c) chiral NN interaction evolved to 2N N2LO (Λ = 400Mev/c) chiral 3N interaction evolved



Approaching open-shells in the mid-mass region:

Gorkov theory proof-of-principle results at 2nd order

V. Somà, T. Duguet, CB, Phys. Rev. C84, 046317 (2011) arXiv:1208.2472 [nucl-th]



Applications to doubly-magic nuclei



** Successful in medium-mass doubly-magic systems



Applications to doubly-magic nuclei



** Successful in medium-mass doubly-magic systems

Expansion breaks down when pairing instabilities appear

Explicit configuration mixing

Single-reference: Bogoliubov (Gorkov)

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Going to open-shells: Gorkov ansatz

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011)]

* Ansatz $(\ldots \approx E_0^{N+2} - E_0^N \approx E_0^N - E_0^{N-2} \approx \ldots \approx 2\mu)$

st Auxiliary many-body state $|\Psi_0
angle\equiv\sum_N^{
m even}c_N\,|\psi_0^N
angle$

Mixes various particle numbers

 $\longrightarrow \text{ Introduce a "grand-canonical" potential } \Omega = H - \mu N$ $\implies |\Psi_0\rangle \quad \text{minimizes } \Omega_0 = \langle \Psi_0 | \Omega | \Psi_0 \rangle$

under the constraint $N = \langle \Psi_0 | N | \Psi_0 \rangle$

$$\implies \quad \Omega_0 = \sum_{N'} |c_{N'}|^2 \Omega_0^{N'} \approx E_0^N - \mu N$$



Gorkov Green's functions and equations

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011)]

** Set of 4 Green's functions

$$\begin{split} i G_{ab}^{11}(t,t') &\equiv \langle \Psi_0 | T \left\{ a_a(t) a_b^{\dagger}(t') \right\} | \Psi_0 \rangle &\equiv \left. \begin{array}{c} a \\ b \\ b \\ \end{array} \right\} \\ i G_{ab}^{21}(t,t') &\equiv \langle \Psi_0 | T \left\{ \bar{a}_a^{\dagger}(t) a_b^{\dagger}(t') \right\} | \Psi_0 \rangle &\equiv \left. \begin{array}{c} \bar{a} \\ b \\ b \\ \end{array} \right\} \\ i G_{ab}^{12}(t,t') &\equiv \langle \Psi_0 | T \left\{ \bar{a}_a^{\dagger}(t) \bar{a}_b(t') \right\} | \Psi_0 \rangle &\equiv \left. \begin{array}{c} \bar{a} \\ \bar{b} \\ \bar{b} \\ \end{array} \\ i G_{ab}^{22}(t,t') &\equiv \langle \Psi_0 | T \left\{ \bar{a}_a^{\dagger}(t) \bar{a}_b(t') \right\} | \Psi_0 \rangle &\equiv \left. \begin{array}{c} \bar{a} \\ \bar{b} \\ \bar{b} \end{array} \right\} \\ \end{split}$$

[Gorkov 1958]

$$\boldsymbol{\Sigma}_{ab}^{\star}(\omega) \equiv \begin{pmatrix} \Sigma_{ab}^{\star 11}(\omega) & \Sigma_{ab}^{\star 12}(\omega) \\ \\ \Sigma_{ab}^{\star 21}(\omega) & \Sigma_{ab}^{\star 22}(\omega) \end{pmatrix}$$

$$\mathbf{\Sigma}^{\star}_{ab}(\omega) \equiv \mathbf{\Sigma}_{ab}(\omega) - \mathbf{U}_{ab}$$



Gorkov equations

 $\mathbf{G}_{ab}(\omega) = \mathbf{G}_{ab}^{(0)}(\omega) + \sum_{cd} \mathbf{G}_{ac}^{(0)}(\omega) \, \boldsymbol{\Sigma}_{cd}^{\star}(\omega) \, \mathbf{G}_{db}(\omega)$

1st & 2nd order diagrams

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011)]





Gorkov equations

eigenvalue problem

$$\sum_{b} \left(\begin{array}{c} t_{ab} - \mu_{ab} + \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & -t_{ab} + \mu_{ab} + \Sigma_{ab}^{22}(\omega) \end{array} \right) \bigg|_{\omega_{k}} \left(\begin{array}{c} \mathcal{U}_{b}^{k} \\ \mathcal{V}_{b}^{k} \end{array} \right) = \omega_{k} \left(\begin{array}{c} \mathcal{U}_{a}^{k} \\ \mathcal{V}_{a}^{k} \end{array} \right)$$

 $\mathcal{U}_{a}^{k*} \equiv \langle \Psi_{k} | \bar{a}_{a}^{\dagger} | \Psi_{0}
angle$ $\mathcal{V}_{a}^{k*} \equiv \langle \Psi_{k} | a_{a} | \Psi_{0}
angle$





V. SOMÀ, T. DUGUET, AND C. BARBIERI

where the block-diagonal anomalous density matrix is introduced th

derives

 $\hat{\rho}_{n_{a}n_{b}}^{[\alpha]} = \sum U_{n_{b}[\alpha]}^{n_{b}} V_{n_{a}[\alpha]}^{n_{b}}$

(C33)

064317-23

PHYSICAL REVIEW C 84, 064317 (2011)

Gorkov equations

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011)]

$$\sum_{b} \begin{pmatrix} t_{ab} - \mu_{ab} + \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & -t_{ab} + \mu_{ab} + \Sigma_{ab}^{22}(\omega) \end{pmatrix} \Big|_{\omega_{k}} \begin{pmatrix} \mathcal{U}_{b}^{k} \\ \mathcal{V}_{b}^{k} \end{pmatrix} = \omega_{k} \begin{pmatrix} \mathcal{U}_{a}^{k} \\ \mathcal{V}_{a}^{k} \end{pmatrix}$$



$$egin{pmatrix} T-\mu+\Lambda & ilde{h} & \mathcal{C} & -\mathcal{D}^{\dagger} \ ilde{h}^{\dagger} & -T+\mu-\Lambda & -\mathcal{D}^{\dagger} & \mathcal{C} \ \mathcal{C}^{\dagger} & -\mathcal{D} & E & 0 \ -\mathcal{D} & \mathcal{C}^{\dagger} & 0 & -E \ \end{pmatrix} egin{pmatrix} \mathcal{U}^k \ \mathcal{V}^k \ \mathcal{W}_k \ \mathcal{Z}_k \end{pmatrix} = \omega_k egin{pmatrix} \mathcal{U}^k \ \mathcal{V}^k \ \mathcal{W}_k \ \mathcal{Z}_k \end{pmatrix}$$

Energy *independent* eigenvalue problem

with the normalization condition

$$\sum_{a} \left[\left| \mathcal{U}_{a}^{k} \right|^{2} + \left| \mathcal{V}_{a}^{k} \right|^{2} \right] + \sum_{k_{1}k_{2}k_{3}} \left[\left| \mathcal{W}_{k}^{k_{1}k_{2}k_{3}} \right|^{2} + \left| \mathcal{Z}_{k}^{k_{1}k_{2}k_{3}} \right|^{2} \right] = 1$$



Lanczos reduction of self-energy

HFE

$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & \mathcal{C} & -\mathcal{D}^{\dagger} \\ \tilde{h}^{\dagger} & -T + \mu - \Lambda & -\mathcal{D}^{\dagger} & \mathcal{C} \\ \mathcal{C}^{\dagger} & -\mathcal{D} & E & 0 \\ -\mathcal{D} & \mathcal{C}^{\dagger} & 0 & -E \end{pmatrix} \begin{pmatrix} \mathcal{U}^{k} \\ \mathcal{V}^{k} \\ \mathcal{W}_{k} \\ \mathcal{Z}_{k} \end{pmatrix} = \omega_{k} \begin{pmatrix} \mathcal{U}^{k} \\ \mathcal{V}^{k} \\ \mathcal{W}_{k} \\ \mathcal{Z}_{k} \end{pmatrix}$$

- Conserves moments of spectral functions

➡ Equivalent to exact diagonalization for N_L → dim(E)









Application of Lanczos (example)

of poles of the self-energy (== optical potential) are reduced without altering spectroscopic strength.



 \rightarrow Ground state energies converge with \geq 200Lanczos vectors (10 osc. shells).

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* Systematic along isotopic/isotonic chains has become available



- Accuracy is good (close to CCSD and FRPA) and improvable
- → Of course, need proper interactions and (at least) NNN forces...



- → Overbinding with A: traces need for (at least) NNN forces
- → Effect of self-consistency is relevant; i.e. less bound than MBPT2

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Spectral distribution



Spectral distribution

Somà, CB, Duguet, arXiv:1208.2472



→ Gorkov-GF at 2nd order [or ADC(2)]



Evolved chiral 3NF and the Ca isotopes

A. Cipollone, CB, V.Somà, P. Navratil



TABLE 1. Predicted matter radii (in fm) for ¹⁶O and ⁴⁴Ca form SRG evolved 2Nonly interactions and by including induced and full 3NF. Experiment are charge radii.

CB et al., arXiv:1211.3315 [nucl-th]

	2NF only	2+3NF(ind.)	2+3NF(full)	Experiment
¹⁶ O:	2.10	2.41	2.38	2.718±0.210 [19]
⁴⁴ Ca:	2.48	2.93	2.94	3.520±0.005 [20]

N3LO (Λ = 500Mev/c) chiral NN interaction evolved to 2N + 3N forces (2.0fm⁻¹) N2LO (Λ = 400Mev/c) chiral 3N interaction evolved (2.0fm⁻¹)



















V. Somà

A. Cipollone, A. Rios

T. Duguet

P. Navratil

A. Polls, A. Carbone

W.H. Dickhoff, S. Waldecker

D. Van Neck, M. Degroote

M. Hjorth-Jensen



Conclusions

• Self-Consistent Green's Functions (SCGF), is a microscopic *ab-initio* method applicable to medium mass nuclei. *Greatest advantage* is the link topseveral (experimentally accessible) information.

• Proof of principle calculations Gorgov theory are <u>successful</u> at 2^{nd} order. This ade facto show that the approach is viable and opens a whole new path:

→Open-shell nuclei (<u>many</u>, <u>not</u> previously approachable <u>other</u>wise!).

 \rightarrow Reactions at driplines.

 \rightarrow structure of next generation EDF.

• Addition of three nucleon forces (3NF) are feasible and <u>underway.</u>

→This implies a step up in the accuracy of "ab-initio" calculations.



E_k[±] [MeV]

-10

-20

-30

-40

 $1/2^{-1}$

5/2

3/2

0

-10

-20

-30

-40

-50

0

-10

-20

-30

40

⁷⁴Ni