

New Neutrino Physics in Astrophysics and Cosmology

Neutrinos and New Physics

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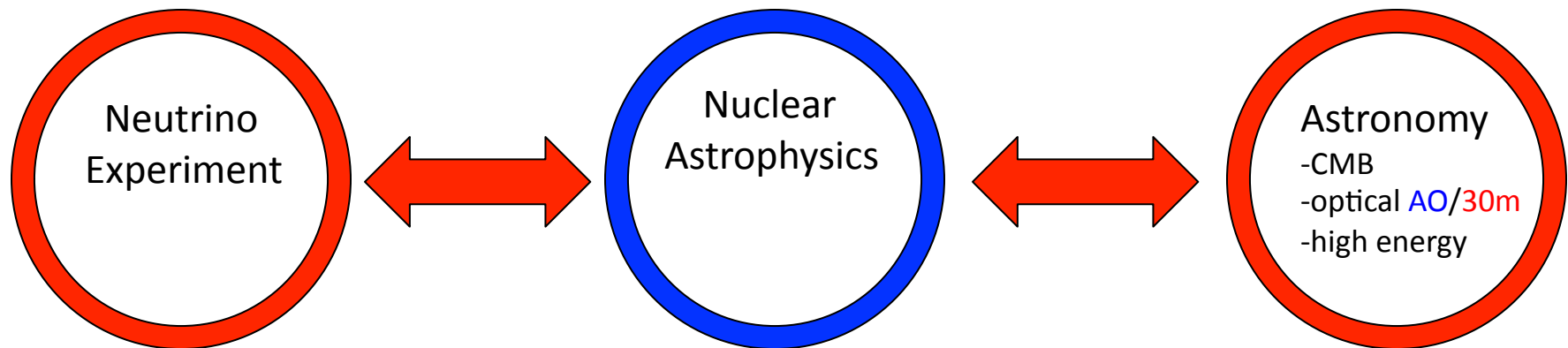
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This is the golden age for both Neutrino Physics *and* Observational Astronomy

discoveries have been coming fast and thick
and, for neutrinos, this is all ***Beyond Standard Model*** physics

Nuclear Astrophysics is right in the middle of all this



VERY EXCITING future . . . because the advent of . . .

- (1) comprehensive cosmic microwave background (CMB) observations
(e.g., high precision baryon number and cosmological parameter measurements, N_{eff} , ${}^4\text{He}$, ν mass limits)
- (2) 10-meter class, adaptive optics, and orbiting observatories
(e.g., precision determinations of deuterium abundance, dark energy/matter content, structure history etc.)
- (3) Laboratory neutrino mass/mixing measurements

is setting up a nearly over-determined situation where **new**
Beyond Standard Model **neutrino physics**
likely *must* show itself!

The Weak Interaction

- uniquely capable of changing **neutrons** to **protons** and *vice versa*
- it is *weak*, so neutrinos can transport energy/entropy from/to dense environments over macroscopic distances (stars, SN)
- neutrinos “**remember**” the neutron-to-proton ratio of the region where they decoupled, and can transmit this to overlying environments (BBN, SN, compact object mergers)

Early Universe vs. Supernovae/Mergers as labs for new physics

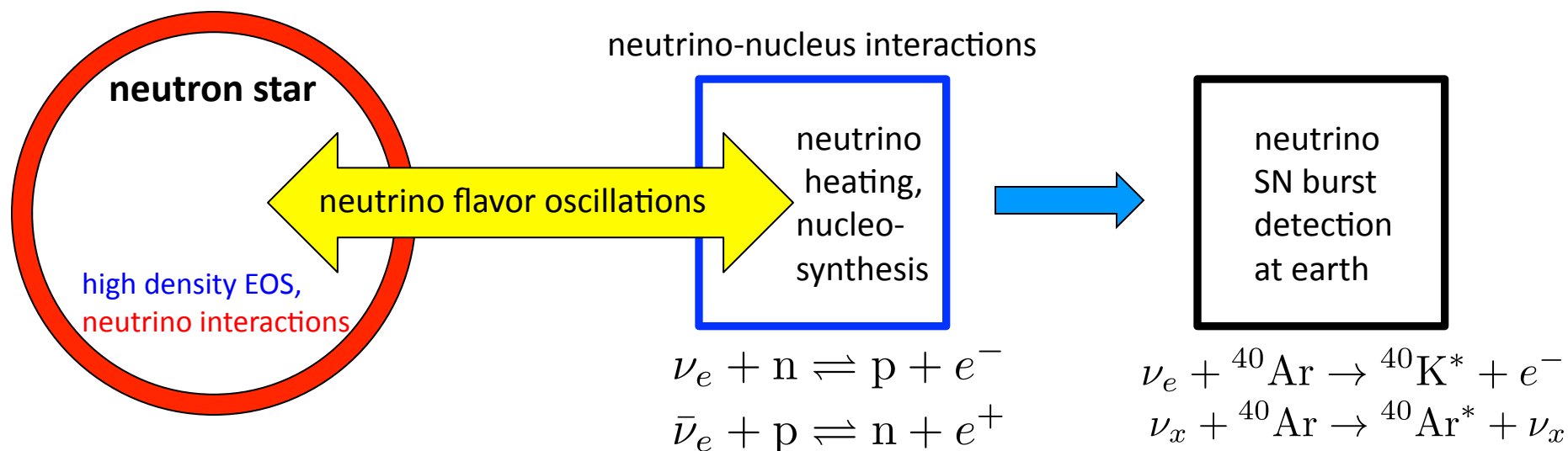
Core collapse supernovae and *compact object mergers* are fantastic engines for generating neutrinos
(~ 10% of the rest mass into neutrinos)

- neutrino fields are **anisotropic**; complex thermal structure

Early universe and *BBN*: likewise, significant fraction of energy in neutrinos, but . . .

-**isotropic**, simple geometry, high entropy, no heat flow

Calculating neutrino flavor transformation in the core collapse supernova environment is a vexing problem, but one whose solution may lie at the heart of many aspects of the nuclear physics of stellar collapse.



We need the fluxes and energy spectra of each flavor/type of neutrino at all epochs and at all radii.

Calculating neutrino flavor evolution
is *not* an optional exercise.

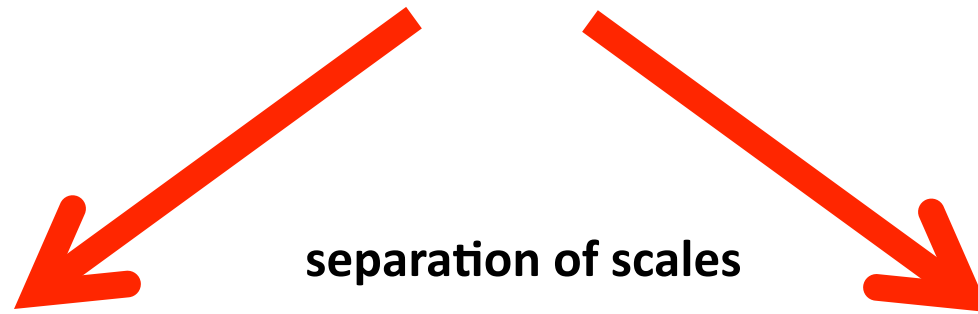
- *measured* neutrino flavor mixing parameters
- neutrinos carry most of the energy/entropy
and the way this is transported, deposited, and
(may be) detected is *flavor-dependent*

Neutrino Flavor Oscillations in Medium

Quantum Kinetic Equations

$$ip_{\mu}\partial^{\mu} f(x, \vec{p}) - [m^2, f(x, \vec{p})] - p_{\mu}[\Sigma_V^{\mu}(x), f(x, \vec{p})] = I_{\text{col}}(f, \bar{f})$$

$$ip_{\mu}\partial^{\mu} \bar{f}(x, \vec{p}) - [m^2, \bar{f}(x, \vec{p})] - p_{\mu}[\Sigma_V^{\mu}(x), \bar{f}(x, \vec{p})] = \bar{I}_{\text{col}}(f, \bar{f})$$



separation of scales

Schroedinger-like

@ low density where
neutrinos propagate coherently

is it justified

?

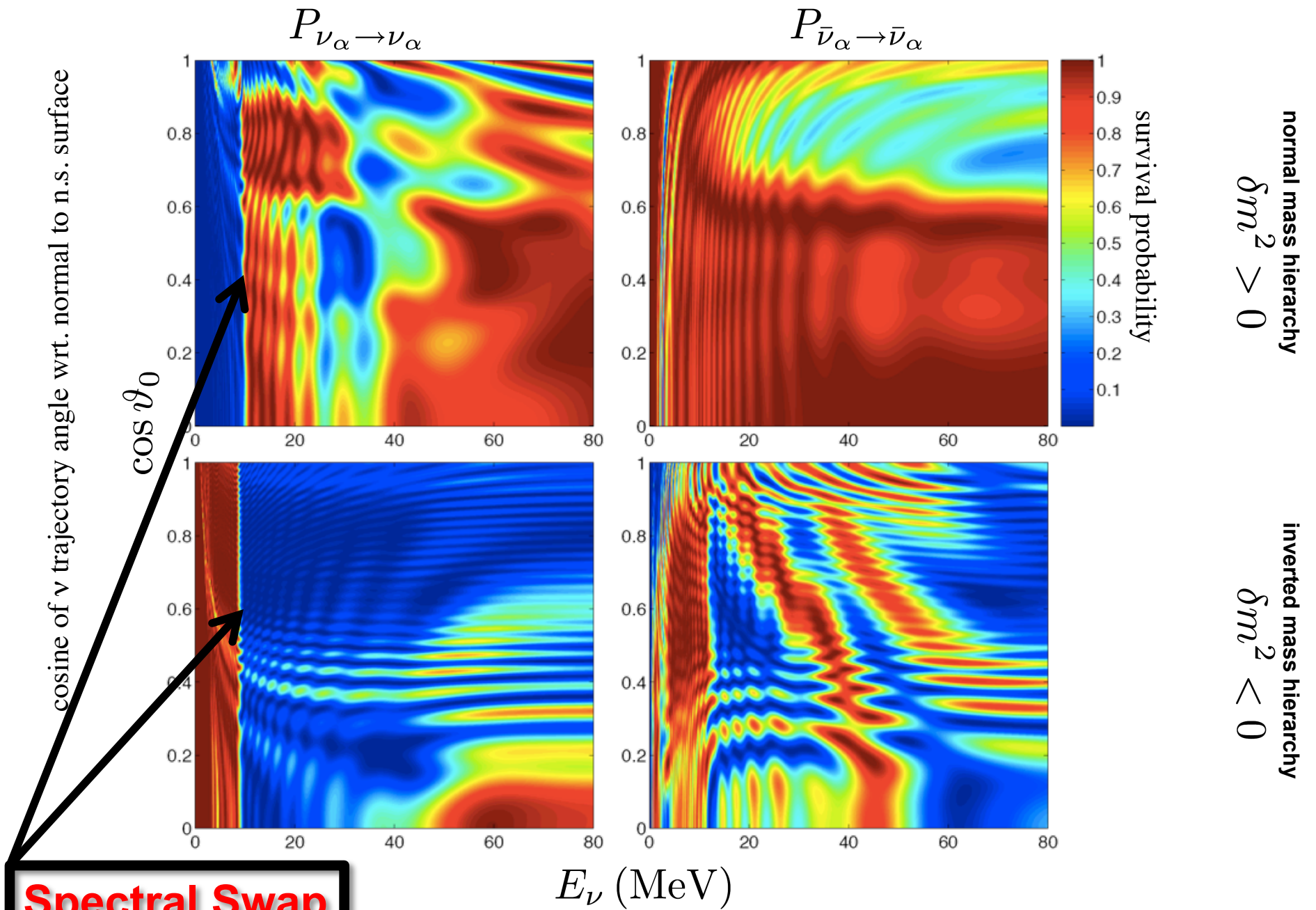
Boltzmann equation

@ high density where
inelastic scattering dominates

The advent of supercomputers has allowed us in the last few years to follow neutrino flavor transformation in core collapse supernovae, including the first self-consistent treatment of **nonlinearity** stemming from neutrino-neutrino forward scattering.

The results are startling. Despite the small measured neutrino mass-squared differences, **collective** neutrino flavor transformation can take place deep in the supernova envelope

Pushing the frontier of high performance computing with a unique new kind of transport problem

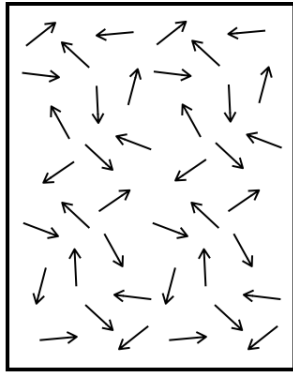


consequences of neutrino mass and quantum coherence in supernovae

H. Duan, G. M. Fuller, J. Carlson, Y.-Z. Qian, Phys. Rev. Lett. **97**, 241101 (2006) astro-ph/0606616

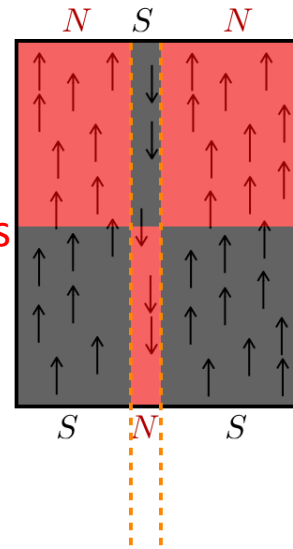
Magnetic Analogy

$$T > T_C$$



Cooling causes the magnetic spins to line up in domains in space.

$$T < T_C$$



“Cooling” (moving away from the neutron star) causes the neutrino spins to line up in domains in energy space.

Baha Balantekin & Shashank Shalgar

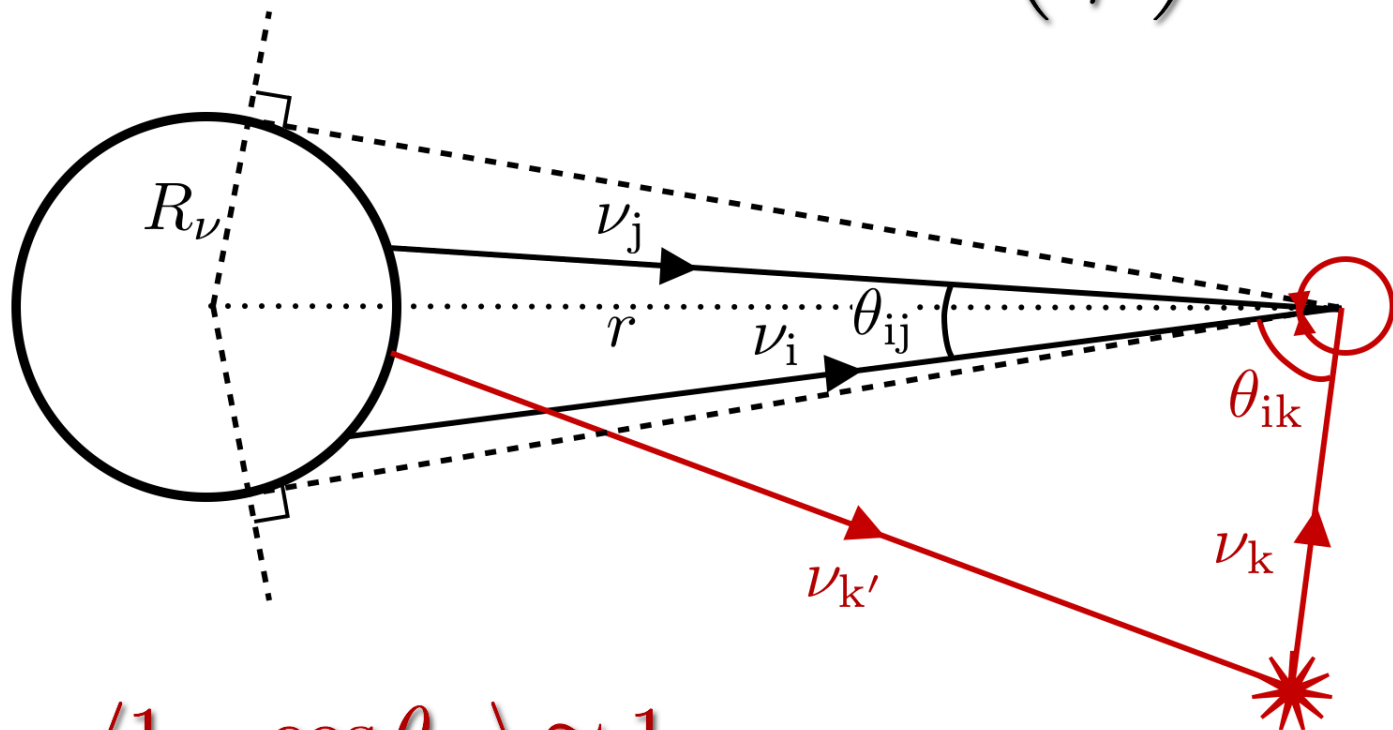
will talk more about collective neutrino oscillations . . .

Toward Quantum Kinetics

i.e., What effect does direction-changing scattering have on the neutrino flavor transformation?

The Neutrino Halo

$$r \gg R_\nu \Rightarrow \langle 1 - \cos \theta_{ij} \rangle \propto \left(\frac{R_\nu}{r} \right)^2$$

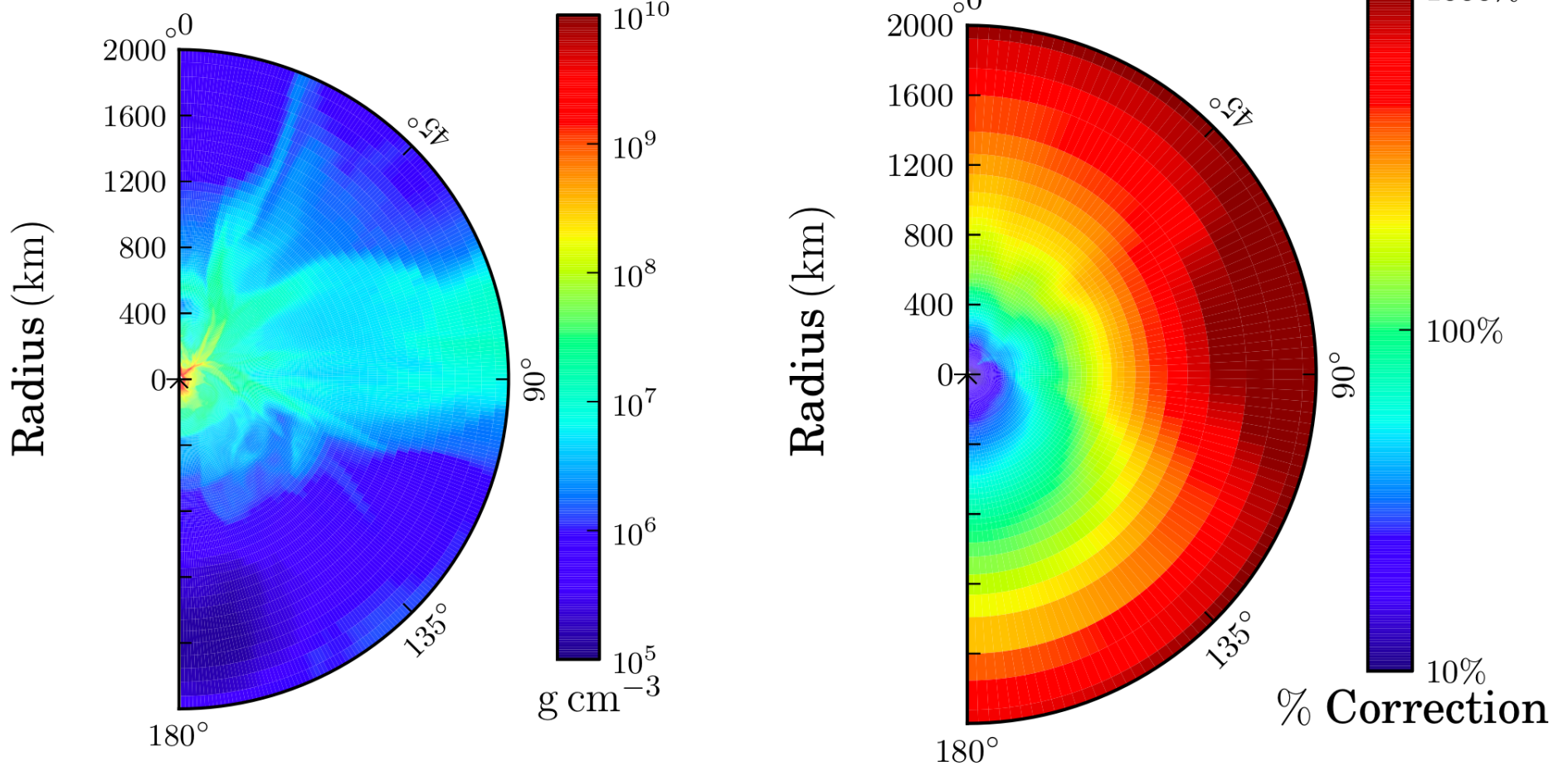


$$\langle 1 - \cos \theta_{ik} \rangle \approx 1$$

$\sim 10^{-3}$ of all ν 's

How large is the Halo effect for free nucleons?

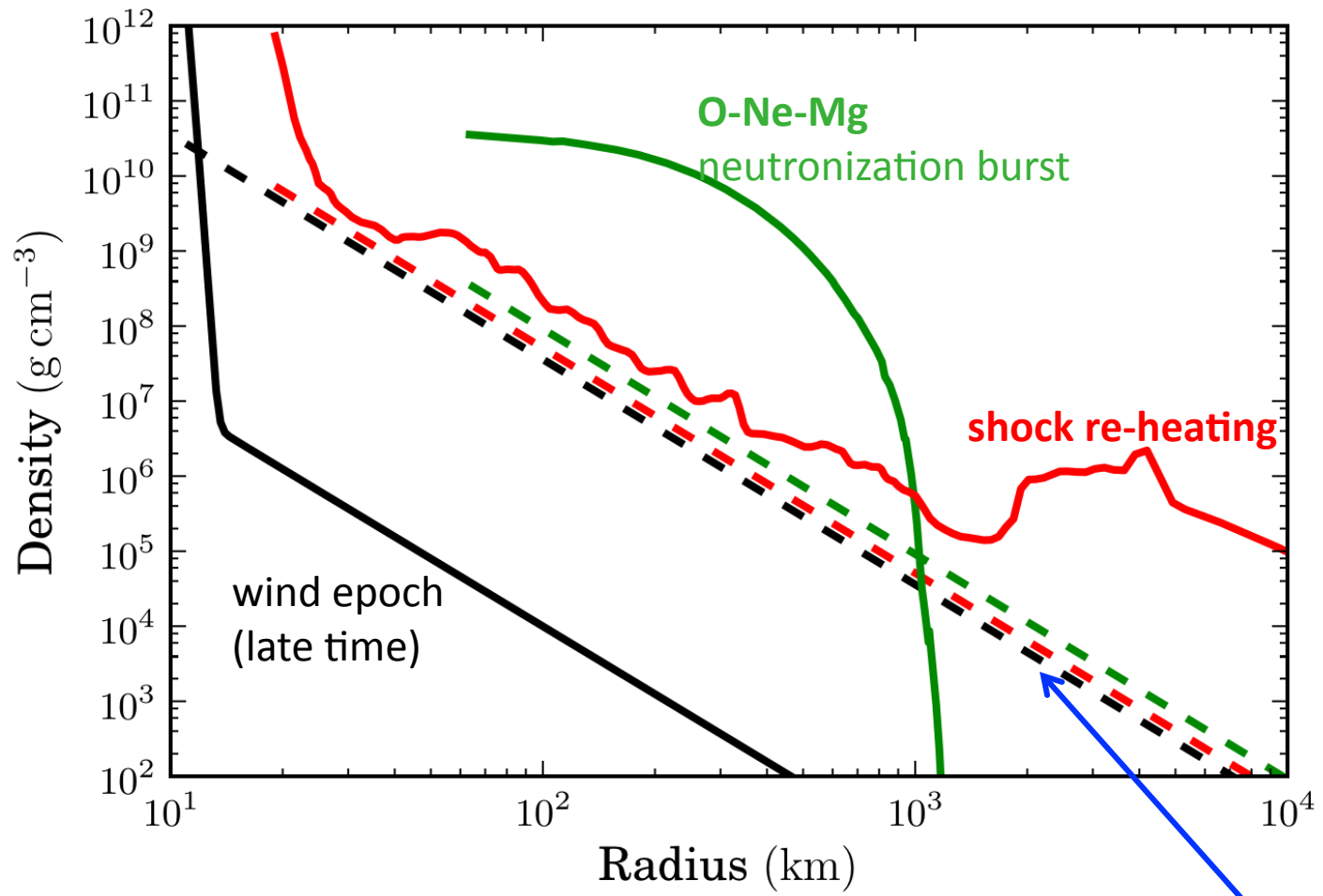
$$\sigma_{\text{coherent}} \propto A^2 \Rightarrow \mathcal{H}_{\text{halo}} \propto \langle A \rangle$$



the **Halo** converts the
neutrino flavor evolution problem
from an *initial value problem* into
a *boundary value problem*

(quantum flavor information coming down from above)

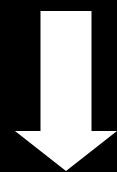
and moreover couples in nuclear composition
in a completely new way



corresponding 1%
"safety" criteria

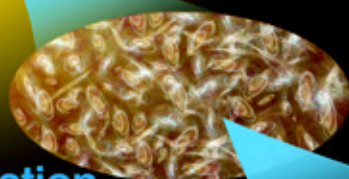
Neutrino Rest Mass

Relic neutrinos from the epoch when the universe was at a temperature $T \sim 1 \text{ MeV}$ ($\sim 10^{10} \text{ K}$)



~ 300 per cubic centimeter

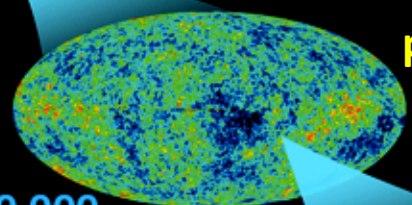
$\Rightarrow \sim 10^{87}$ neutrinos in universe



tiny fraction of a second

neutrino decoupling $T \sim 1 \text{ MeV}$

inflation



photon decoupling $T \sim 0.2 \text{ eV}$

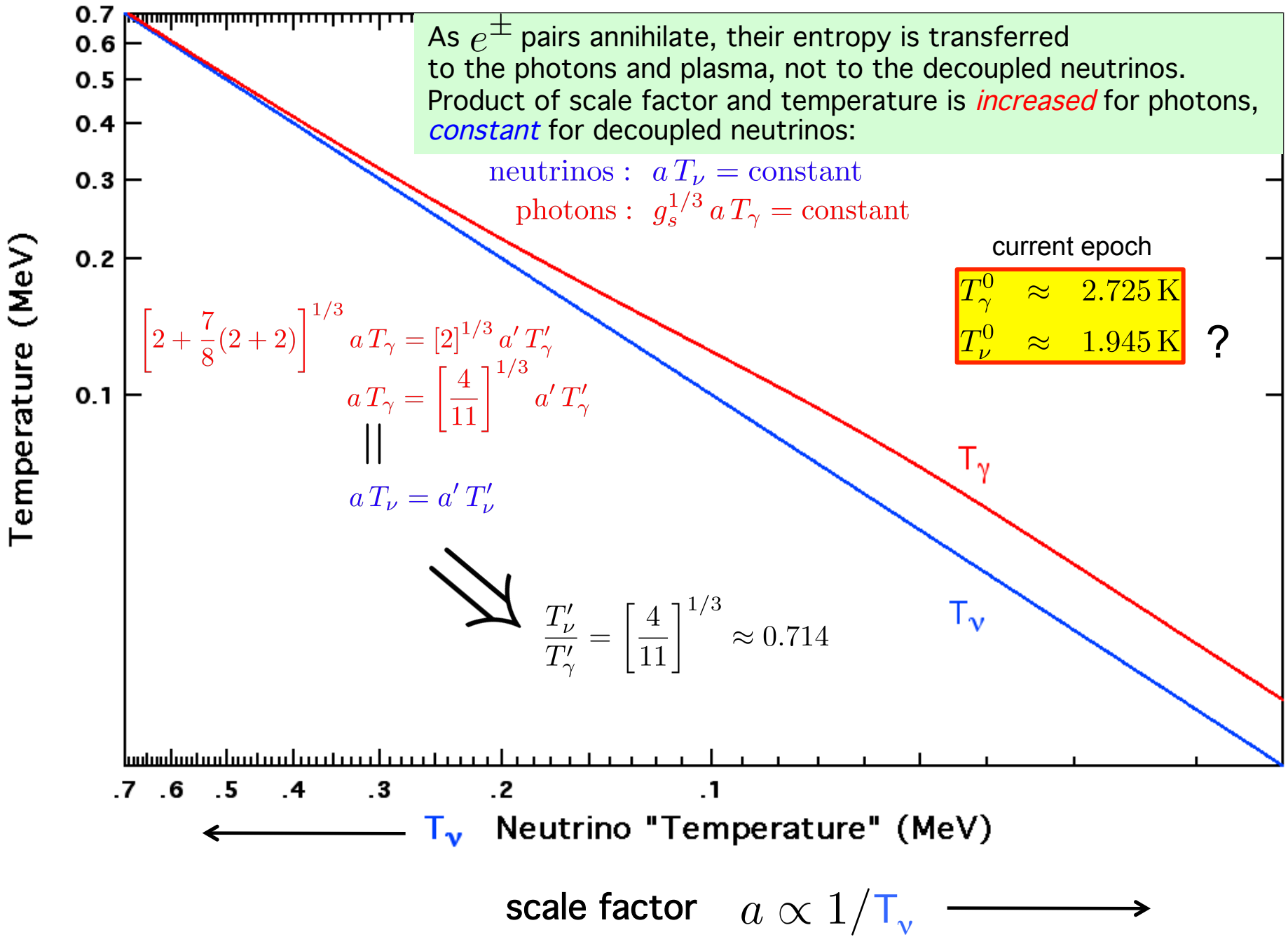
Relic photons. We measure 410 per cubic centimeter

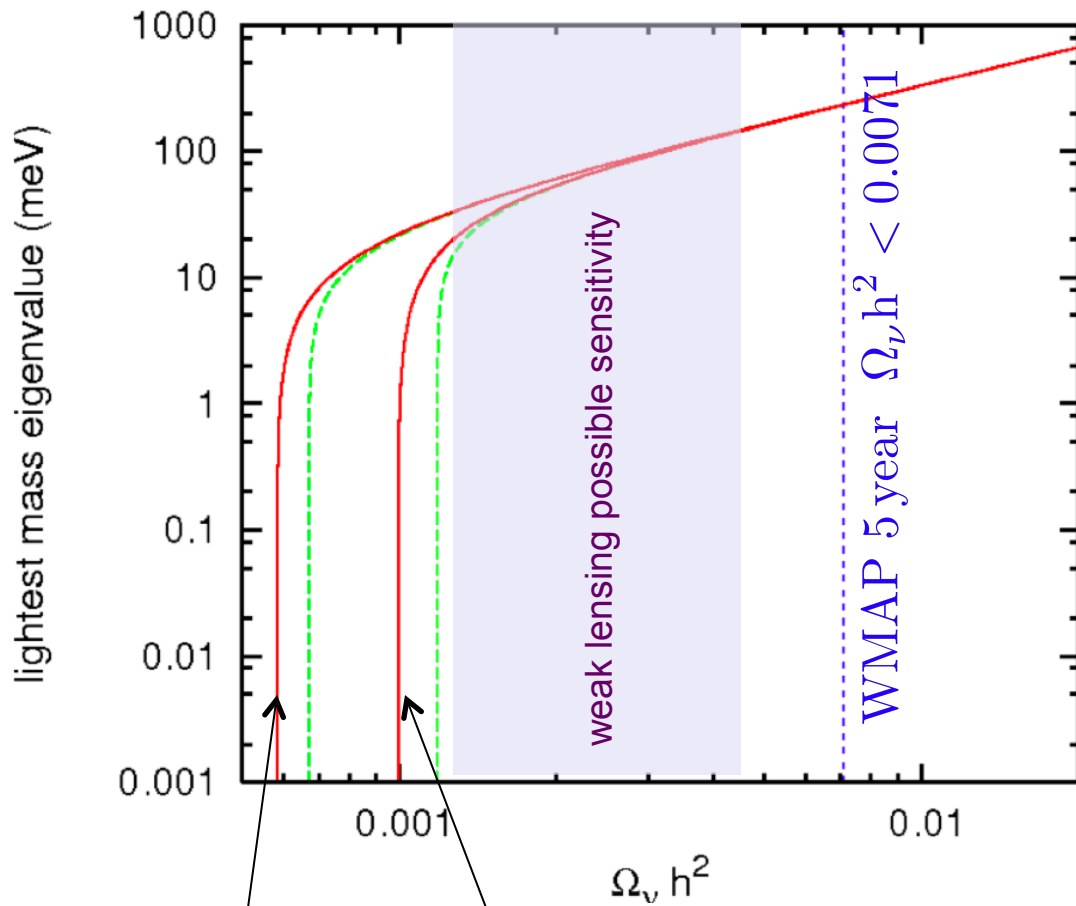
380,000 years



13.7 billion years

vacuum+matter dominated at current epoch

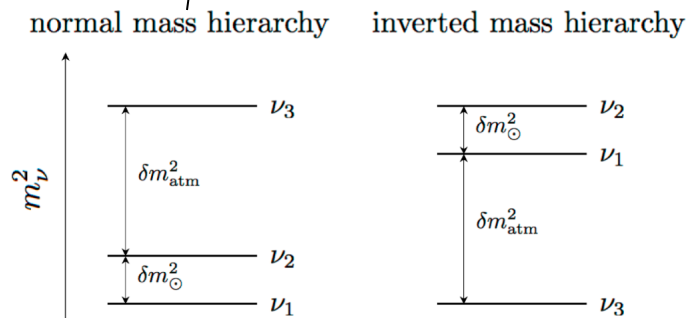




Next generation CMB experiments (e.g., **Planck**; **PolarBear**) will be sensitive to weak lensing and this will provide the best sensitivity to neutrino mass.

See for example
Kaplighat, Knox, Song PRL **91**, 241301 (2003)

But the neutrino mass hierarchy will be one of the chief determinants of whether we can infer the absolute neutrino masses



Astrophysical Probes of Neutrino Rest Mass

(Abazajian et al., arXiv:1103.5083)

Probe	Current/Reach $\sum m_\nu$ (eV)	Key Systematics	Current Surveys	Future Surveys
CMB Primordial	1.3/0.6	Recombination	WMAP, Planck	None
CMB Primordial w/ Distance	0.58/0.35	Distance measure- ments	WMAP, Planck	None
Lensing of CMB	∞ /0.2-0.05	NG of Secondary anisotropies	Planck, ACT [47], SPT, PolarBear, EBEX, QUIET II [48]	CMBPol [44]
Galaxy Distribution	0.6/0.1	Nonlinearities, Bias	SDSS [9, 10], DES [43], BOSS [15]	LSST [17], WF- MOS [11], HET- DEX [12]
Lensing of Galaxies	0.6/0.07	Baryons, NL, Photo- z	CFHT-LS [42], DES [43], HyperSuprime	LSST, Euclid [57], DUNE [58]
Lyman α	0.2-?/0.1	Bias, Metals, QSO continuum	SDSS, BOSS, Keck	BigBOSS [59]
21 cm	∞ /0.1-0.006	Foregrounds	Lofar [46], MWA [49], Paper, GMRT	SKA [50], FFTT [38]
Galaxy Clusters	0.3-?/0.1	Mass Function, Mass Calibration	SDSS, SPT, DES, Chan- dra	LSST
Core-Collapse Super- novae	NH (If $\theta_{13} > 10^{-3}$) IH (Any θ_{13})	Emergent ν spectra	SuperK, ICECube	Noble Liquids, Gadzooks

Table I: Cosmological probes of neutrino mass. “Current” denotes published (although in some cases controversial, hence the range) 95% C.L/ upper bound on $\sum m_\nu$ obtained from currently operating surveys, while “Reach” indicates the forecasted 95% sensitivity on $\sum m_\nu$ from future observations. These numbers have been derived for a minimal 7-parameter vanilla+ m_ν model. The six other parameters are: the amplitude of fluctuations, the slope of the spectral index of the primordial fluctuations, the baryon density, the matter density, the epoch of reionization, and the Hubble constant.

Each of these probes faces technological, observational, and theoretical challenges in its quest to extract a few percent level signal. Table I highlights the key theoretical systematics each probe will have to overcome to obtain a reliable constraint on neutrino masses.

Dark Radiation

Radiation energy density (**relativistic particle**) at γ -decoupling is parameterized by the so called “effective number of neutrino degrees of freedom”.

This is a dangerous misnomer as it may refer to energy density from **any** relativistic particles (e.g., super-WIMP decay products)

$$\rho_{\text{radiation}} = \left[2 + \frac{7}{4} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \frac{\pi^2}{30} T_{\gamma}^4$$

The standard model predicts $N_{\text{eff}} = 3.046$ Calabrese *et al.* PRD **83**, 123504 (2011)

WMAP7 $N_{\text{eff}} = 4.34 + 0.86 - 0.88$ (68% confidence limit)

SPT $N_{\text{eff}} = 3.86 \pm 0.42$ (with H_0 & BAO priors)

Archidiacono, Calabrese, Melchiori, ArXiv : 1109.2767 $N_{\text{eff}} = 4.08 + 0.71 - 0.68$

Planck satellite will measure N_{eff} to better than 10% precision

Sterile Neutrinos

Three “hints” for light sterile neutrinos?

mini-BooNE neutrino oscillation experiment at FNAL

$$\nu_\mu \rightarrow \nu_s \rightarrow \nu_e \quad \text{appearance with } \delta m^2 \sim 1 \text{ eV}^2$$

(now 5σ above background)

neutrino reactor anomaly:

$\bar{\nu}_e$ deficit from $\bar{\nu}_e \rightarrow \bar{\nu}_s$ (???) – a disappearance experiment

Extra radiation at photon-decoupling (N_{eff}) ??

– Cosmic Microwave Background observations

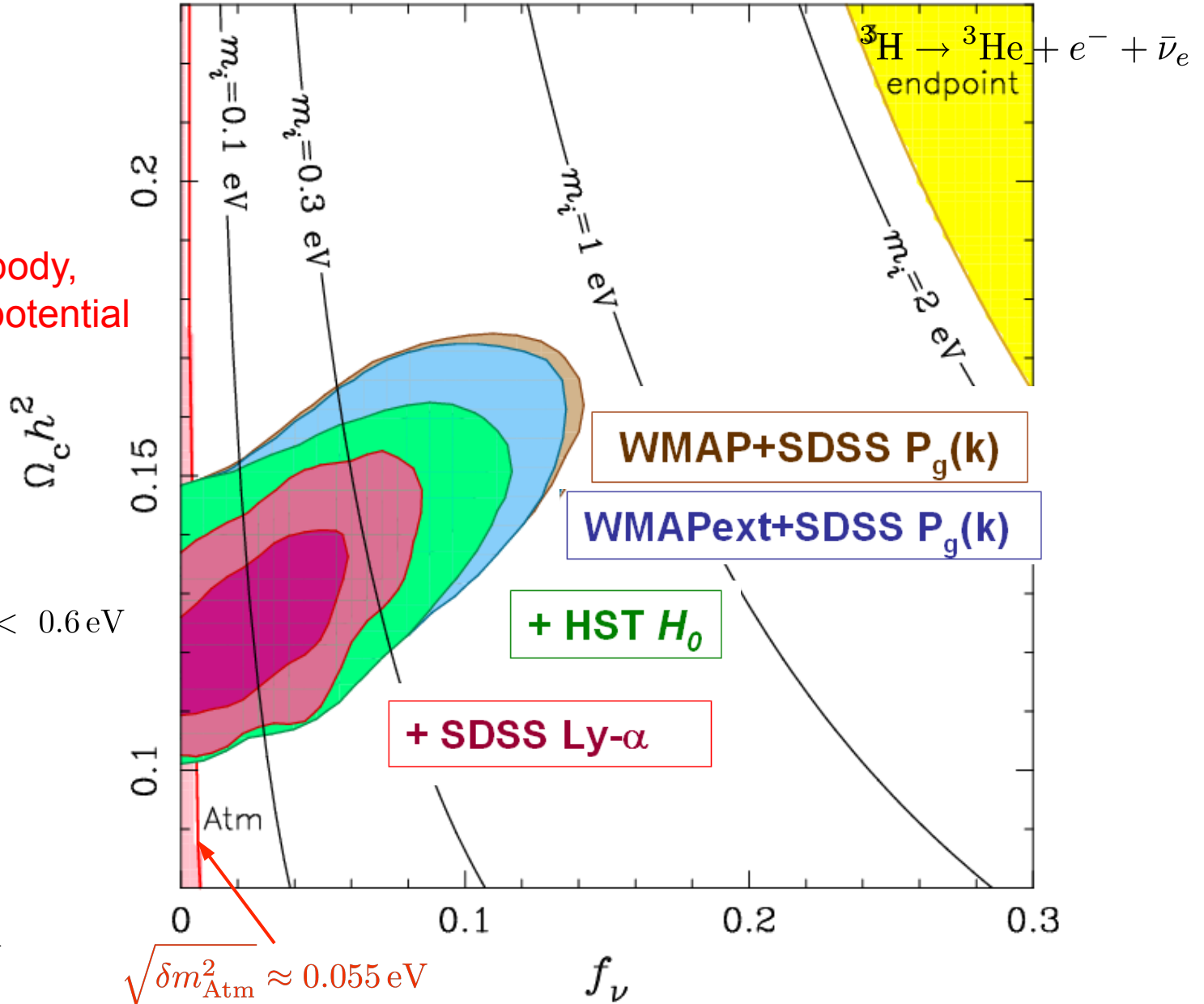
(*e.g.*, [PolarBear](#) ; [ACT](#); [Planck](#); eventually [CMBPol](#))

cosmological constraints on neutrino rest mass

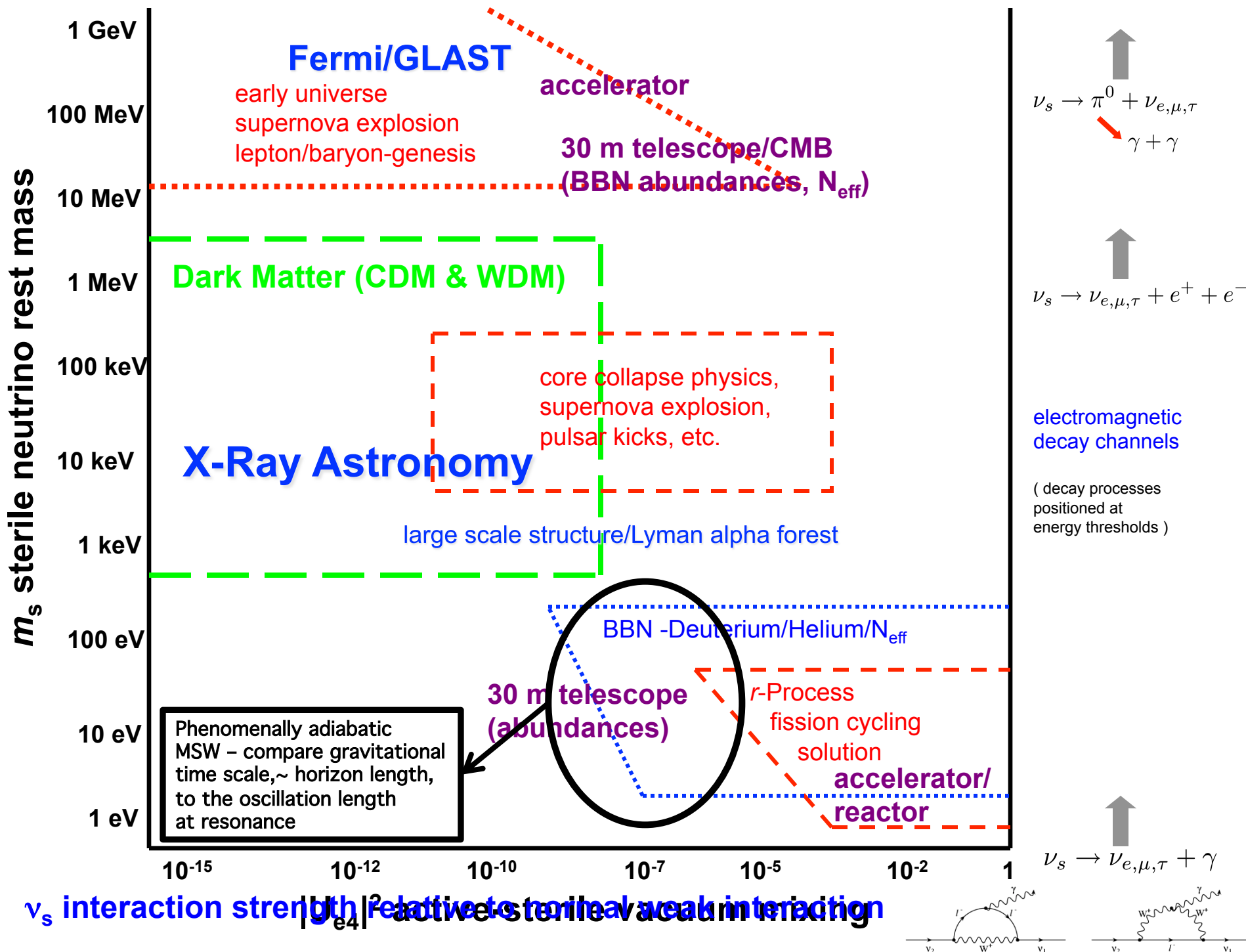
WMAP_{+ACBAR+CBI} + SDSS + HST: ν Dark Matter

assumes that neutrinos have thermal, black body, zero chemical potential energy spectra

WMAP $\sum m_\nu < 0.6 \text{ eV}$



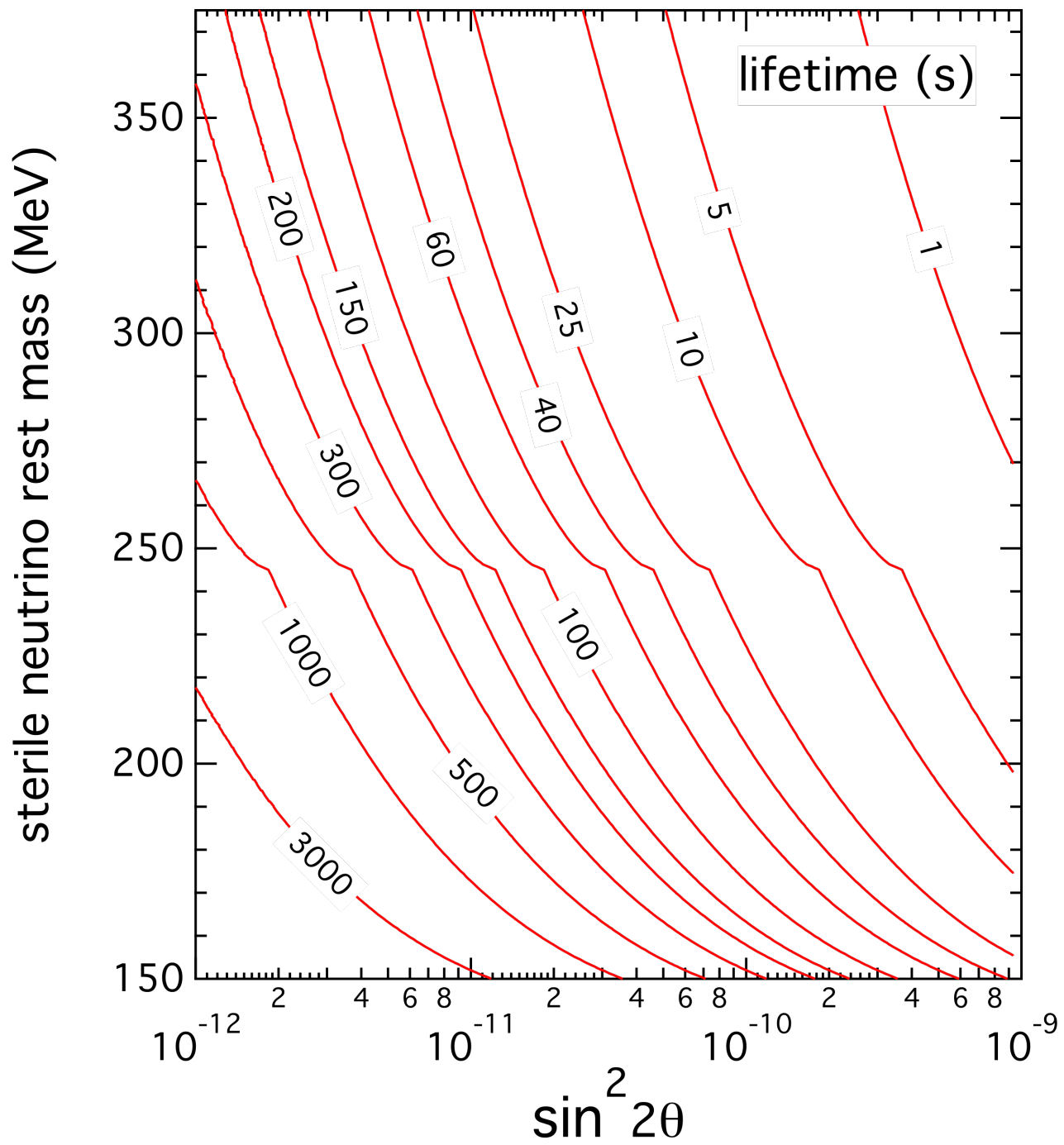
K. Abazajian



Consider as an example:

sterile neutrinos with rest masses ~ 1 GeV
and lifetimes \sim seconds

particle decay-induced “dilution” in the early universe



Heavy sterile neutrinos with sufficiently large coupling will be in thermal equilibrium at temperatures $T \gg 1 \text{ GeV}$

This means that their number densities will be **comparable to those of photons** at the BBN epoch, albeit somewhat diluted by loss of degrees of freedom at the QCD epoch.

Nevertheless, their energy spectra will be a “*relativistic Fermi Dirac black body*” just like the decoupled active neutrinos but with a lower “*temperature*”

number density
prior to decay

$$n_{\nu_s} = \frac{3}{4} \frac{\zeta(3)}{\pi^2} T_{\nu_s}^3$$

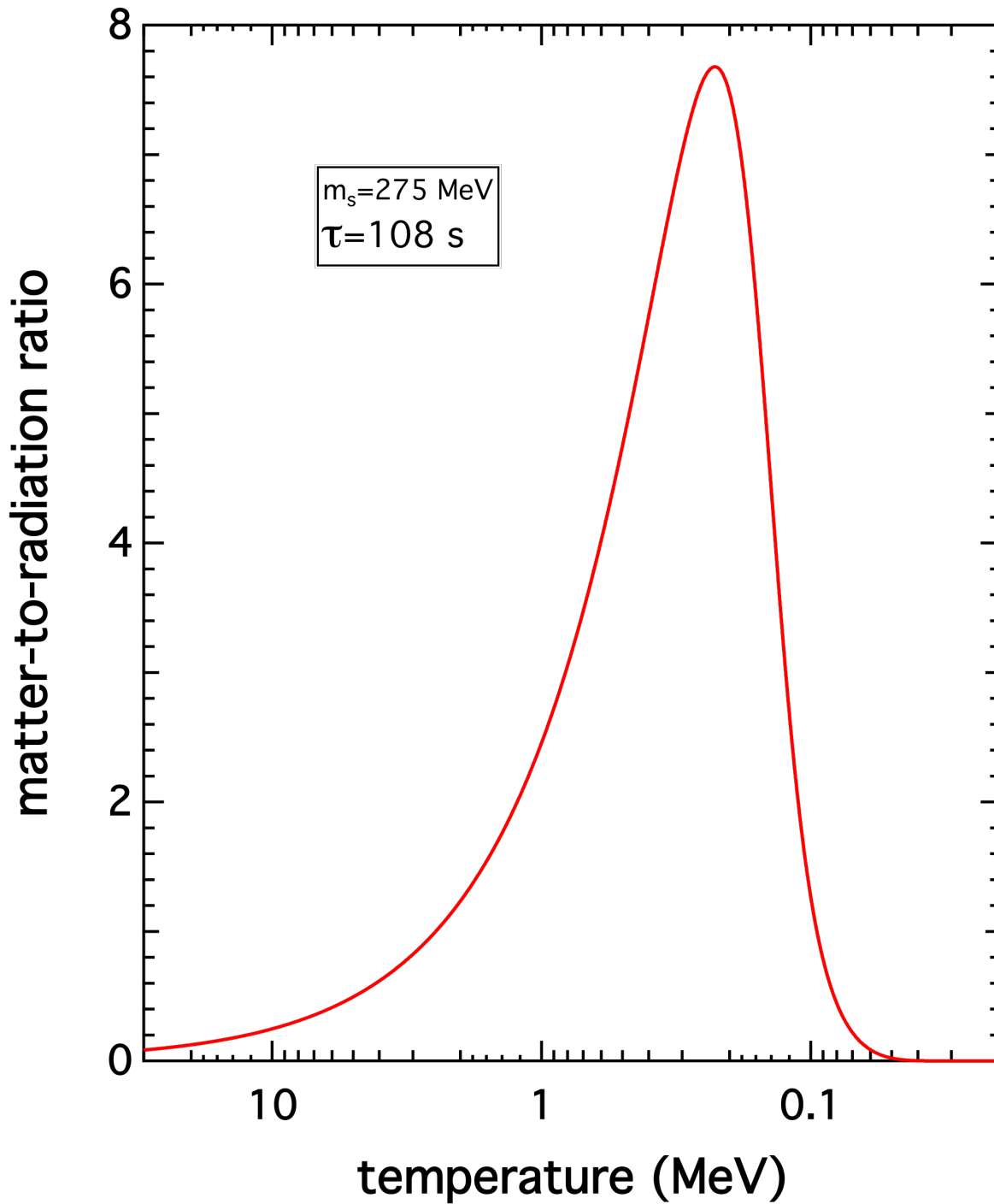
photon number density

$$n_\gamma = 2 \frac{\zeta(3)}{\pi^2} T_\gamma^3$$

$$T_{\nu_s} \approx T_\gamma / 1.79$$

$$n_{\nu_s} \sim 0.1 n_\gamma$$

but the steriles have rest masses $\sim \text{GeV}$ **OOPS!**



sterile neutrino rest mass density comes to *dominate* over radiation energy density, producing a *matter-dominated* epoch lasting *many* Hubble-times

Decay into 7
possible channels

No threshold:

$$\nu_s \rightarrow 3\nu$$

$$\nu_s \rightarrow \nu + \gamma$$

Non-zero threshold:

$$\nu_s \rightarrow \nu + e^- + e^+$$

$$\nu_s \rightarrow \nu + \mu^- + \mu^+$$

$$\nu_s \rightarrow \nu + \pi^0$$

$$\nu_s \rightarrow \pi^\pm + e^\mp$$

$$\nu_s \rightarrow \pi^\pm + \mu^\mp$$

Pions and Muons decay
instantaneously:

$$\pi^0 \rightarrow \gamma + \gamma$$

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

heavy “sterile” neutrino decay

$$\nu_s \rightarrow \pi^0 + \nu_{e,\mu,\tau} \rightarrow 2\gamma + \nu_{e,\mu,\tau}$$

$$\begin{array}{l} \nu_s \rightarrow \pi^+ + e^- \rightarrow 2\gamma + 3\nu \\ \quad \swarrow \\ \quad \mu^+ + \nu_\mu \\ \quad \quad \swarrow \\ \quad \quad e^+ + \bar{\nu}_\mu + \nu_e \end{array}$$

$$\nu_s \rightarrow \pi^+ + \mu^- \rightarrow 2\gamma + 5\nu$$

Photons thermalize,
but neutrinos may or may not, depending on their energies and the decay epoch

entropy generation from heavy particle nonequilibrium decay

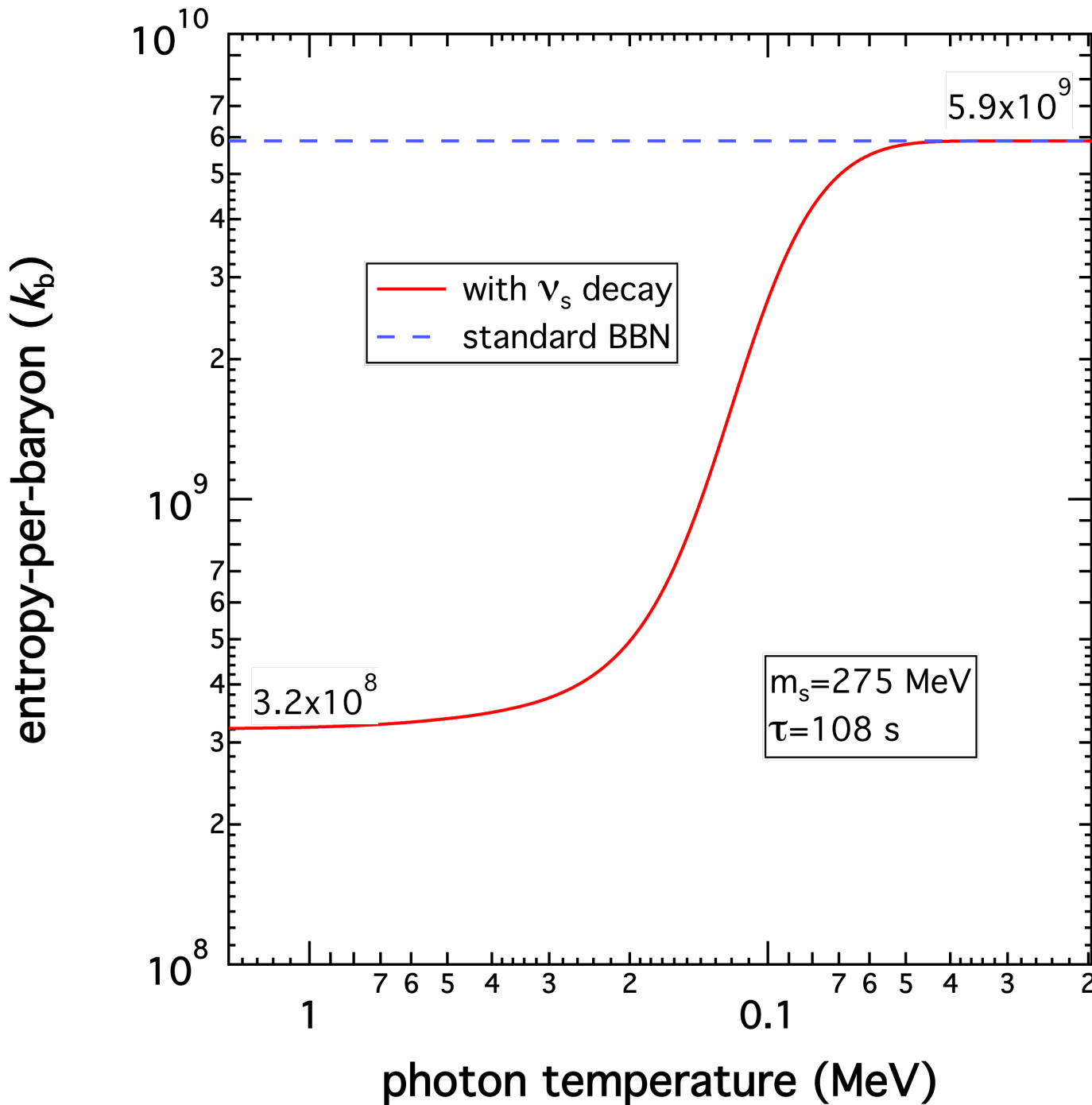
$$\Delta S = \frac{\Delta Q}{T}$$

where the added heat comes from the rest mass of the decaying particle converted into particles which (partially) thermalize

$$\Delta Q = m_s \cdot f$$

Here the fraction of decay product energy which thermalizes is f

m_s is the rest mass of the decaying particle



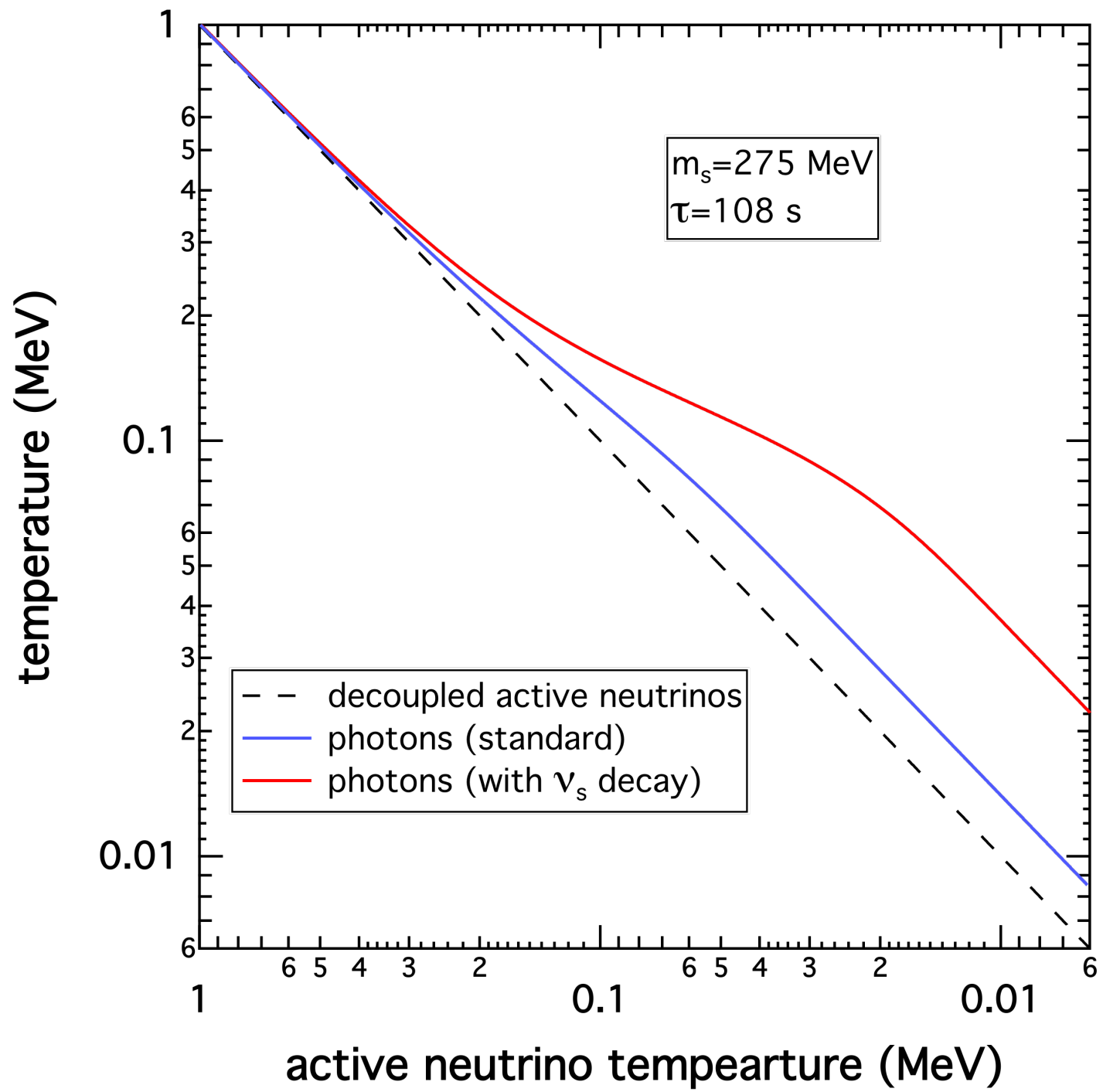
prodigious entropy production!

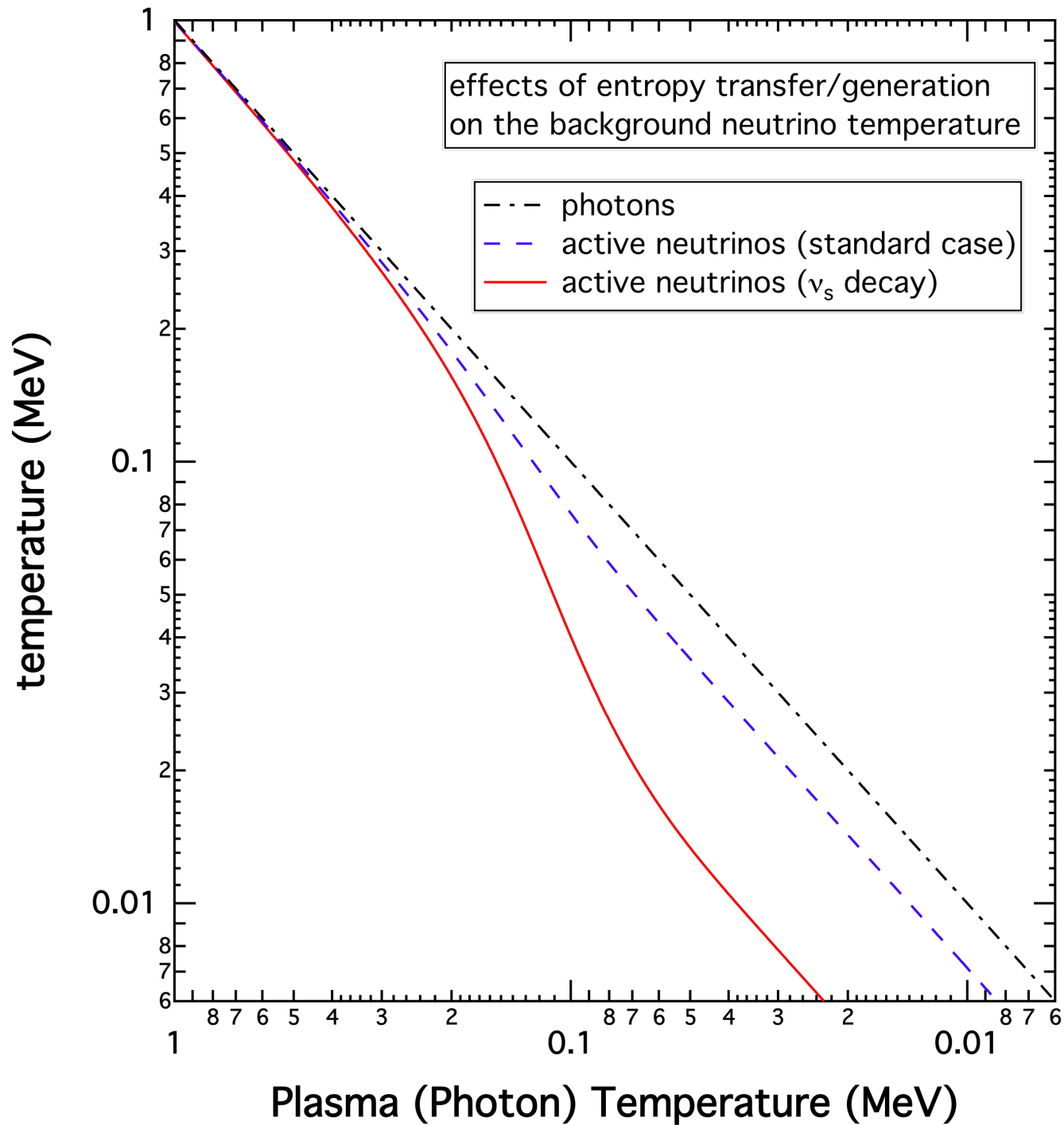
in this case:

$$F = \frac{s_{\text{final}}}{s_{\text{initial}}} \approx 18.4$$

where
entropy-per-baryon
is carried by radiation

$$s = \frac{\left[\frac{2\pi^2}{45} g T^3 \right]}{n_b}$$





This entropy generation results in “dilution”
of the *thermal background neutrinos*

$$F \equiv \frac{S_{\text{final}}}{S_{\text{initial}}}$$

$$\Rightarrow \frac{T a}{T_0 a_0} = \left(\frac{g_0}{g}\right)^{1/3} F^{1/3} = \left(\frac{11}{4}\right)^{1/3} F^{1/3}$$

$$T_\nu a = T_{\nu 0} a_0 \quad \Rightarrow \quad \frac{T_\nu}{T} = \left(\frac{4}{11}\right)^{1/3} F^{-1/3}$$

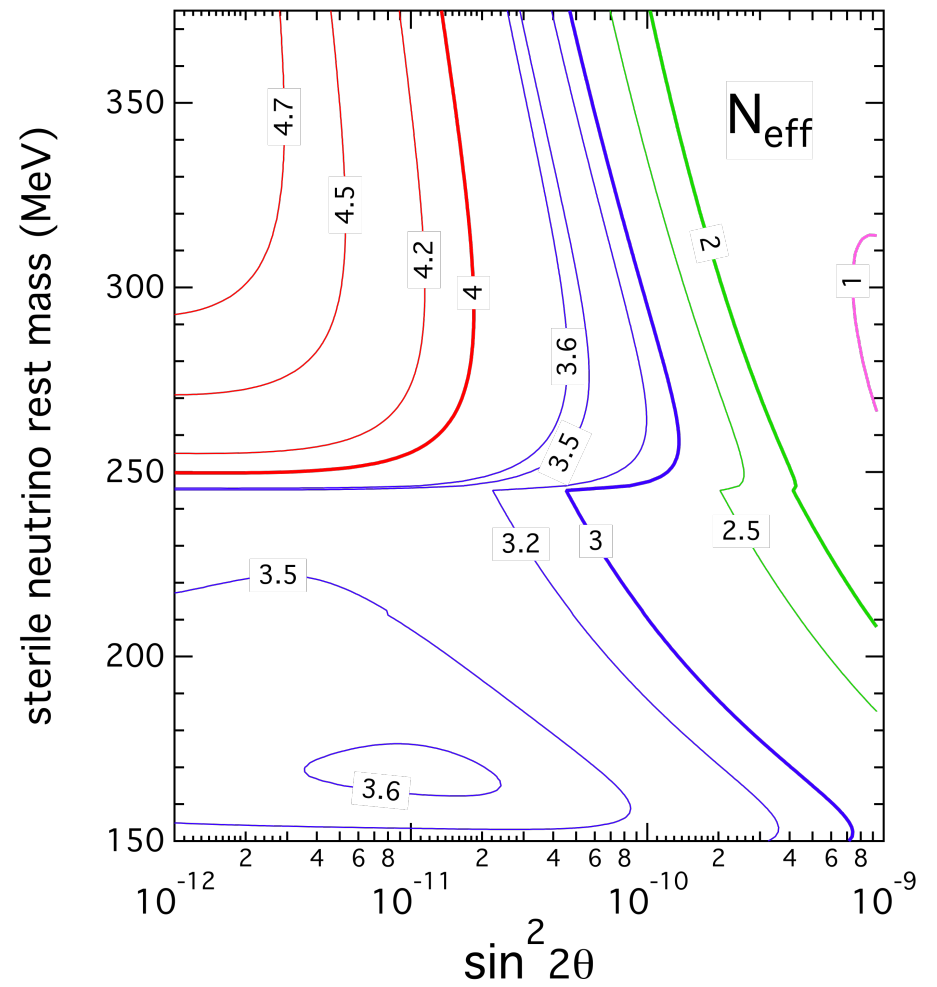
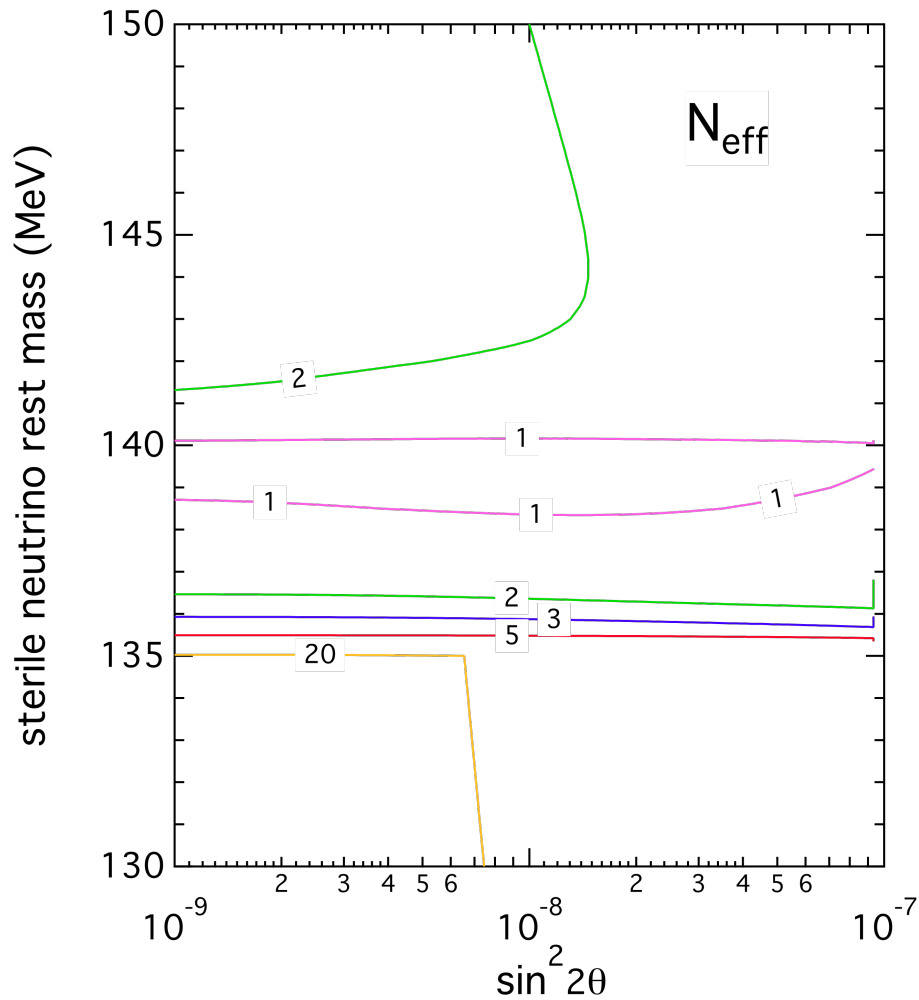
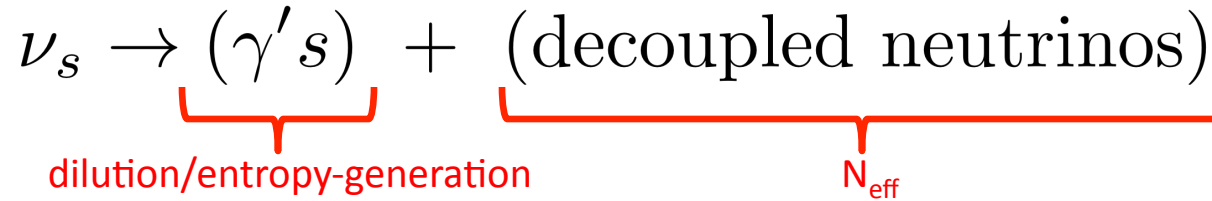
$$\Rightarrow \frac{T_\nu^{\text{SBBN}}}{T} = \left(\frac{4}{11}\right)^{1/3} \quad \text{and} \quad \frac{T_\nu}{T^{\text{SBBN}}} = F^{-1/3}$$

contribution to N_{eff} reduced by $\frac{T_\nu^4}{(T_\nu^{\text{SBBN}})^4} = F^{-4/3}$

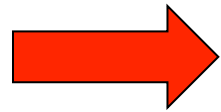
for the above example with $F=20$ the regular thermal background neutrinos give $N_{\text{eff}} = 0.055$

G.M.F., C. Kishimoto, A. Kusenko [arXiv:1110.6479](https://arxiv.org/abs/1110.6479) astro-ph.CO

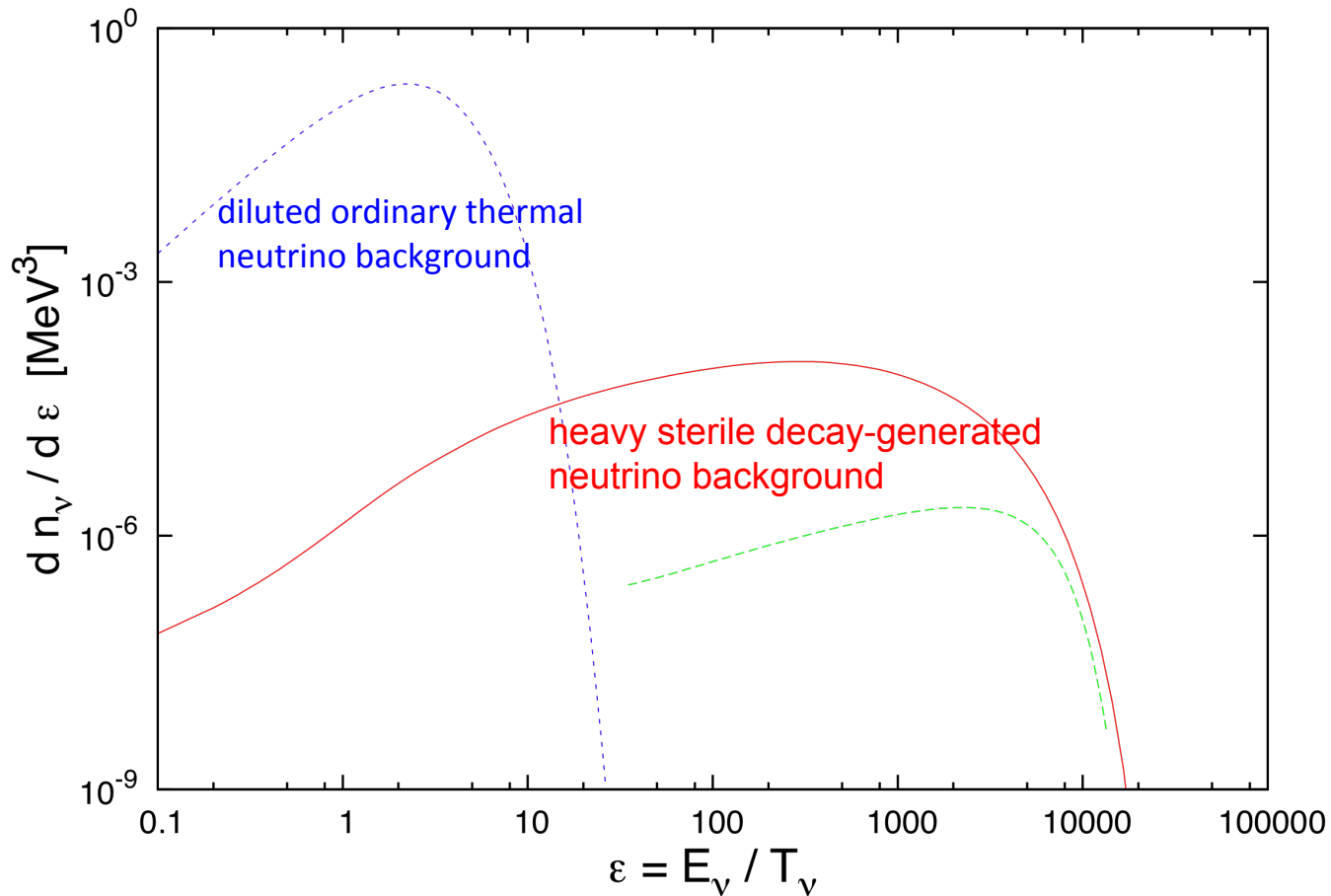
heavy sterile neutrino decay causes **dilution** of ordinary background neutrinos and generation of radiation energy density (N_{eff})



heavy sterile decay *dilutes* the “normal” thermal neutrino background,
and leaves a decay-generated neutrino background 1000x as energetic
which *never* becomes nonrelativistic



will not detect neutrino rest mass cosmologically,
even when detection thresholds are below known masses!



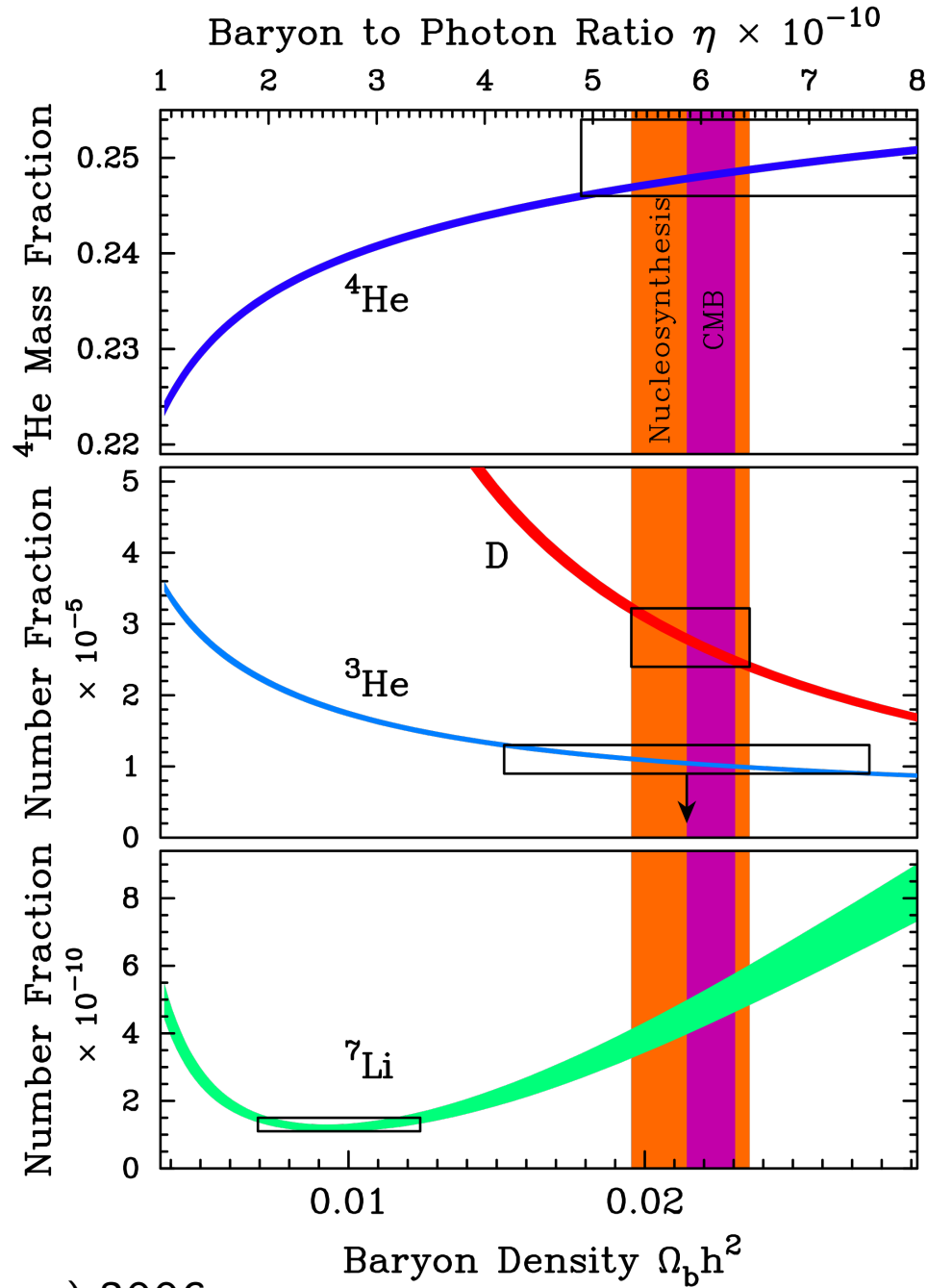
Altered BBN

Must *self-consistently* couple all strong, electromagnetic, and weak nuclear reactions with the decaying heavy sterile neutrinos and their energetic active neutrino decay products – GMF, E. Grohs, C. Kishimoto 2012

High energy (~ 100 MeV) decay active neutrinos

-these can capture on protons and *make neutrons*
(after alpha particle formation = no free neutron targets!)

Standard BBN



So, where do we stand in comparing the **observationally-determined light element abundances** with **BBN predictions** ??

(1) only really complete success is deuterium

– **and this is very good!** (Tytler's measurement confirmed by CMB)

(2) Helium is historically problematic, but promising with CMB

From compact blue galaxy linear regression, extrapolation to zero metallicity

Izotov & Thuan (2010) get helium mass fraction $Y_P = 0.2565 \pm 0.0010$ (stat.) ± 0.0050 (sys.)

Using the CMB-determined baryon-to-photon ratio the standard BBN prediction is

$Y_P = 0.2482 \pm 0.0007$ Steigman 1008.476

Best bet may be future CMB determinations via the Silk damping tail, currently this isn't great $Y_P = 0.326 \pm 0.075$ Komatsu *et al.* 2010

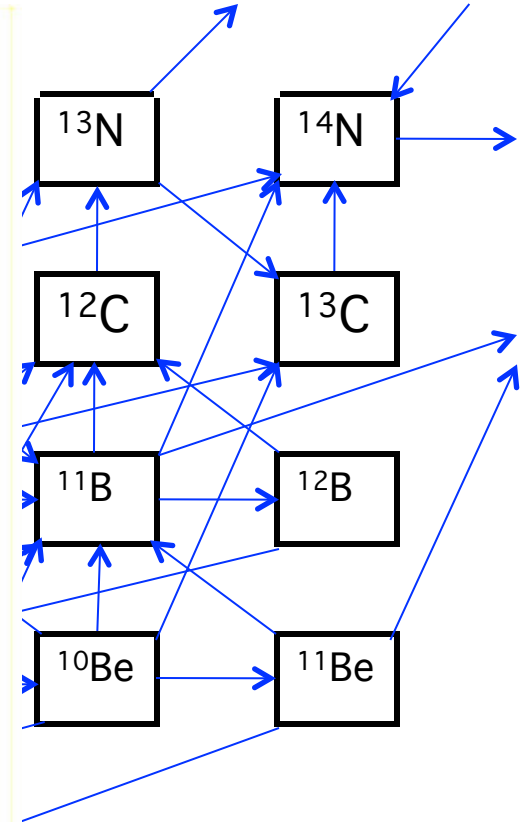
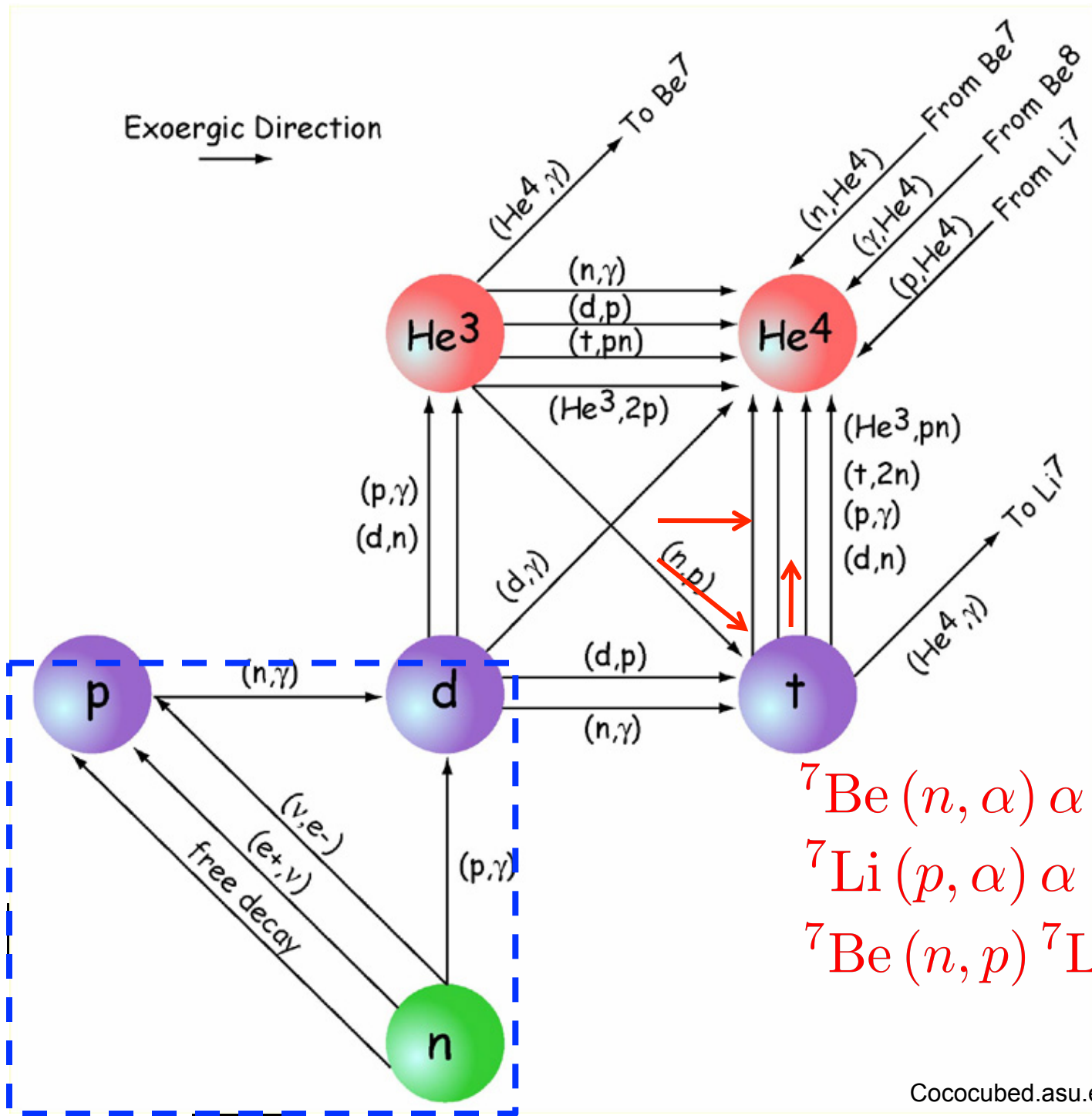
with Planck, CMBPol, could be ± 0.004

very tricky

(3) Lithium is a mess:

observed ${}^7\text{Li}$ low relative to BBN prediction by factor of 3

claimed observation of ${}^6\text{Li}$ high relative to BBN prediction by three orders of magnitude

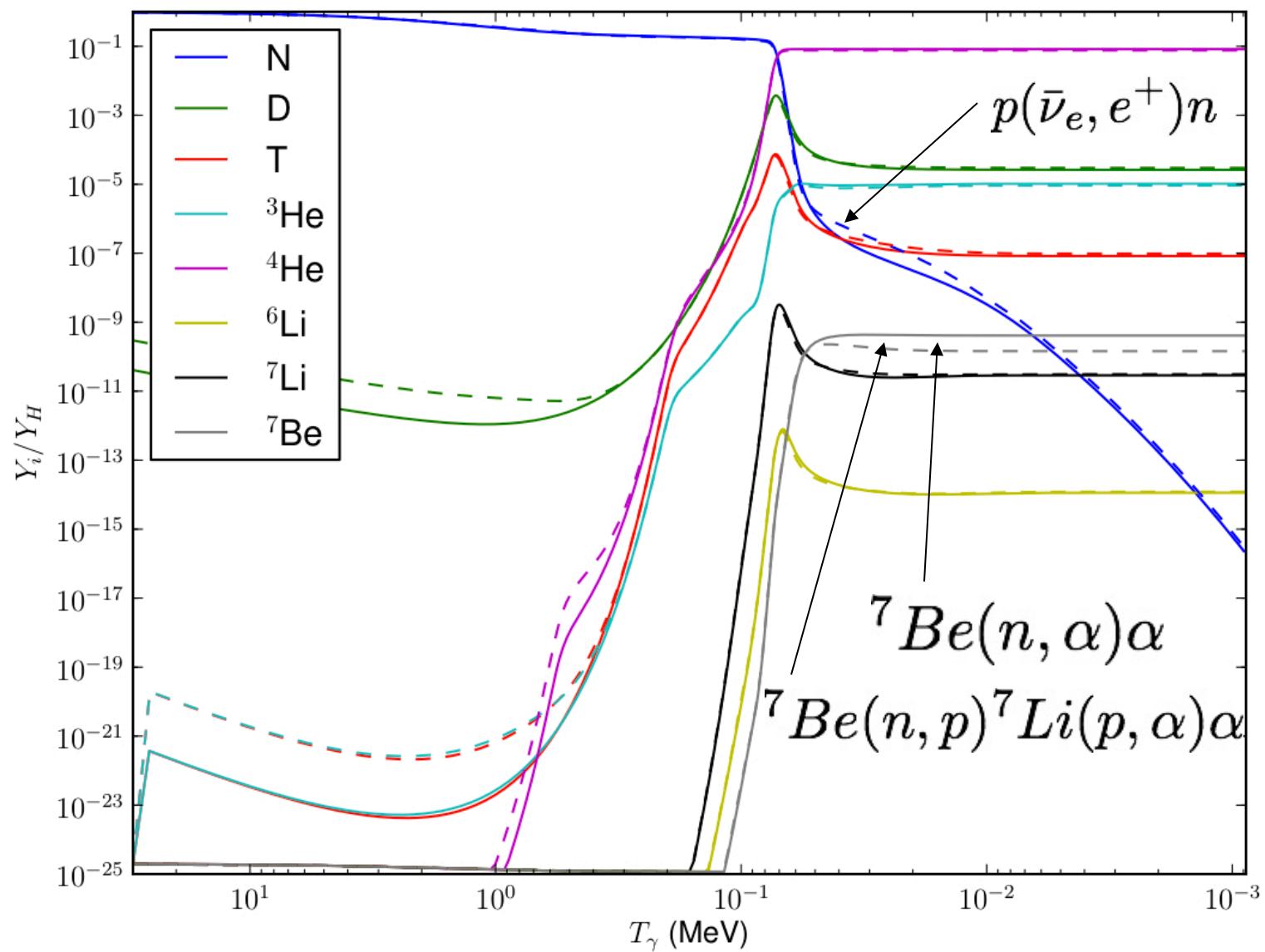


${}^7\text{Be} (n, \alpha) \alpha$
 ${}^7\text{Li} (p, \alpha) \alpha$
 ${}^7\text{Be} (n, p) {}^7\text{Li}$

${}^8\text{Be} \rightarrow 2\alpha$

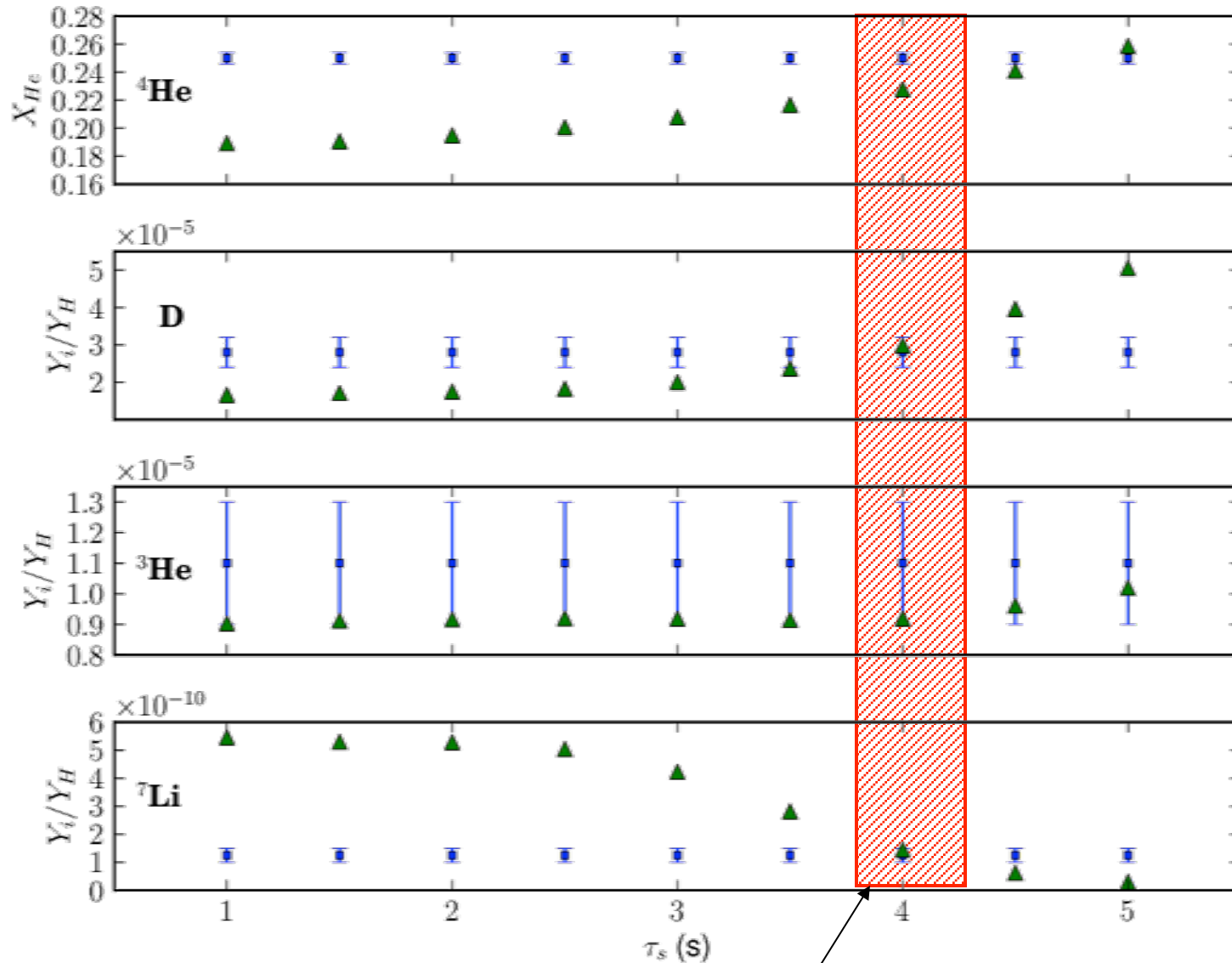
Solid Lines: SBBN

Dashed Lines: $(m_s, \tau_s) = (300 \text{ MeV}, 4.0 \text{ s})$



$N_{eff} \sim 2.5$

Abundance/Mass Fraction vs. Sterile Lifetime ($m_s=300$ MeV)



Sweet Spot?

Conclusions

SBBN successfully predicts D abundance, but has problems with Li and maybe (possibly) N_{eff}

Sterile Neutrino mass/lifetime can be tuned to preserve primordial abundances with the exception of Li (Be) and can change N_{eff}

Boltzmann neutrino transport code needed to determine if sweet-spot solution for Li problem is consistent with *forthcoming* constraints on N_{eff}